

FORUM OF REGULATORS (FOR)
C/o CENTRAL ELECTRICITY REGULATORY COMMISSION
3rd & 4th Floor, Chanderlok Building, 36, Janpath, New Delhi-110001
Tel: 011-23353503

No. 15/9(1)/2011/APTEL-TA/FOR/CERC(Vol-VI)

Dated: 03 September, 2021

The Registrar
Appellate Tribunal for Electricity
7th Floor, CORE- 4, Scope Complex,
Lodhi Road,
New Delhi - 110 003

Subject: Compliance of the directions of Hon'ble Appellate Tribunal for Electricity issued vide Order dated 23.09.2019 & 01.11.2019 in OP No.1 of 2011- reg.

Sir/Madam,

This is reference to the orders dated 23.09.2019 and 01.11.2019 of the Hon'ble APTEL on the above subject. In pursuance of the directions, FOR Secretariat sought information for the period FY 2015-16 to FY 2018-19 from the State/Joint Commissions and the summary statement of the responses were submitted to APTEL vide letters of even no. dated 30.10.2019 and 05.12.2019. Later, FOR Secretariat also submitted a statement of the responses for FY 2019-20 (including individual submissions from the State/ Joint Commissions) vide letter of even no. dated 15.10.2020.

2. Subsequently, FOR Secretariat has sought the information for the FY 2020-21 also, from the State/ Joint Commissions

3. The SERCs/JERCs (Andhra Pradesh, Arunachal Pradesh, Assam, Chhattisgarh, Delhi, Gujarat, Haryana, JERC (J&K & Ladakh), JERC (Manipur & Mizoram), JERC (Goa & UTs), Jharkhand, Karnataka, Kerala, Maharashtra, Meghalaya, Nagaland, Odisha, Punjab, Rajasthan, Sikkim, Tamil Nadu, Tripura, Uttarakhand and Uttar Pradesh) have provided the requisite information. The summary statement of the responses received from the SERCs/JERCs is enclosed as **Annexure-1** along with individual submissions of each SERC/JERC.

4. It is requested that this may please be brought to the notice of the Hon'ble APTEL.

Encl: As above

Yours sincerely



(Rajiv Kumar)
Asst. Secretary, FOR



ANDHRA PRADESH ELECTRICITY REGULATORY COMMISSION

#11-4-660, 4th Floor, Singareni Bhavan, Red Hills, Khairatabad
Hyderabad 500 004 Phones: 23397 - 381, 399, 556, 656 Fax: 2339 7378

Lr.No.APERC/Secy/F:T-66/D.No. 335/2021

Date:05-07-2021.

Sir,

Sub:- APERC - Compliance of the directions of Hon'ble Appellate Tribunal for Electricity issued vide Order dated 3rd November 2014 and 23rd September 2019 in OP No.1 of 2011-Reg.

Ref:- No.15/9(1)/2011/APTEL-TA/FOR/CERC(Vol-VI) Dated: 28th June, 2021.

With reference to the letter cited under ref, data regarding the directions given by APTEL through its judgement dated 11.11.2011 in the prescribed format as communicated by you, is herewith enclosed.

Yours faithfully,


Commission Secretary_(i/c)

To
Sri Rajiv Kumar,
Assistant Secretary,
Forum of Regulators(FOR),
C/o Central Electricity Regulatory Commission (CERC),
3rd & 4th Floor, Chanderlok Building, 36, Janpath, New Delhi-110 001
Email: asecy.for@gmail.com of rajivkumar11170@gmail.com,

Andhra Pradesh Electricity Regulatory Commission		
Data regarding the directions given by APTEL through its judgement dated 11.11.2011		
Sl.No.	Particulars	FY 2020-21
I. Timeliness of Tariff Determination Process		
A. Tariff Filing		
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?	
	i. APR	Yes, not less than 120 days before the commencement of the financial year.
	ii. TrueUp	8 months after completion of the financial year in respect of the power purchase cost
	iii. ARR	Yes, not less than 120 days before the commencement of the financial year.
	iv. Tariff Order	Yes, within 120 days after filing of the Tariff petition.
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?	
	i. APR	Yes on 02.12.2019
	ii. True up	Yet to be filed. (30.11.2021)
	iii. ARR	Yes on 02.12.2019
	iv. Tariff Order	----
3	If delay in filing of Annual performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof	

	i. APR	Not Applicable
	ii. True up	Not Applicable
	iii. ARR	Not Applicable
	iv. Tariff Order	Not Applicable
B. Tariff Order		
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly with the time specified in accordance with the Act (please indicate the date of Tariff petition and date of Tariff order)?	
	i. APR	Date of filing on 02.12.2019 and order was issued on 10.02.2020
	ii. True up	The licensees have the time upto 30.11.2021 to file a true up application for FY2020-21 and yet they have not filed before the Commission.
	iii. ARR	Date of filing on 02.12.2019 and order was issued on 10.02.2020
	iv. Tariff Order	Order was issued on 10.02.2020
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	yes
1	Annual Revenue Requirement (in Rs. Cr.)	Rs.42493.55 Cr.
2	Saleable Energy (in Mus)	61818.61 MUs
3	Average Cost of Supply (Rs./kwh)	Rs.6.87 per kWh
4	Average Tariff (Rs./kWh)*	Rs.5.11 per kWh.(The difference between the average tariff and ACoS, Government has agreed to pay in the form of subsidy under section 65 of the Electricity Act,2003)
5	Revenue gap between ARR and ACS per unit of only the year in consideration (in	Nil

	Rs./kWh)	
6	Whether Regulatory Assets have been created?	No
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	Not Applicable
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets has been specified?	Not Applicable
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	Not Applicable
III. Fuel & Power Purchase Cost Adjustment		
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly / bi-monthly / quarterly)?	Yearly. But the same has been amended to quarterly mechanism w.e.f from 01.04.2021.
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	yes

Commission Secretary (i/c)



ARUNACHAL PRADESH STATE ELECTRICITY REGULATORY COMMISSION

O.T. BUILDING, 2ND FLOOR, NITI VIHAR MARKET, T.T. MARG

NITI VIHAR, ITANAGAR - 791111

Tel : 0360-2310642, Fax : 0360-2310643, E-mail : apserc-arn@gov.in

website : www.apserc.nic.in

No. APSERC/RA-1/1/2021-22/129

Date: 19 July 2021

To ,

Shri Rajiv Kumar

Assistant Secretary

FORUM OF REGULATORS (FOR)

C/o CENTRAL ELECTRICITY REGULATORY COMMISSION

3rd & 4th Floor, Chanderlok Building, 36, Janpath,

New Delhi-110001

Sub: Compliance of the directions of Appellate Tribunal for Electricity issued vide Order dated 3rd November 2014 and 23rd September 2019 in OP No.1 of 2011- reg.

Ref: 1. Letter No. 15/9(1)/APTEL-TA/FOR/CERC(Vol-VI) dated 28th June 2021

Sir,

With reference to above letter, the information sought in desired format are attached herewith.

This is to inform that, the APSERC is single member Commission and Chairperson post is vacant since January 2020, so all works are lying pending since then.

Yours sincerely,


Secretary
APSERC, Itanagar

Arunachal Pradesh State Electricity Regulatory Commission (APSERC)			
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011			
Sl. No.	Particulars	FY 2020-21	
I. Timeliness of Tariff Determination Process			
A. Tariff Filing			
1.	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?		
	i. APR	Yes – 120 days before the close of each year of the control period.	
	ii. True Up	Yes — 120 days before the close of each year of the control period.	
	iii. ARR	Yes – shall be made by 30 th November every year	
	iv. Tariff Order	Yes- within a period 120 days from the date of acceptance of the tariff application	
2.	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?		
	i. APR	Not filed	
	ii. True Up	Not filed	
	iii. ARR	Yes- 29/11/2019	
	iv. Tariff Order	-	
3.	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof		
	i. APR	No	At present APSERC is single member Commission and the Post of Chairperson is vacant since January 2020.
	ii. True Up	No	
	iii. ARR	No	
	iv. Tariff Order	No	
B. Tariff Order			
4.	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?		
	i. APR	-	
	ii. True Up	-	
	iii. ARR	-	
	iv. Tariff Order	Tariff order for FY 2020-21 is not issued.	At present APSERC is single member Commission and the Post of Chairperson is vacant since January 2020.

5.	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	-
1.	Annual Revenue Requirement (in Rs. Cr.)	-
2.	Saleable Energy (in MUs)	-
3.	Average Cost of Supply (Rs./kWh)	-
4.	Average Tariff (Rs./kWh)*	-
5..	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs./kWh)	-
6.	Whether Regulatory Assets have been created?	-
7.	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	-
8.	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	-
9.	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	-
III. Fuel & Power Purchase Cost Adjustment		
1.	Whether Fuel Surcharge Adjustment	NO

	formula/mechanism provided in regulation (Yes/No)?	
2.	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	
3.	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	

State Electricity Regulatory Commission (SERC)		
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011		
Sl. No.	Particulars	FY 2020-21
I. Timeliness of Tariff Determination Process		
A. Tariff Filing		
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?	
	i. APR	Yes, Nov 30th
	ii. True Up	Yes, Nov 30th
	iii. ARR	Yes, Nov 30th
	iv. Tariff Order	Within 120 days of filing the petition
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?	
	i. APR	Yes, Nov 30th
	ii. True Up	Yes, Nov 30th
	iii. ARR	Yes, Nov 30th
	iv. Tariff Order	
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof	
	i. APR	Does not arise
	ii. True Up	Does not arise
	iii. ARR	Does not arise
	iv. Tariff Order	Does not arise
B. Tariff Order		
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?	
	i. APR	Yes
	ii. True Up	Yes
	iii. ARR	Yes
	iv. Tariff Order	15th February, 2021
5	Whether the applicability of Tariff is till the end of the financial year	Yes
1	Annual Revenue Requirement (in Rs. Cr.)	6068.99
2	Saleable Energy (in MUs)	8037
3	Average Cost of Supply (Rs./kWh)	7.55
4	Average Tariff (Rs./kWh)*	7.55
5	Revenue gap between ARR and ACS per unit of only the year in	NIL
6	Whether Regulatory Assets have been created?	No
7	If yes, whether the creation of Regulatory Assets is in line with the	Does not arise
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for	Does not arise
9	Whether carrying cost of the Regulatory Asset allowed to the	Does not arise
III. Fuel & Power Purchase Cost Adjustment		
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Yes



Chhattisgarh State Electricity Regulatory Commission
Vidhyut Niyamak Bhawan
Irrigation Colony, Shanti Nagar, Raipur - 492 001 (C.G.)
Ph.0771-4048788, Fax: 4073553
www.cserc.gov.in, e-mail: cserc.sec.cg@nic.in



Raipur, Date: 03/06/2021

No.03/CSERC/Tariff/17/2021/657

To,

✓ Assistant Secretary,
Forum of Regulators (FOR)
Central Electricity Regulatory Commission (CERC),
3rd & 4th Floor, Chanderlok Building,
36, Janpath, New Delhi - 110001
Tel : 23353503, Fax : 23753920

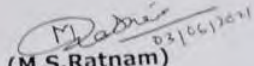
Kind Attention: Shri Rajiv Kumar

Sub : Compliance of the directions of Appellate Tribunal for Electricity
issued vide order dated 3rd November, 2014 and 23rd September,
2019 in OP No.1 of 2011-reg.

Ref : Your e-mail dated 28/05/2021.

Please find enclosed the desired information in relation to Compliance of
the directions of Appellate Tribunal for Electricity issued vide order dated 3rd
November, 2014 and 23rd September, 2019 in OP No.1 of 2011.

Encl: As above.


(M.S. Ratnam)
Secretary

Annexure-I

Chhattisgarh State Electricity Regulatory Commission

Format for compilation of data regarding the directions given by APTEL through its judgment dated 11.11.2011

Sl. No.	Particulars	FY 2020-21
I. Timeliness of Tariff Determination Process		
A. Tariff Filing		
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?	
	i. APR	Not applicable
	ii. True Up	Yes, by 30 th Nov-2019 for True up of FY 18-19
	iii. ARR	Yes, by 30 th Nov-2019
	iv. Tariff Order	Yes, within 120 days from date of registering the petition.
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Petitions are being filed as per the requirements of regulation (please also provide the date of filing)?	
	i. APR	Not applicable.
	ii. True Up	No
	iii. ARR	No
	iv. Tariff Order	Not applicable.
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof	
	i. APR	Not applicable.
	ii. True Up	Yes, Regulatory Commission has taken suo-motu action for determination of tariff by registering petition No. 12/2020.
	iii. ARR	Not applicable.
	iv. Tariff Order	Not applicable.

[Signature]
03/06/2020

B. Tariff Order		
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?	
	i. APR	Not applicable.
	ii. True Up	State owned distribution licensee i.e. Chhattisgarh State Power Distribution Company Ltd. (CSPDCL) has not filed tariff petition by 30th Nov-2019. Hence, the Commission has taken suo-motu initiative for retail tariff determination by registering a petition No. 12/2020 and passed tariff order on 30/05/2020. Tariff order could not be passed by 31st Mar-2020 due to COVID-19 pandemic.
	iii. ARR	
	iv. Tariff Order	
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	Yes, applicability of tariff is till the end of the FY 2020-21 or till the issue of next tariff order.
1	Annual Revenue Requirement (in Rs. Cr.)	14034.98
2	Saleable Energy (in MUs)	23288.22
3	Average Cost of Supply (Rs./kWh)	6.03
4	Average Tariff (Rs./kWh)*	5.93
5	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs./kWh)	0.10
6	Whether Regulatory Assets have been created?	Yes (Rs.222.22 Cr.)
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	Yes
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	Yes
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	Yes

M. K. Sharma
03/06/2021

III. Fuel and Power Purchase Cost Adjustment		
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/bi-monthly/quarterly)?	Bi-monthly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Yes

Note: * ARR shown above is after adjusting all surplus and deficit of previous years

Dr. Ravi
02/06/2021

Delhi Electricity Regulatory Commission

Viniyamak Bhavan, C-Block, Shivalik, Malviya Nagar, New Delhi-110017

No. F.9(216)/DERC/JS/FOR/VOL- XXVII/C.F.No.6621/202

Dated 22.06.2021

To

✓ Sh. Rajiv Kumar
Assistant Secretary
Forum of Regulators
C/o Central Electricity Regulatory Commission
3rd& 4th Floor, Chandralok Building, 36, Janpath,
New Delhi- 110001

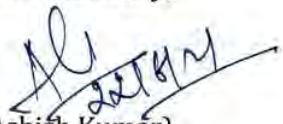
Sub.: Compliance of the directions of Hon'ble Appellate Tribunal for Electricity issued vide Order dated 3rd November 2014 and 23rd September 2019 in OP NO.1 of 2011- reg.

Sir,

Please refer to your email dated 28.05.2021 seeking information "*Compliance of the directions of Hon'ble Appellate Tribunal for Electricity issued vide Order dated 3rd November 2014 and 23rd September 2019 in OP NO.1 of 2011*" as per the enclosed format.

2. In this context, in so far as DERC is concerned, the reply is attached herewith as **Appendix 'A'**.

Yours faithfully,


(Ashish Kumar)
Dy. Secretary

Encls. as above

"WEAR FACE MASK"

"WASH HANDS REGULARLY"

"MAINTAIN SOCIAL DISTANCING"

(354)

Appendix - A

Delhi Electricity Regulatory Commission (DERC)					
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11/11/2011					
Sl. No.	Particulars	FY 2020-21			
I. Timeliness of Tariff Determination Process					
A. Tariff Filing					
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?	- N.A -			
	i. APR	Yes, At least 150 days prior to the end of relevant Financial Year [Regulation 8 and 11 of DERC (Terms and Conditions for Determination of Tariff) Regulations, 2017. Relevant extract of Regulations are enclosed herewith as Annexure-1 . Regulation 9 and 22 of DERC (Terms and Conditions for Determination of Tariff) Regulations, 2017 regarding issuance of Tariff Orders. Relevant extract of Regulations are enclosed herewith as Annexure-2 .			
	ii. True Up				
	iii. ARR				
	iv. Tariff Order				
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?	- N.A -			
	i. APR	Information submitted at Point No. 4			
	ii. True Up				
	iii. ARR				
	iv. Tariff Order				
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-moto action for determination of tariff? If not, please provide the reasons thereof	Commission has relaxed the timelines for filing of the Petition based on the requests of the utilities considering the hardship due to COVID-19.			
	i. APR				
	ii. True Up				
	iii. ARR				
	iv. Tariff Order				
B. Tariff Order					
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?				
		BRPL	BYPL	TPDDL	NDMC
	i. APR	-N.A.-			
	ii. True Up	03/12/2019	04/12/2019	23/12/2019	13/01/2020
	iii. ARR	12/02/2020	13/01/2020	14/02/2020	
	iv. Tariff Order	28/08/2020	28/08/2020	28/08/2020	28/08/2020

5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	The Tariff Order shall remain in force till replaced by a subsequent Tariff Order and/or is amended, reviewed or modified in accordance with the provisions of the Electricity Act, 2003 and Regulations made there under.			
		BRPL	BYPL	TPDDL	NDMC
1	Annual Revenue Requirement (in Rs.Cr.)	8320	4182	6155	1017
2	Saleable Energy (in MUs)	11178	6165	8316	1109
3	Average Cost of Supply (Rs./kWh)	7.02	6.78	7.40	-
4	Average Tariff (Rs./kWh)*	Tariff Schedule for FY 2020-21 is enclosed herewith as <i>Annexure-3</i>			
5	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs./kWh)	Relevant Pages of Tariff Order dated 28/08/2020 are enclosed herewith as <i>Annexure-4</i>			
6	Whether Regulatory Assets have been created?	Yes			
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	The Commission has submitted before the Supreme Court of India in Civil Appeal No. 884 of 2010 that additional surcharge of 8% shall liquidate the principal amount of the accumulated revenue gap within 6 to 8 years. Relevant Pages of Tariff Order dated 28/08/2020 is enclosed herewith as <i>Annexure-5</i>			
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?				
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	Yes. Regulation 155 of DERC (Terms and Conditions of Determination of Tariff) Regulations, 2017 specifies as follows: <i>"155. Carrying cost on average balance of accumulated revenue gap shall be allowed to the Utility at carrying cost rate approved by the Commission in the ARR of the relevant financial year: Provided that average balance of accumulated revenue gap shall be determined based on opening balance of accumulated revenue gap and half of the Revenue Gap /Surplus during the relevant year."</i> Relevant extract of Regulations are enclosed herewith as <i>Annexure-6</i>			
III. Fuel & Power Purchase Cost Adjustment					
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes			
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly			
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Yes			

- (1) Rate of interest on Loan.
 - (1) Operation and Maintenance Expenses.
 - (2) Capital Expenditure and Capitalisation.
 - (3) Non Tariff Income
 - (4) Other Business Income
 - (5) Actual Generating Parameters:
 - (a) Quantum of Generation
 - (b) Primary Fuel consumption and cost
 - (c) Gross Station Heat Rate.
 - (d) Plant Availability Factor.
 - (e) Secondary Fuel oil consumption and cost.
 - (f) Auxiliary consumption and
 - (g) Plant Load Factor.
 - (6) Actual Transmission Parameters:
 - (a) Quantum of Energy Wheeled.
 - (b) Transmission Charges and other Charges collected.
 - (c) Annual Transmission system availability.
 - (d) Annual Voltage wise Availability.
 - (e) Voltage Wise and Bay wise – O&M Expenses.
 - (7) Actual Distribution Parameters:
 - (a) Quantum of Sales Category wise.
 - (b) Connected Load Category wise.
 - (c) Base Load and Peak Load.
 - (d) Quantum of Power Purchase and Bulk Sale.
 - (e) Long term and Short Term Power Purchase Cost.
 - (f) Income through Sale of Surplus Power.
 - (g) Voltage wise Distribution Loss.
 - (h) Collection Efficiency.
 - (i) Solar and Non Solar RPO.
 - (j) The ratio of allocation for various ARR components for segregation of ARR into Retail Supply and Wheeling Business.
7. The Utility shall submit all source data and indexation parameters used in preparing the Business Plan

PART 3

TARIFF PETITION

GENERATING ENTITY and Transmission Licensee

- 8. The Generating Entity and Transmission Licensee shall submit Annual Tariff Petition, based on the information and principles specified in the Business Plan Regulations, at least, one hundred and fifty (150) days prior to the end of relevant financial Year.
- 9. The Commission shall issue Annual Tariff Order for each year of the Control Period based on the principles laid down in these Regulations read with Business Plan Regulations for the respective Control Period notified by the Commission.
- 10. The Commission shall true up various components of the ARR of the Generating Entity and Transmission Licensee at the end of the Financial Year as detailed out in these Regulations.

DISTRIBUTION LICENSEE

11. The Distribution Licensee shall submit Annual Tariff Petition, at least, one hundred and fifty (150) days prior to the end of relevant financial Year which shall contain:

- (1) Sales Forecast for the ensuing year and audited Sales for previous Year on monthly basis as prescribed in the Appendix-2;
- (2) Expected Revenue to be billed for the ensuing year and audited Revenue Billed and Realised for previous Year as prescribed in the Appendix-2;
- (3) Power Procurement Quantum & Cost for ensuing Year and audited Power Purchase Quantum & Cost for previous Year on monthly basis indicating Long Term and Short Term, Renewable Energy Purchase and other applicable Charges as prescribed in the the Appendix -2;

Provided that the Distribution Licensee shall propose the indicative cost of power procurement taking into account revenues from Short term sale of Surplus Power and maximum normative rebate available from each entity;

Provided that the Renewable Purchase Obligation of the Distribution Licensee as per the *Delhi Electricity Regulatory Commission (Renewable Purchase Obligation and Renewable Energy Certificate Framework Implementation) Regulations, 2012* as amended from time to time shall be part of the Distribution Licensee's Power Procurement Cost;

- (4) Actual and Expected intra- State & inter-State Transmission Loss & Charges including Load Dispatch Charges, Open Access Charge indicating maximum normative rebate available from each entity for the previous and ensuing Year respectively;

Provided that the Distribution Licensee shall propose Wheeling Charges in case the distribution network of other Distribution Licensee is used for procurement of power for the Retail Supply Business;

- (5) Actual and Expected amount on account of Cross-Subsidy Surcharge and Additional Surcharge to be received by the Licensee, as approved by the Commission from time to time in accordance with the Delhi Electricity Regulatory Commission (Terms and Conditions of Open Access) Regulations 2005 as amended from time to time, shall be indicated separately against the consumer category by the Distribution Licensee;
- (6) Actual Voltage wise Distribution Loss and Collection Efficiency for the previous Year;
- (7) Energy Audit Report of distribution network of the Distribution Licensee for previous Year by certified energy auditor from Bureau of Energy Efficiency;
- (8) Monthly Energy Balance for the ensuing & previous Year;
- (9) Actual and Expected additional Expenses on account of O&M beyond the Control of Distribution Licensee for the ensuing & previous Year respectively;
- (10) Actual and Expected Capitalisation and Depreciation Schedule for the previous and ensuing Year respectively;
- (11) Actual and Expected Non Tariff Income including Other Business Income for the previous and ensuing Year respectively;
- (12) Actual weighted average rate of interest on loan.

FINANCIAL STATEMENTS

12. The Tariff Petition shall be accompanied by following Reports and/or Statements duly certified by the Auditor, as applicable:

- (a) Shareholding structure of the Utility along with details of Shareholding and Cross-Shareholding among related parties;
- (b) Accounting Policies including:
 - (i) Ratio for allocation of common expenses and allocation of jointly used assets/revenue among related parties;
 - (ii) Ratio for allocation of common expenses/revenue within different business segments of the Utility:
 - a) Generation and/or Transmission and/or Distribution of a Utility,
 - b) Different Units of a Generating Entity,
 - c) Retail and Wheeling Supply of a Distribution Company,
 - d) Any other identifiable Business i.e., Consultancy etc.
- (c) Allocation of overhead cost to capitalised assets in Balance sheet and its exclusion from Operations & Maintenance expenses in Profit & Loss Account;
- (d) Year wise asset register indicating capitalisation & depreciation;

- (e) Allocation of capital cost/expense for various voltages;
- (f) Pro-rated allocation of assets/expenses for various categories of consumers;
- (g) Break up of any surcharge collected with Tariff;
- (h) Statement on source of financing and amortization of Regulatory Asset, wherever applicable, created through Revenue Gap;
- (i) Loan utilisation certificate for all the loans availed by the licensee during the relevant year duly reconciled with statutory books of accounts including:
 - a) Capital Expenditure (CAPEX),
 - b) Capital Work in Progress (CWIP),
 - c) Working Capital,
 - d) Regulatory Asset.

PART 4

PROCEDURE FOR TARIFF DETERMINATION

FILING OF PETITION FOR DETERMINATION OF TARIFF

13. The Utility shall file a Petition for True up of ARR for previous years and determination of tariff in such form and in such manner as specified in these Regulations along with relevant formats of Generating Entity, Transmission Licensee and Distribution Licensee, as the case may be, duly supported with detailed computations.

14. The Petition shall be accompanied by such fees as specified under *Delhi Electricity Regulatory Commission Comprehensive (Conduct of Business) Regulations, 2001*, as amended from time to time.

15. The Utility shall deliver a Presentation and submit the Executive Summary on the Petition under Regulation (i) before the Commission within a week of its submission.

16. Any Petition at variance or deficient with respect to these Regulations shall be returned to the Utility, for rectification and resubmission within the time specified in the communication by the Commission.

17. The Utility shall upload and maintain on its website the complete Tariff Petition as admitted by the Commission, in "downloadable format" showing detailed computations, filed before the Commission with a web-link for its easy accessibility for a period of at least 1(one) year after the date of issuance of the Tariff Order.

Explanation—For the purpose of this Regulation, the term "downloadable format" shall mean all linked files containing assumptions, formulae, calculations, macros and outputs etc. forming the basis of the Petition.

18. The Utility shall provide a copy of the Tariff Petition to any interested party, against charges not exceeding the amount stipulated by the Commission from time to time.

19. The Utility shall, if so directed by the Commission, file e-Petition (electronic mode) for determination of tariff.

PROCESS FOR DETERMINATION OF TARIFF AND ISSUANCE OF TARIFF ORDERS

20. The Commission shall upload the Tariff Petitions along-with the Executive Summary on its website within seven working days from the date of its admission for information and seeking observations, suggestions and objections from the stakeholders including the consumers or consumer associations.

21. The Commission shall conduct prudence check of the claims made by the Utility and if so required, seek additional information, supporting documents, clarifications, etc.

22. The Commission shall issue the Tariff Order after considering the observations, suggestions and objections from any person and the response received thereof from the Utility.

23. The proceedings for determination of Tariff shall be in accordance with the *Delhi Electricity Regulatory Commission Comprehensive (Conduct of Business) Regulations, 2001*, as amended from time to time.

24. Notwithstanding anything contained in these Regulations, in the event of non filing of the Tariff Petition by the Utility, the Commission may initiate suo-motu proceedings for tariff determination in accordance with these Regulations read with the Business Plan Regulations.

TARIFF SCHEDULE FOR FY 2020-21

Sr. No.	CATEGORY	FIXED CHARGES	ENERGY CHARGES				
1	DOMESTIC						
1.1	INDIVIDUAL CONNECTIONS		0-200	201-400	401-800	801-1200	>1200
			Units	Units	Units	Units	Units
A	Upto 2 kW	20 Rs./kW/month	3.00 Rs./kWh	4.50 Rs./kWh	6.50 Rs./kWh	7.00 Rs./kWh	8.00 Rs./kWh
B	> 2kW and ≤ 5 kW	50 Rs./kW/month					
C	> 5kW and ≤ 15 kW	100 Rs./kW/month					
D	>15kW and ≤ 25 kW	200 Rs./kW/month					
E	> 25kW	250 Rs./kW/month					
1.2	Single Point Delivery Supply for GHS	150 Rs./kW/month	4.50 Rs./kWh				
2	NON-DOMESTIC						
2.1	Upto 3kVA	250 Rs./kVA/month	6.00 Rs./kVAh				
2.2	Above 3kVA	250 Rs./kVA/month	8.50 Rs./kVAh				
3	INDUSTRIAL	250 Rs./kVA/month	7.75 Rs./kVAh				
4	AGRICULTURE	125 Rs./kW/month	1.50 Rs./kWh				
5	MUSHROOM CULTIVATION	200 Rs./kW/month	3.50 Rs./kWh				
6	PUBLIC UTILITIES	250 Rs./kVA/month	6.25 Rs./kVAh				
7	DELHI INTERNATIONAL AIRPORT LTD. (DIAL)	250 Rs./kVA/month	7.75 Rs./kVAh				
8	ADVERTISEMENT & HOARDINGS	250 Rs./kVA/month	8.50 Rs./kVAh				
9	TEMPORARY SUPPLY						
9.1	Domestic Connections including Group Housing Societies	Same rate as that of relevant category	Same as that of relevant category without any temporary surcharge				
9.2	For threshers during the threshing season	Electricity Tax of MCD : Rs. 270 per connection per month	Flat rate of Rs. 5,400 per month				
9.3	All other connections including construction projects	Same rate as that of the relevant category	1.30 times of the relevant category of tariff				
10	CHARGING STATIONS FOR E-RICKSHAW/E-VEHICLE ON SINGLE POINT DELIVERY / SWAPPING OF BATTERIES						
10.1	Supply at LT	-	4.50 Rs./kWh				
10.2	Supply at HT	-	4.00 Rs./kVAh				

Notes:

- For domestic category of consumers, fixed charges shall be levied on sanctioned load or the contract demand as the case may be.
- For all categories other than domestic, fixed charges are to be levied based on billing demand per kW/kVA or part thereof. Where the Maximum Demand (MD), as defined in DERC

meters may be encouraged as a cost effective option for metering in cases of "limited use consumers" who are eligible for subsidized electricity.

In line with the above provision of the National Tariff Policy states that any consumer desirous of getting subsidized tariff shall approach the State Government and if the request for subsidy is found justified, the State Government may give subsidy to that class of consumers so that these consumers get electricity at concessional tariff.

- 5.18 At present, there are number of consumer classes e.g. some slabs of domestic consumers, Agriculture and Mushroom Cultivation, Government Schools/Colleges, Hospitals, etc. which are being cross subsidized by other consumers.
- 5.19 The Commission is of the view that ideally the electricity tariff for all categories of consumers should be fixed on cost to serve basis. However, in view of the high level of prevailing regulatory assets and the liquidation plan submitted before the Hon'ble Supreme Court, the Commission has continued with a policy of subsidizing some of the consumers below the cost of supply.
- 5.20 The Commission has computed category wise revenue based on latest available data of Sales Mix, Consumers and Sanctioned Load provided by the Petitioner. The Ratio of ABR to Average Cost of Supply and category wise tariff approved for FY 2020-21 is indicated in the table as follows:

Table 5. 19: Ratio of ABR to ACoS of BRPL approved for FY 2020-21

Sr. No.	Category	ACoS	ABR at Revised Tariff	ABR at Revised Tariff to ACoS (%)
A.	Domestic	7.02	5.10	73%
B.	Non- Domestic	7.02	11.33	161%
C.	Industrial	7.02	9.85	140%
D.	Agriculture	7.02	3.61	51%
E.	Public Utilities	7.02	7.32	104%
F.	DIAL	7.02	9.58	136%
G.	E-Vehicle Charging Stations	7.02	4.50	64%

Table 5. 20: Ratio of ABR to ACoS of BYPL approved for FY 2020-21

Sr. No.	Category	ACoS	ABR at Revised Tariff	ABR at Revised Tariff to ACoS (%)
A.	Domestic	6.78	4.58	68%

BSES RAJDHANI POWER LIMITED

TARIFF ORDER FY 2020-21

Sr. No.	Category	ACoS	ABR at Revised Tariff	ABR at Revised Tariff to ACoS (%)
B.	Non- Domestic	6.78	11.43	169%
C.	Industrial	6.78	9.78	144%
D.	Agriculture	6.78	3.76	55%
E.	Public Utilities	6.78	7.98	118%
F.	DIAL	6.78	-	-
G.	E-Vehicle Charging Stations	6.78	4.50	66%

Table 5. 21: Ratio of ABR to ACOS of TPDDL approved for FY 2020-21

Sr. No.	Category	ACoS	ABR at Revised Tariff	ABR at Revised Tariff to ACoS (%)
A.	Domestic	7.40	4.73	64%
B.	Non- Domestic	7.40	11.24	152%
C.	Industrial	7.40	9.79	132%
D.	Agriculture	7.40	4.86	66%
E.	Public Utilities	7.40	7.75	105%
F.	DIAL	7.40	-	-
G.	E-Vehicle Charging Stations	7.40	4.50	61%

Act, 2003.

NDMC

2.187 Regulatory Assets Burden Issue Did not pertain to NDMC.

COMMISSION'S VIEW

2.188 Recovery of accumulated revenue gap, Regulatory Asset as envisaged in clause 8.2.2 of Tariff policy is as under:

"Carrying cost of Regulatory Assets should be allowed to the utilities.

Recovery of Regulatory Assets to be time-bound and within a period not exceeding three years at the most, preferably within the control period.

The use of the facility of Regulatory Assets should not be retrospective.

In case when Regulatory Asset is proposed to be adopted, it should be ensured that the ROE should not become unreasonably low in any year so that the capability of a licensee to borrow is not adversely affected."

2.189 The Appellate Tribunal for Electricity (APTEL) has also reiterated the above policy in its judgment dated 11/11/2011 (OP 1 of 2011).

2.190 The Commission in terms of the National Tariff Policy and in accordance with the APTEL judgment and has allowed carrying cost to DISCOMs. For liquidation of the past accumulated revenue gap, the Commission introduced a surcharge of 8% over the Tariff, in Tariff Order dated 13/07/2012, and has been revising tariff every year to a reasonable level to provide additional revenue to DISCOMs and also to reduce the burden of carrying cost on the consumers of Delhi.

2.191 The build-up of the revenue gap commenced in 2009-10 when power purchase costs went up substantially and the rate of sale of surplus power steeply declined due to stringent frequency controls imposed by CERC.

2.192 The Tariff Order for FY 2010-11 was not issued due to court proceedings. Therefore, while the tariff increase from FY 2011-12 onwards has to some extent offset the incremental increase in revenue gap, however, cumulative revenue gap along with applicable carrying costs still remained uncovered. Thus, the formula evolved by the Commission i.e., including carrying costs in the ARR every year, for tariff determination

and using 8% surcharge for liquidating the principal over time is expected to liquidate the Regulatory Assets in a reasonable period of 6 to 8 years.

- 2.193 The Commission has submitted before the Supreme Court of India in Civil Appeal No. 884 of 2010 that additional surcharge of 8% shall liquidate the principal amount of the accumulated revenue gap within 6 to 8 years.
- 2.194 The Commission determines the ARR for the DISCOMs as per the provisions of the Tariff Regulations, 2017 along with Business Plan Regulation, 2017 and Business Plan Regulation, 2019. The Commission in its Tariff Order has provided the break-up of the major components considered for projecting costs of supply during FY 2020-21, like power purchase cost, O&M costs, CAPEX, financing cost, the gap in true-up of FY 2018-19 and carrying cost for the regulatory assets etc. This forms the basis for the projection of the gap/surplus between present requirement in terms of ARR and revenue available at existing tariff. It is in the consumer's overall interest, that the gap between these two figures is filled by determining the tariffs so as to reduce the accumulated Revenue Gap/Regulatory Assets and the Carrying Cost thereof, which otherwise would impose an additional burden on the average consumer. The Tariff Order is issued after prudence check of the Petitions submitted by the DISCOMs as per relevant Regulations.

ISSUE 10: PENSION TRUST

STAKEHOLDERS' VIEW

- 2.195 Commission to initiate appropriate proceedings to frame the Regulation for DVB pensioners for providing lifetime pensions and terminal benefits liabilities of personnel of DVB.
- 2.196 Allow recovery of Pension Trust in the ARR of DISCOMs on account of payment for the pensioner.
- 2.197 The commission may allow in the ARR of three DISCOMs for FY 2020-21 an amount of Rs.936 Crore to pension trust.
- 2.198 Pension Trust recovery and surcharge are not part of Electricity Tariff.
- 2.199 Pension Trust surcharge should be discontinued.

for procurement of power/sale the rate of such power procurement shall be restricted to the average rate of power purchase/sale through exchange during same month for Delhi region.

- (d) Any surplus or deficit on account of controllable parameters i.e., Operation and Maintenance (O&M) expenses shall be to the account of the Licensee and shall not be trued up in ARR; and
- (e) Depreciation, Return on equity and interest on loan shall be trued up every year based on the actual capitalisation vis-à-vis capital investment plan (capitalisation) approved by the Commission;

Provided further that the Commission shall true up the interest rate on the basis of increase/decrease in State Bank of India Base Rate as on April 1 of the relevant financial year vis-à-vis State Bank of India Base Rate as on April 1 of the immediately preceding financial year in accordance with Regulation 0 of these Regulations;

- (f) interest on working capital loan shall be trued up every year based on the working capital requirement as specified in Regulation 0 of these Regulations.

153. The actual expenditure vis-a-vis projected expenditure incurred on Demand Side Management in the ARR shall be trued up.

REGULATORY ASSETS

154. The accumulated revenue gap, if approved by the Commission in the relevant Tariff Order shall be treated as Regulatory Assets:

Provided that such revenue gap shall be computed on the basis of excess of ARR over Revenue approved after true up of the relevant financial year.

155. Carrying cost on average balance of accumulated revenue gap shall be allowed to the Utility at carrying cost rate approved by the Commission in the ARR of the relevant financial year:

Provided that average balance of accumulated revenue gap shall be determined based on opening balance of accumulated revenue gap and half of the Revenue Gap /Surplus during the relevant year.

156. The Regulatory Asset, if any, shall be indicated in the books of accounts as follows:

- (a) The total amount of outstanding Regulatory Asset at the end of the year shall be indicated, as a separate entry, under the Assets side of the Accounts.
- (b) The period of amortisation of the Regulatory Asset and the carrying cost of the Regulatory Asset (if stipulated by the Commission in the Tariff Order or any other Order in this regard) shall be explained under the Notes to the Accounts.
- (c) For every year of amortisation, the amount of Regulatory Asset amortised during the year as approved by the Commission and the carrying cost allowed by the Commission on the balance Regulatory Asset shall be indicated under the Revenue side, once the Utility is allowed to bill the same to the consumers.

INCENTIVE OR DISINCENTIVE

157. The Utility shall be subject to incentive or dis-incentive, as the case may be, based on the performance vis-à-vis target achieved by the respective Utility:

- (a) In case of a Generating Entity incentive/penalty shall be applicable on the basis of actual performance on account of NAPAF and NAPLF as discussed in these Regulations;
- (b) In case of a transmission licensee incentive/penalty shall be applicable on the basis of actual performance on account of NATAF as discussed in these Regulations.
- (c) In case of a Distribution Licensee incentive/penalty shall be applicable on the basis of:
 - (i) Distribution Loss;
 - (ii) Collection Efficiency; and
 - (iii) Sale of Surplus Power.

TREATMENT OF DISTRIBUTION LOSS AND COLLECTION EFFICIENCY

158. Target for Distribution loss reduction shall be as specified in the Business Plan Regulations for a Control Period based on factors including previous targets and past performance.

Gujarat Electricity Regulatory Commission
Submission of data for the FY 2020-21 & 2021-22 in compliance of directions given by Hon'ble APTEL in OP No. 1 of 2011 & CEA Correspondence

Sl. No.	Particulars	FY 2020-21				FY 2021-22			
I. Timeline of Tariff Determination Process									
A. Tariff Filing									
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations?		Timeline specified in Regulations	Date of filing petition as per Regulations	Remarks		Timeline specified in Regulations	Date of filing petition as per Regulations	Remarks
		(i) ARR / Tariff Petition	Yes	30 th Nov.	-	(i) ARR / Tariff Petition	Yes	30 th Nov.	-
		(ii) APR	Not Applicable		-	(ii) APR	Not Applicable		-
		(iii) True up	Yes	30 th Nov.	-	(iii) True up	Yes	30 th Nov.	-
		(iv) Mid-Term Review	Not Applicable		-	(iv) Mid-Term Review	Not Applicable		-
2	Whether petitions for APR, True up of past expenses and ARR / Tariff are being filed as per the requirements of regulation?		Petitions Filing as per Regulations	Actual date of filing petition	-		Petitions Filing as per Regulations	Actual date of filing petition	-
		(i) ARR / Tariff for FY 2020-21	30 th Nov.	As per Annexure A	-	(i) ARR / Tariff for FY 2021-22	30 th Nov.	As per Annexure A	-
		(ii) APR for FY 2019-20	Not Applicable		-	(ii) APR for FY 2020-21	Not Applicable		-
		(iii) True up for FY 2018-19	30 th Nov.	As per Annexure A	-	(iii) True up for FY 2019-20	30 th Nov.	As per Annexure A	-
		(iv) Mid-Term Review	Not Applicable		-	(iv) Mid-Term Review	Not Applicable		-

Sl. No.	Particulars	FY 2020-21				FY 2021-22			
3	If delay in filing of APR, True up of past expenses and ARR is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof		Whether Suo Motu action has been initiated	Date of Suo Motu action	Reason for not initiating Suo Motu action		Whether Suo Motu action has been initiated	Date of Suo Motu action	Reason for not initiating Suo Motu action
		(i) ARR / Tariff for FY 2020-21	As per Annexure B			(i) ARR / Tariff for FY 2021-22	As per Annexure B		
		(ii) APR for FY 2019-20	Not Applicable			(ii) APR for FY 2020-21	Not Applicable		
		(iii) True up for FY 2018-19	As per Annexure B			(iii) True up for FY 2019-20	As per Annexure B		
		(iv) Mid-Term Review	Not Applicable			(iv) Mid-Term Review	Not Applicable		
B. Tariff Order									
4	Whether orders for APR, True up of past expense and Tariff are being issued regularly within the time specified in the Regulations?		Orders issued in time?	Actual date of order	Reason for delay, if any		Orders issued in time?	Actual date of order	Reason for delay, if any
		(i) ARR / Tariff for FY 2020-21	As per Annexure C			(i) ARR / Tariff for FY 2021-22	As per Annexure C		
		(ii) APR for FY 2019-20	Not Applicable			(ii) APR for FY 2020-21	Not Applicable		
		(iii) True up for FY 2018-19	As per Annexure C			(iii) True up for FY 2019-20	As per Annexure C		
		(iv) Mid-Term Review	Not Applicable			(iv) Mid-Term Review	Not Applicable		
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	No. The applicability of Tariff Order is until the next Tariff Order is issued.							

Sl. No.	Items	FY 2020-21	FY 2021-22
II. Adequacy of Tariff			
1	Annual Revenue Requirement (in Rs. Cr.)	As per Annexure D	
2	Saleable Energy (in MUs)		
3	Average Cost of Supply (Rs./kWh)		
4	Average Tariff (Rs./kWh)		
5	Revenue gap between ARR and ACS per unit		
6	Whether Regulatory Assets have been created?	No regulatory assets are built up in the utilities as the Commission is regularly giving the tariff orders and addressing the revenue gap through tariff increase.	
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	Not Applicable	Not Applicable
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	Not Applicable	Not Applicable
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	Not Applicable	Not Applicable
III. Fuel & Power Purchase Cost Adjustment			
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	<ul style="list-style-type: none"> • The Fuel Surcharge Adjustment formula / mechanism is not provided in regulation. • However, the FPPPA mechanism is already in place in Gujarat. 	
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly	Quarterly
3	Whether Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Yes	Yes

Annexure A

Actual Date of filing of Petitions for true up of past expenses and Average Revenue Requirement (ARR); and date of Tariff Orders

Sr. No.	Name of Utility	FY 2020-21				FY 2021-22			
		Date of Filing	Date of Registration	Case No.	Date of Tariff Order	Date of Filing	Date of Registration	Case No.	Date of Tariff Order
		Generating Licensee							
1	Gujarat State Electricity Corporation Limited (GSECL)	28.11.2019	04.12.2019	1836/2019 Truing up for FY 2018-19 and Determination of Tariff for FY 2020-21	26.03.2020	27.11.2020	09.12.2020	1908/2020 Truing up for FY 2019-20	30.03.2021
						07.01.2021	11.01.2021	1908/2020 (Addendum Petition) Determination of Tariff for FY 2021-22	
2	Torrent Power Ltd.: Generation	30.11.2019	04.12.2019	1843/2019 Truing up for FY 2018-19 and Determination of Tariff for FY 2020-21	30.03.2020	08.01.2021	11.01.2021	1925/2021 Truing up for FY 2019-20 and Determination of Tariff for FY 2021-22	31.03.2021
		Transmission Licensee							
3	Gujarat Energy Transmission Corporation Ltd. (GETCO)	29.11.2019	04.12.2019	1837/2019 Truing up for FY 2018-19 and Determination of Tariff for FY 2020-21	26.03.2020	27.11.2020	09.12.2020	1909/2020 Truing up for FY 2019-20	30.03.2021
						04.01.2021	11.01.2021	1909/2020 (Addendum Petition) Determination of Tariff for FY 2021-22	
		State Despatch Centre							
4	State Load Depatch Centre (SLDC)	30.11.2019	04.12.2019	1838/2019 Truing up for FY 2018-19 and Determination of Tariff for FY 2020-21	26.03.2020	27.11.2020	09.12.2020	1910/2020 Truing up for FY 2019-20	30.03.2021
						07.01.2021	11.01.2021	1910/2020 (Addendum Petition) Determination of Tariff for FY 2021-22	

Sr. No.	Name of Utility	Date of Filing	Date of Registration	FY 2020-21		FY 2021-22			
				Case No.	Date of Tariff Order	Date of Filing	Date of Registration	Case No.	Date of Tariff Order
				Distribution Licensee					
5	Dakshin Gujarat Vij Company Ltd. (DGVCL)	30.11.2019	11.12.2019	1840/2019 Truing up for FY 2018-19 and Determination of Tariff for FY 2020-21	31.03.2020	27.11.2020	09.12.2020	1912/2020 up for FY 2019-20 Truing	31.03.2021
						08.01.2021	11.01.2021	1912/2020 (Addendum Petition) Determination of Tariff for FY 2021-22	
6	Madhya Gujarat Vij Company Ltd. (MGVCL)	30.11.2019	11.12.2019	1841/2019 Truing up for FY 2018-19 and Determination of Tariff for FY 2020-21	31.03.2020	27.11.2020	09.12.2020	1913/2020 up for FY 2019-20 Truing	31.03.2021
						08.01.2021	11.01.2021	1913/2020 (Addendum Petition) Determination of Tariff for FY 2021-22	
7	Paschim Gujarat Vij Company Ltd. (PGVCL)	30.11.2019	11.12.2019	1842/2019 Truing up for FY 2018-19 and Determination of Tariff for FY 2020-21	31.03.2020	27.11.2020	09.12.2020	1914/2020 up for FY 2019-20 Truing	31.03.2021
						08.01.2021	11.01.2021	1914/2020 (Addendum Petition) Determination of Tariff for FY 2021-22	
8	Uttar Gujarat Vij Company Ltd. (UGVCL)	30.11.2019	11.12.2019	1839/2019 Truing up for FY 2018-19 and Determination of Tariff for FY 2020-21	31.03.2020	27.11.2020	09.12.2020	1911/2020 up for FY 2019-20 Truing	31.03.2021
						08.01.2021	11.01.2021	1911/2020 (Addendum Petition) Determination of Tariff for FY 2021-22	
9	Torrent Power Ltd. - Distribution (Ahmedabad) : TPL-D (A)	30.11.2019	04.12.2019	1844/2019 Truing up for FY 2018-19 and Determination of Tariff for FY 2020-21	31.03.2020	08.01.2021	11.01.2021	1926/2021 Truing up for FY 2019-20 and Determination of Tariff for FY 2021-22	31.03.2021

Sr. No.	Name of Utility	FY 2020-21				FY 2021-22			
		Date of Filing	Date of Registration	Case No.	Date of Tariff Order	Date of Filing	Date of Registration	Case No.	Date of Tariff Order
10	Torrent Power Ltd. - Distribution (Surat) : TPL-D (S)	30.11.2019	04.12.2019	1845/2019 Truing up for FY 2018-19 and Determination of Tariff for FY 2020-21	31.03.2020	08.01.2021	11.01.2021	1927/2021 Truing up for FY 2019-20 and Determination of Tariff for FY 2021-22	31.03.2021
OTHER SMALL Licensee									
11	Torrent Power Limited - Distribution (Dahej)	30.11.2019	04.12.2019	1846/2019 Truing up for FY 2018-19 and Determination of Tariff for FY 2020-21	31.03.2020	08.01.2021	11.01.2021	1928/2021 Truing up for FY 2019-20 and Determination of Tariff for FY 2021-22	01.04.2021
12	MPSEZ Utilities Private Limited (MUL)	12.12.2019	18.12.2019	1850/2019 Truing up for FY 2018-19 and Determination of Tariff for FY 2020-21	03.04.2020	30.12.2020	11.01.2021	1924/2021 Truing up for FY 2019-20 and Determination of Tariff for FY 2021-22	01.04.2021
13	GIFT Power Company Ltd. (GIFT PCL)	30.11.2019	04.01.2020	1851/2020 Truing up for FY 2018-19 and Determination of Tariff for FY 2020-21	09.10.2020	29.01.2021	03.02.2021	1942/2021 Truing up for FY 2019-20 and Determination of Tariff for FY 2021-22	In process
14	Aspen Park Infra Vadodara Pvt. Limited (AIVPL)	15.01.2020	18.01.2020	1853/2020 Truing up for FY 2018-19 and Determination of Tariff for FY 2020-21	04.04.2020	20.01.2021	27.01.2021	1934/2021 Truing up for FY 2019-20 and Determination of Tariff for FY 2021-22	01.04.2021
Note: The Commission vide Suo-Motu Order No. 7 of 2020 dated 22nd December, 2020 directed all the concerned utilities to file the tariff petition for FY 2021-22 based on principles and methodology as provided in the GERC (Multi- Year Tariff) Regulations, 2016 on or before 8th January 2021.									

Annexure B

The State distribution utilities have been filing their tariff petitions every year since 2005-06. The Commission has taken effective steps to ensure that state distribution utilities file their petitions in time for the respective year. In case of delay, the Commission had been asking them to file their petition.

	FY 2021-22		
	Name of Utility	Reasons put forward by utilities for delay in the filing of Tariff Petitions for FY 2021-22	Action taken by the Commission
	Generation, Transmission & Despatch Centre Distribution Licensee		
1	GSECL, TPL-G, GETCO & SLDC	Petition was filed on time.	No Action Taken.
	DGVCL / MGVCL / PGVCL / UGVCL	Petition was filed on time.	
1	DGVCL / MGVCL / PGVCL / UGVCL	Petition was filed on time.	
2	TPL - Distribution (Ahmedabad & Surat)	Petition was filed on time.	
	OTHER SMALL Licensee		
3	TPL-D(Dahej) & MPSEZ Utilities Private Limited (MUL)	Petition was filed on time.	No Action Taken.
4	GIFT Power Company Ltd. (GIFT PCL)	GIFT PCL requested the Commission to grant extension up to 31.01.2021 for filing of their Petition.	<ul style="list-style-type: none">• The Commission granted the time limit extension for filing the Petition up to 31.01.2021.• GIFT PCL filed their petition on 29.01.2021.
5	Aspen Infrastructures Limited (AIL)	AIL requested the Commission to grant extension up to 20.01.2021 for filing of their Petition.	<ul style="list-style-type: none">• The Commission granted the time limit extension for filing the Petition up to 20.01.2021.• AIL filed their petition on 20.01.2021.

	FY 2020-21		
	Name of Utility	Reasons put forward by utilities for delay in the filing of Tariff Petitions for FY 2020-21	Action taken by the Commission
1	GSECL, TPL-G, GETCO & SLDC	Petition was filed on time.	No Action Taken.
2	DGVCL / MGVL / PGVCL / UGVCL	Petition was filed on time.	
3	TPL - Distribution (Ahmedabad, Surat & Dahej)	Petition was filed on time.	
4	MPSEZ Utilities Private Limited (MUPL)	MUL requested the Commission to grant extension up to 31.12.2019 for filing of their Petition.	<ul style="list-style-type: none"> • The Commission granted the time limit extension for filing the Petition up to 31.12.2019. • MUPL filed their petition on 12.12.2019.
5	GIFT Power Company Ltd. (GIFT PCL)	Petition was filed on time.	No Action Taken.
6	Aspen Park Infra Vadodara Pvt. Limited (AIVPL)	AIVPL requested the Commission to grant extension up to 15.01.2020 for filing of their Petition.	<ul style="list-style-type: none"> • The Commission granted the time limit extension for filing the Petition up to 15.01.2020. • AIL filed their petition on 15.01.2020.

Annexure C

Sr. No.	Name of Utility	Date of Filing	Date of Registration	Date of Tariff Order	FY 2021-22
					Reason for delay, if any
1	Gujarat State Electricity Corporation Limited (GSECL)	07.01.2021	11.01.2021	30.03.2021	The Commission vide Suo-Motu Order No. 7 of 2020 dated 22nd December, 2020 directed all the concerned utilities to file the tariff petition for FY 2021-22 based on principles and methodology as provided in the GERC (Multi-Year Tariff) Regulations, 2016 on or before 8th January 2021. Licensees (except GIFT PCL & AIL) filed their petitions for Truing up of FY 2019-20 and Determination of Tariff for FY 2021-22 within the time-limit stipulated by the Commission. The Commission issued the Tariff Orders on 31.03.2021 within the time limit as specified in the GERC (MYT) Regulations, which is with effective from 01.04.2021. In the case of GIFT PCL, the Commission has asked some additional details related to their tariff petition. After submission of those details, the Commission will issue the Tariff Order.
2	Torrent Power Ltd.: Generation	08.01.2021	11.01.2021	31.03.2021	
3	Gujarat Energy Transmission Corporation Ltd. (GETCO)	04.01.2021	11.01.2021	30.03.2021	
4	State Load Depatch Centre (SLDC)	07.01.2021	11.01.2021	30.03.2021	
5	Dakshin Gujarat Vij Company Ltd. (DGVCL)	08.01.2021	11.01.2021	31.03.2021	
6	Madhya Gujarat Vij Company Ltd. (MGVCL)	08.01.2021	11.01.2021	31.03.2021	
7	Paschim Gujarat Vij Company Ltd. (PGVCL)	08.01.2021	11.01.2021	31.03.2021	
8	Uttar Gujarat Vij Company Ltd. (UGVCL)	08.01.2021	11.01.2021	31.03.2021	
9	Torrent Power Ltd. – Distribution (Ahmedabad) : TPL-D (A)	08.01.2021	11.01.2021	31.03.2021	
10	Torrent Power Ltd. – Distribution (Surat) : TPL-D (S)	08.01.2021	11.01.2021	31.03.2021	
11	Torrent Power Ltd. – Distribution (Dahej)	08.01.2021	11.01.2021	31.03.2021	
12	MPSEZ Utilities Limited (MUL)	30.12.2020	11.01.2021	01.04.2021	
13	GIFT Power Company Ltd. (GIFT PCL)	29.01.2021	03.02.2021	In Process	
14	Aspen Park Infra Vadodara Pvt. Limited (AIVPL)	20.01.2021	27.01.2021	01.04.2021	

					FY 2020-21
Sr. No.	Name of Utility	Date of Filing	Date of Registration	Date of Tariff Order	Reason for delay, if any
1	Gujarat State Electricity Corporation Limited (GSECL)	28.11.2019	04.12.2019	26.03.2020	Licensees (except MUPL & AIL) filed their petitions for Truing up of FY 2018-19 and Determination of Tariff for FY 2020-21 within the time-limit stipulated in the Regulations. The Commission issued the Tariff Orders on 31.03.2020 within the time limit as specified in the GERC (MYT) Regulations, which is with effective from 01.04.2020. In the case of GIFT PCL, the Commission had asked some additional details related to their tariff petition. After submission of those details, the Commission issued the Tariff Order on 09.10.2020
2	Torrent Power Ltd.: Generation	30.11.2019	04.12.2019	30.03.2020	
3	Gujarat Energy Transmission Corporation Ltd. (GETCO)	29.11.2019	04.12.2019	26.03.2020	
4	State Load Depatch Centre (SLDC)	30.11.2019	04.12.2019	26.03.2020	
5	Dakshin Gujarat Vij Company Ltd. (DGVCL)	30.11.2019	11.12.2019	31.03.2020	
6	Madhya Gujarat Vij Company Ltd. (MGVCL)	30.11.2019	11.12.2019	31.03.2020	
7	Paschim Gujarat Vij Company Ltd. (PGVCL)	30.11.2019	11.12.2019	31.03.2020	
8	Uttar Gujarat Vij Company Ltd. (UGVCL)	30.11.2019	11.12.2019	31.03.2020	
9	Torrent Power Ltd. – Distribution (Ahmedabad) : TPL-D (A)	30.11.2019	11.12.2019	31.03.2020	
10	Torrent Power Ltd. – Distribution (Surat) : TPL-D (S)	30.11.2019	11.12.2019	31.03.2020	
11	Torrent Power Ltd. – Distribution (Dahej)	30.11.2019	11.12.2019	17.07.2019	
12	MPSEZ Utilities Limited (MUL)	12.12.2019	18.12.2019	03.04.2020	
13	GIFT Power Company Ltd. (GIFT PCL)	30.11.2019	04.01.2020	09.10.2020	
14	Aspen Park Infra Vadodara Pvt. Limited (AIVPL)	15.01.2020	18.01.2020	04.04.2020	

Annexure D

Sr. No.	Name of Utility	FY 2020-21					FY 2021-22				
		ARR (in Rs. Cr.)	Saleable Energy (In MUs)	Avg. Cost of Supply (Rs. / kWh)	Avg. Tariff (Rs. / kWh)	Revenue Gap / (Surplus) between ACS & Avg. Tariff per unit	ARR (in Rs. Cr.)	Saleable Energy (In MUs)	Avg. Cost of Supply (Rs. / kWh)	Avg. Tariff (Rs. / kWh)	Revenue Gap / (Surplus) between ACS & Avg. Tariff per unit
		State Owned DISCOMs									
1	Dakshin Gujarat Vij Company Ltd. (DGVCL)*	13906	20305	6.849	6.634	0.21	14900	22079	6.748	6.936	(0.19)
2	Madhya Gujarat Vij Company Ltd. (MGVCL)*	6825	11076	6.162	6.114	0.05	6837	10786	6.339	6.533	(0.19)
3	Paschim Gujarat Vij Company Ltd. (PGVCL)*	18612	31859	5.842	5.774	0.07	17636	29681	5.942	6.151	(0.21)
4	Uttar Gujarat Vij Company Ltd. (UGVCL)*	13009	24584	5.292	5.318	(0.03)	13234	24624	5.374	5.568	(0.19)
		*Note:- The Commission has adjusted this gap of FY 2020-21 against the deferred FPPPA charges of FY 2018-19 , which had been recovered in the Q1 & Q2 of FY 2019-20. Therefore, to that extent, the gap has been mitigated.									
	Private Distribution Licensee										
5	Torrent Power Limited – Ahd. (TPL-A)	5978	8481	7.049	7.044	0.01	5403	7528	7.177	7.169	0.01
6	Torrent Power Limited – Surat (TPL-S)	2249	3504	6.418	6.416	0.00	2032	3258	6.237	6.449	(0.21)
	OTHER SMALL Licensee										
7	Torrent Power Ltd. – Distribution (Dahej)	200	489	4.091	4.051	0.04	220	538	4.089	4.086	0.00
8	MPSEZ Utilities Private Limited (MUPL)	195.20	377	5.184	5.257	(0.07)	212	404	5.248	5.198	0.05
9	Aspen Park Infra Vadodara Pvt. Limited (AIVPL)	7.64	11	6.858	7.361	(0.50)	6.69	10	6.690	7.030	(0.34)
10	GIFT Power Company Ltd. (GIFT PCL)	28.62	32	8.944	7.394	1.55	Is in Process				



HARYANA ELECTRICITY REGULATORY COMMISSION
Bays No. 33 - 36, Sector - 4, Panchkula-134109
Telephone No. 0172-2582531; Fax No. 0172-2572359
Website: - herc.gov.in, E-Mail: dir-trf.herc@nic.in

Through Email & Regd. Post

To

Rajiv Kumar, Assistant Secretary, FOR
Central Electricity Authority, Forum of Regulators (FOR),
1st floor, Chanderlok Building, 36, Janpath, New Delhi-110001,
Email: asecy.for@gmail.com, rajivkumar11170@gmail.com

Memo No. 1061 /HERC/734

Date: 14/06/2021

Subject: Compliance of the directions of Appellate Tribunal for Electricity issued vide Order dated 3rd November 2014 and 23rd September 2019 in OP No.1 of 2011- reg.

Please refer to your letter no 15/9(1)/2011/APTEL-TA/FOR/CERC(Vol-VI) dated 28th May, 2021 received on subject cited above.

The duly filled format, as required, is enclosed with the letter for your reference. This issues with the approval of Commission.

Director (Tariff)
HERC, Panchkula.

Haryana Electricity Regulatory Commission (HERC)

Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011

SL. No.	Particulars	
1. Timeliness of Tariff Determination Process		
A. Tariff Filing		
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Annual Revenue Requirement (ARR) and Tariff Order Specified in Tariff Regulations (yes/No and also please mention the timelines)?	
	i. APR	Yes, By 30 th November of the year preceding the first year of the relevant year of the control period.
	ii. True Up	Yes, By 30 th November of the year preceding the first year of the relevant year of the control period.
	iii. ARR	Yes, By 30 th November of the year preceding the first year of the relevant year of the control period.
	iv. Tariff Order	Yes, The Commission shall, within one hundred and twenty (120) days from the receipt of complete application and after considering all suggestions and objections received from the public/other stakeholders.
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?	
	i. APR	Yes, (Discoms, UHBVNL & DHBVNL -27.11.2020, HVPNL(Transco)-26.11.2020, HPGCL(Genco)-26.11.2020)
	ii. True Up	Yes, (Discoms, UHBVNL & DHBVNL -27.11.2020, HVPNL(Transco)-26.11.2020, HPGCL(Genco)-26.11.2020)
	iii. ARR	Yes, (Discoms, UHBVNL & DHBVNL -27.11.2020, HVPNL(Transco)-26.11.2020, HPGCL(Genco)-26.11.2020)
	iv. Tariff Order	Yes, (Discoms, UHBVNL & DHBVNL -27.11.2020, HVPNL(Transco)-26.11.2020, HPGCL(Genco)-26.11.2020)
3	If delay in filing of Annual Performance Review (APR), True up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof	
	i. APR	NA
	ii. True Up	NA
	iii. ARR	NA
	iv. Tariff Order	NA
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?	
	i. APR	1. Discoms, UHBVNL & DHBVNL: Date of Petition: 27.11.2020 Date of order: 30.03.2021 2. HVPNL: Date of Petition: 26.11.2020 Date of order: 09.03.2021 3. HPGCL: Date of Petition: 26.11.2020 Date of order: 18.02.2021

	ii. True up	1. Discoms, UHBVNL & DHBVNL: Date of Petition: 27.11.2020 order: 30.03.2021 2.HVPNL: Date of Petition: 26.11.2020 Date of order: 09.03.2021 3. HPGCL: Date of Petition: 26.11.2020 Date of order: 18.02.2021
	iii. ARR	1. Discoms, UHBVNL & DHBVNL: Date of Petition: 27.11.2020 Date of order: 30.03.2021 2.HVPNL: Date of Petition: 26.11.2020 Date of order: 09.03.2021 3. HPGCL: Date of Petition: 26.11.2020 Date of order: 18.02.2021
	iv. Tariff Order	1. Discoms, UHBVNL & DHBVNL: Date of Petition: 27.11.2020 Date of order: 30.03.2021 2.HVPNL: Date of Petition: 26.11.2020 Date of order: 09.03.2021 3. HPGCL: Date of Petition: 26.11.2020 Date of order: 18.02.2021
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	No, till it is amended/revised by the Commission after end of financial year for which tariff has been determined.
For FY 2021-22 (as per recent tariff order dated 30.03.2021)		
1	Annual Revenue Requirement (in Rs. Cr.)	Rs 29986.36 Crore (Both Discoms- UHBVNL & DHBVNL)
2	Saleable Energy (in MUs)	44,142.91 MUs (Both Discoms- UHBVNL & DHBVNL)
3	Average Cost of Supply (Rs./kWh)	6.79 (Rs./kWh)
4	Average Tariff (Rs./kWh)*	6.78 (Rs./kWh) (Total Revenue at current tariff incl.subsidy/Sales)
5	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs./kWh)	For HT- 6.79-6.57= 0.22 (Rs./kWh) For LT- 6.79-6.90= -0.11 (Rs./kWh)
6	Whether Regulatory Assets have been created?	No
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA
9	Whether carrying cost of the Regulatory Assets allowed to the utilities in the ARR of the year in which the	NA

	Regulatory Assets are created ?	
III. Fuel & Power Purchase Cost Adjustment		
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/bi-monthly/quarterly)?	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If, not please provide the reasons thereof.	Yes



संयुक्त विद्युत विनियामक आयोग
(गोवा राज्य और संघ राज्य क्षेत्र)
JOINT ELECTRICITY REGULATORY COMMISSION
(For the State of Goa and Union territories)

File No.: RA-101/2/2020

Date: 07.07.2021

To,

The Assistant Secretary (FOR)
3rd & 4th Floor
Chanderlok Building
36, Janpath New Delhi- 110001

Sub.: Compliance of the direction of the Appellate Tribunal for Electricity issued vide order dated 3rd November, 2014 and 23rd September, 2019 in OP No. 1 of 2011 – Reg.

Sir,

Please refer your E-mail dated 28th June, 2021 on subject cited above.

The duly filed format for FY 2020-21 is attached herewith as Annexure- 1 for your information and necessary Action please.

Your Sincerely,

Rajesh Dangi
(Director Engineering)

JOINT ELECTRICITY REGULATORY COMMISSION

Compiled data regarding the directions given by APTEL through its judgement dated 11.11.2011

SL. No.	Territory	Page No.
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JOINT ELECTRICITY REGULATORY COMMISSION

ANNEXURE-I

Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011

Goa

Sl. No.	Particulars	2020-21
I. Timeliness of Tariff Determination Process		
A. Tariff Filing		
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?	
	i. APR	Yes, 30.11.2019
	ii. True Up	Yes, 30.11.2019
	iii. ARR	Yes, 30.11.2019
	iv. Tariff Order	Yes, 30.11.2019
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?	
	i. APR	Yes, 11.12.2019*
	ii. True Up	Yes, 11.12.2019**
	iii. ARR	Yes, 11.12.2019*
	iv. Tariff Order	Yes, 11.12.2019*
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof	
	i. APR	The Commission has not taken any Suo- motu action however, the Commission gave Directive to file in time.

	ii. True Up	The Commission has not taken any Suo- motu action however, the Commission gave Directive to file in time.
	iii. ARR	-
	iv. Tariff Order	-
B. Tariff Order		
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?	
	i. APR	APR Order not issued since data was not found Correct.
	ii. True Up	The True Up Petition was not filed due to unavailability of audited accounts.
	iii. ARR	Date of Petition 11.12.2019 Date of Order 19.05.2020
	iv. Tariff Order	
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	Yes
1	Annual Revenue Requirement (in Rs. Cr.)	2,327.65
2	Saleable Energy (in MUs)	4,179.35
3	Average Cost of Supply (Rs./kWh)	5.57
4	Average Tariff (Rs. /kWh)	4.75
5	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs./kWh)	-0.82
6	Whether Regulatory Assets have been created?	No, Budgetary Support was available.
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets	NA

	been specified?	
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NA
III. Fuel & Power Purchase Cost Adjustment		
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Yes

* Tariff Petition was filed as per regulation, but timeline was slightly slipped.

* *True Up Petition is not yet filed by the Petitioner.

JOINT ELECTRICITY REGULATORY COMMISSION

ANNEXURE-I

Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011

A&N Islands

Sl. No.	Particulars	2020-21
I. Timeliness of Tariff Determination Process		
A. Tariff Filing		
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?	
	i. APR	Yes, 30.11.2019
	ii. True Up	Yes, 30.11.2019
	iii. ARR	Yes, 30.11.2019
	iv. Tariff Order	Yes, 30.11.2019
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?	
	i. APR	Yes, 12.12.2019*
	ii. True Up	Yes, 12.12.2019**
	iii. ARR	Yes, 12.12.2019*
	iv. Tariff Order	Yes, 12.12.2019*
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof	

	i. APR	-
	ii. True Up	The Commission has not taken any Suo-motu action however, the Commission gave Directive to file in time.
	iii. ARR	-
	iv. Tariff Order	-
B. Tariff Order		
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?	
	i. APR	Date of Petition 12.12.2019 Date of Order 18.05.2020
	ii. True Up	The True Up Petition was not filed due to unavailability of audited accounts.
	iii. ARR	Date of Petition 12.12.2019 Date of Order 18.05.2020
	iv. Tariff Order	
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	Yes
1	Annual Revenue Requirement (in Rs. Cr.)	767.20
2	Saleable Energy (in MUs)	279.51
3	Average Cost of Supply (Rs./kWh)	27.45
4	Average Tariff (Rs. /kWh)	6.90
5	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs./kWh)	-20.55
6	Whether Regulatory Assets have been created?	No, Budgetary Support was available:
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA

8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NA
III. Fuel & Power Purchase Cost Adjustment		
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	No, Not applicable due to Diesel Generation.

* Tariff Petition was filed as per regulation, but timeline was slightly slipped.

** True Up Petition is not yet filed by the Petitioner.

JOINT ELECTRICITY REGULATORY COMMISSION

ANNEXURE-I

Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011

Puducherry

Sl. No.	Particulars	2020-21
I. Timeliness of Tariff Determination Process		
A. Tariff Filing		
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?	
	i. APR	Yes, 30.11.2019
	ii. True Up	Yes, 30.11.2019
	iii. ARR	Yes, 30.11.2019
	iv. Tariff Order	Yes, 30.11.2019
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?	
	i. APR	Yes, 12.12.2019*
	ii. True Up	Yes, 12.12.2019*
	iii. ARR	Yes, 12.12.2019*
	iv. Tariff Order	Yes, 12.12.2019*
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof	

	i. APR	-
	ii. True Up	-
	iii. ARR	-
	iv. Tariff Order	-
B. Tariff Order		
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?	
	i. APR	Tariff Petition: 12.12.2019 Tariff Order:18th May 2020
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	Yes
1	Annual Revenue Requirement (in Rs. Cr.)	1653.21
2	Saleable Energy (in MUs)	2806.29
3	Average Cost of Supply (Rs./kWh)	5.89
4	Average Tariff (Rs. /kWh)	5.85
5	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs./kWh)	-.04
6	Whether Regulatory Assets have been created?	Yes
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	Yes
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	Yes
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	Yes

III. Fuel & Power Purchase Cost Adjustment		
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Done

* Tariff Petition was filed as per regulation, but timeline was slightly slipped.

JOINT ELECTRICITY REGULATORY COMMISSION

ANNEXURE-I

Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011

Chandigarh

Sl. No.	Particulars	2020-21
I. Timeliness of Tariff Determination Process		
A. Tariff Filing		
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?	
	i. APR	Yes, 30.11.2019
	ii. True Up	Yes, 30.11.2019
	iii. ARR	Yes, 30.11.2019
	iv. Tariff Order	Yes, 30.11.2019
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?	
	i. APR	Yes, 04.12.2019*
	ii. True Up	Yes, 04.12.2019*
	iii. ARR	Yes, 04.12.2019*
	iv. Tariff Order	Yes, 04.12.2019*
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof	
	i. APR	-

	ii. True Up	-
	iii. ARR	-
	iv. Tariff Order	-
B. Tariff Order		
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?	
	i. APR	Date of Petition 04.12.2019 Date of Order 19.05.2020
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	Yes
1	Annual Revenue Requirement (in Rs. Cr.)	789.18
2	Saleable Energy (in MUs)	1579.64
3	Average Cost of Supply (Rs./kWh)	5.00
4	Average Tariff (Rs. /kWh)	5.53
5	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs./kWh)	-
6	Whether Regulatory Assets have been created?	No
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NA

III. Fuel & Power Purchase Cost Adjustment		
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Done

* Tariff Petition was filed as per regulation, but timeline was slightly slipped.

JOINT ELECTRICITY REGULATORY COMMISSION

ANNEXURE-I

Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011

Lakshadweep

Sl. No.	Particulars	2020-21
I. Timeliness of Tariff Determination Process		
A. Tariff Filing		
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?	
	i. APR	Yes, 30.11.2019
	ii. True Up	Yes, 30.11.2019
	iii. ARR	Yes, 30.11.2019
	iv. Tariff Order	Yes, 30.11.2019
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?	
	i. APR	Yes, 31.12.2019*
	ii. True Up	Yes, 31.12.2019**
	iii. ARR	Yes, 31.12.2019*
	iv. Tariff Order	Yes, 31.12.2019*
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof	

	i. APR	-
	ii. True Up	The Commission has not taken any Suo-motu action however, the Commission gave Directive to file in time.
	iii. ARR	-
	iv. Tariff Order	-
B. Tariff Order		
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?	
	i. APR	Date of Petition 28.11.2019 Date of Order 18.05.2020
	ii. True Up	The True Up Petition was not filed due to unavailability of audited accounts.
	iii. ARR	Date of Petition 28.11.2019 Date of Order 18.05.2020
	iv. Tariff Order	
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	Yes
1	Annual Revenue Requirement (in Rs. Cr.)	146.48
2	Saleable Energy (in MUs)	52.54
3	Average Cost of Supply (Rs./kWh)	27.88
4	Average Tariff (Rs. /kWh)	4.76
5	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs./kWh)	-23.12
6	Whether Regulatory Assets have been created?	No, Budgetary Support was available:
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA

8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NA
III. Fuel & Power Purchase Cost Adjustment		
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	No, Not applicable due to Diesel Generation.

* Tariff Petition was filed as per regulation, but timeline was slightly slipped.

* *True Up Petition is not yet filed by the Petitioner.

JOINT ELECTRICITY REGULATORY COMMISSION

ANNEXURE-I

Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011

DNHPDCL

Sl. No.	Particulars	2020-21
I. Timeliness of Tariff Determination Process		
A. Tariff Filing		
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?	
	i. APR	Yes, 30.11.2019
	ii. True Up	Yes, 30.11.2019
	iii. ARR	Yes, 30.11.2019
	iv. Tariff Order	Yes, 30.11.2019
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?	
	i. APR	12.12.2019*
	ii. True Up	12.12.2019*
	iii. ARR	12.12.2019*
	iv. Tariff Order	12.12.2019*
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof	

	i. APR	-
	ii. True Up	-
	iii. ARR	-
	iv. Tariff Order	-
B. Tariff Order		
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?	
	i. APR	Tariff Petition: 12.12.2019 Tariff Order: 18.05.2020
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	Yes
1	Annual Revenue Requirement (in Rs. Cr.)	3463.28
2	Saleable Energy (in MUs)	6689.48
3	Average Cost of Supply (Rs./kWh)	5.18
4	Average Tariff (Rs. /kWh)	5.37
5	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs./kWh)	-
6	Whether Regulatory Assets have been created?	No
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA

9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NA
III. Fuel & Power Purchase Cost Adjustment		
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Done

* Tariff Petition was filed as per regulation, but timeline was slightly slipped.

JOINT ELECTRICITY REGULATORY COMMISSION

ANNEXURE-I

Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011

ED-Daman & Diu

Sl. No.	Particulars	2020-21
I. Timeliness of Tariff Determination Process		
A. Tariff Filing		
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?	
	i. APR	Yes, 30.11.2019
	ii. True Up	Yes, 30.11.2019
	iii. ARR	Yes, 30.11.2019
	iv. Tariff Order	Yes, 30.11.2019
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?	
	i. APR	13.12.2019*
	ii. True Up	13.12.2019*
	iii. ARR	13.12.2019*
	iv. Tariff Order	13.12.2019*
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof	

	i. APR	-
	ii. True Up	-
	iii. ARR	-
	iv. Tariff Order	-
B. Tariff Order		
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?	
	i. APR	Tariff Petition: 06.12.2019 Tariff Order: 18.05.2020
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	Yes
1	Annual Revenue Requirement (in Rs. Cr.)	1347.69
2	Saleable Energy (in MUs)	2684.12
3	Average Cost of Supply (Rs./kWh)	5.02
4	Average Tariff (Rs. /kWh)	4.90
5	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs./kWh)	-0.12
6	Whether Regulatory Assets have been created?	No
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA

9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NA
III. Fuel & Power Purchase Cost Adjustment		
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Done

* Tariff Petition was filed as per regulation, but timeline was slightly slipped.



OFFICE OF THE JOINT ELECTRICITY REGULATORY COMMISSION

FOR J&K AND LADAKH

Regd. Office: Railway road, Ambedekar (Panama) Chowk, Jammu-180016

Email: - secretary-jercjkl@gov.in

Phone No.:- 0191-2470160

The Secretary
Forum of Regulators (FOR)
C/O. Central Electricity Regulatory Commission
1st Floor, Chandralok Building, 36, Janpath,
New Delhi-110 001

No. JERC/Tech-35/2021/ 297

Dated: 18-06-2021

Subject: **"Development of Compliance of the directions of Appellate Tribunal for Electricity issued vide Order dated 3rd November 2014 and 23rd September 2019 in OP No.1 of 2011- reg.**

Ref: Your office Letter No 15/9(1)/2011/APTEL-TA/FOR/CERC(Vol-VI) dated 28-05-2021

Sir,

This takes reference to aforementioned letter wherein the Order of the APTEL dated 11th November, 2011, 3rd November, 2014 and 23rd September, 2019 in OP No.1 of 2011 issuing directions to all SERCs/JERCs to furnish details as specified in Para 65 and 66 of Order dated 11th November, 2011 and to the Secretary, Forum of Regulators to submit its compilation to the APTEL and the requisite information was sought from this office as well.

Joint Electricity Regulatory Commission for Union Territory of Jammu & Kashmir and Union Territory of Ladakh was created vide notification S.O. 1984(E) dated 18th June, 2020 under Section 83 of the Electricity Act, 2003 (36 of 2003) and started functioning from 28th August, 2020.

Therefore, in compliance of the above order, the information has been sought from the three distribution Utilities viz, JPDCL, KPDCL in UT of J&K and LPCL in UT of Ladakh. These Utilities were created on 1st November 2019, by restructuring and unbundling of J&K Power Development Department of erstwhile J&K State after enactment of the Jammu & Kashmir Reorganization Act 2019 and its division into Union Territory of Jammu & Kashmir and Union Territory of Ladakh.

The partial reports in the requisite format as specified by FOR is enclosed as Annexure-I.

Encl → 3 leaves

Yours faithfully,


(V.K Dhar) KAS, 18/06/2021
Secretary, JERC

Annexure-1

State Electricity Regulatory Commission (SERC)		
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011		
Sl. No.	Particulars	FY 2020-21
I. Timeliness of Tariff Determination Process		
A. Tariff Filing		
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?	
	i. APR	No. Consequent to the enactment of the Jammu and Kashmir Reorganization Act, 2019 and repeal of the Jammu and Kashmir Electricity Act, 2010, the Central Electricity Act, 2003 came into effect on 31.10.2019, necessitating the restructuring & unbundling of Jammu & Kashmir Power Development Department (JKPDD), in terms of mandate, functions and jurisdiction between UT of J&K and UT of Ladakh
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?	
	i. APR	The Joint Electricity Regulatory Commission for the Union Territories of Jammu & Kashmir and Ladakh was established under Section 83 of the Electricity Act, 2003 by the Government of India notification dated 18 th June, 2020 and started functioning from 28 th August, 2020. The performance of utilities for 2020-21 was reviewed by the Commission.
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof	
	i. APR	The Commission has asked for APR of FY 2020-21, True up of past years, ARR of Control Period 2021-22 to FY 2025-26 and petition for Tariff Order FY 2021-22 but due to 1 st year of unbundling and restructuring base data could not be furnished by the utilities and due to lack of base data of utilities suo-motu action for determination of tariff could not be taken inspite of delay of more than one month.
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	
B. Tariff Order		
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?	
	i. APR	The schedule date of filing petition for APR of 2020-21, ARR for control period 2021-22 to FY 2025-26 and tariff determination for FY 2021-22 was 30 th November, 2020. The utilities could not file the
	ii. True Up	
	iii. ARR	

		same and now are being asked to file Business Plan and ARR for control period 2022-23 to FY 2025-26 and tariff for FY 2021-22 & FY 2022-23 with true up of past years.
5	Whether the applicability of Tariff is till the end of the financial year(Yes/No)?	Yes. Power purchase variation and fuel cost variation of NTPCs projects would get reflected in trueing up of tariff.
As per ARR of Control Period FY 2016-17 to FY 2020-21, Tariff Determination of FY 2016-17 by erstwhile JKSERC in its order of October 2021		
1	Annual Revenue Requirement (in Rs.Cr.)	Rs 6,409 Cr. For FY 2020-21
2	Saleable Energy (in MUs)	
3	Average Cost of Supply (Rs./kWh)	Rs 6.88/ kWh
4	Average Tariff (Rs./kWh)*	Rs 4.19/ kWh
5	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs./kWh)	Rs 2.69/ kWh
6	Whether Regulatory Assets have been created?	No. Revenue gap was met by budgetary support by Government of J&K.
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	Not Applicable
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	Not Applicable
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	Not Applicable
III. Fuel & Power Purchase Cost Adjustment		
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes. Trueing up of past years take care of such variation as uncontrollable factors.
2	Frequency of adjustment of Fuel	Not Applicable

	Surcharge as per the regulations (monthly/bi-monthly/ quarterly)?	
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Not Applicable.



**JOINT ELECTRICITY REGULATORY COMMISSION
FOR MANIPUR AND MIZORAM
AIZAWL : : : MIZORAM**

TBL Bhawan 2nd – 5th floor, E-18, Peter's Street, Khatla, Aizawl-796001, Mizoram
Fax: 0389-2335523/2336299, Tel. No. : 0389-2335625/2333625
Website : www.jerc.mizoram.gov.in, **Email :** jerc.mm@gmail.com

No.H.20019/12/21-JERC/13
To

Dated Aizawl, the 14th June, 2021

The Assistant Secretary,
Forum of Regulators,
C/o Central Electricity Regulatory Commission (CERC),
3rd and 4th Floor, Chanderlok Building, 36, Janpath,
New Delhi – 110001,

Subject: Compliance of the directions of Appellate Tribunal for Electricity issued vide
Order dated 3rd Nov 2014 and 23rd Sept 2019 in OP No.1 of 2011 – reg.
Reference: Your letter No. 15/9(1)/2011/APTEL-TA/FOR/CERC(Vol-VI) dt. 28.05.2021.

Sir,

With reference to your letter on the above subject, I am directed to forward herewith reports of the data for the FY 2020-21 as per your format in respect of Manipur and Mizoram via email to asecy.for@gmail.com and rajivkumar11170@gmail.com as desired by you. For favour of kind information and necessary action.

Enclo: As above.

Yours faithfully

(RICHARD ZOTHANKIMA)
Assistant Secretary

Annexure (MANIPUR)

Joint Electricity Regulatory Commission for Manipur and Mizoram		
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011		
Sl. No.	Particulars	FY 2020-21
I. Timeliness of Tariff Determination Process		
A. Tariff Filing		
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?	
	i. APR	Yes, to be undertaken alongwith Tariff Petition of subsequent years.
	ii. True Up	Yes, to be undertaken alongwith Tariff Order after audited accounts of the year are made available after a lapse of one year.
	iii. ARR	Yes, filing of ARR shall be filed only once in a year during the control period which will be revised from the first year of the control period every year.
	iv. Tariff Order	Yes, within 120 days from the date of registration of complete application and after considering all suggestions and objectives received from the public.
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?	
	i. APR	Yes, date of filing was 31.12.2019
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	Yes, date of issuing of Tariff Order was 20.03.2020
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof	
	i. APR	No need to take suo-moto action.
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	

B. Tariff Order		
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?	
	i. APR	Yes, date of filing was 29.01.2020.
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	Yes, date of issuing of Tariff Order was 20.03.2020
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	Yes
1	Annual Revenue Requirement (in Rs. Cr.)	605.76
2	Saleable Energy (in MUs)	651.91
3	Average Cost of Supply (Rs./kWh)	9.07
4	Average Tariff (Rs./kWh)*	6.43
5	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs./kWh)	2.64 (The gap is to be met by the State Government as subsidy)
6	Whether Regulatory Assets have been created?	No
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA

8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NA
III. Fuel & Power Purchase Cost Adjustment		
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	No. It is provided in Tariff Order.
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	To be made monthly.
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Yes.

Annexure (MIZORAM)

Joint Electricity Regulatory Commission for Manipur and Mizoram		
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011		
Sl. No.	Particulars	FY 2020-21
I. Timeliness of Tariff Determination Process		
A. Tariff Filing		
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?	
	i. APR	Yes, to be undertaken alongwith Tariff Petition of subsequent years.
	ii. True Up	Yes, to be undertaken alongwith Tariff Order after audited accounts of the year are made available after a lapse of one year.
	iii. ARR	Yes, filing of ARR shall be filed only once in a year during the control period which will be revised from the first year of the control period every year.
	iv. Tariff Order	Yes, within 120 days from the date of registration of complete application and after considering all suggestions and objectives received from the public.
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?	
	i. APR	Yes, date of filing was 19.12.2019
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	Yes, date of issuing of Tariff Order was 20.03.2020
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof	
	i. APR	No need to take suo-moto action.
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	

B. Tariff Order		
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?	
	i. APR	Yes, date of filing was 28.01.2020.
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	Yes, date of issuing of Tariff Order was 20.03.2020
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	Yes
1	Annual Revenue Requirement (in Rs. Cr.)	463.93
2	Saleable Energy (in MUs)	427.51
3	Average Cost of Supply (Rs./kWh)	10.04
4	Average Tariff (Rs./kWh)*	6.28
5	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs./kWh)	3.76 (The gap is to be met by the State Government as subsidy)
6	Whether Regulatory Assets have been created?	No
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA

8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NA
III. Fuel & Power Purchase Cost Adjustment		
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	No. It is provided in Tariff Order.
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	To be made monthly.
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Yes.

Jharkhand State Electricity Regulatory Commission (SERC)				
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011				
Sl. No.	Particulars	FY 2018-19	FY 2019-20	FY 2020-21
<i>Jharkhand Bijli Vitran Nigam Limited</i>				
I. Timeliness of Tariff Determination Process				
A. Tariff Filing				
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?			
	i. APR	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 30 of each year)
	ii. True Up	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 30 of each year)
	iii. ARR	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 30 of each year)
	iv. Tariff Order	Yes (120 days from the date of Acceptance of filing)	Yes (120 days from the date of Acceptance of filing)	Yes (120 days from the date of Acceptance of filing)
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?			
	i. APR	NA	30-Nov-19	NA
	ii. True Up	30-Nov-19	NA	NA
	iii. ARR	NA	NA	30-Nov-19

	iv. Tariff Order	NA	NA	30-Nov-19
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof			
	i. APR	No		
	ii. True Up			
	iii. ARR			
	iv. Tariff Order			
B. Tariff Order				
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?			
	i. APR	As Applicable in Si. No. 1 & 2		
	ii. True Up			
	iii. ARR			
	iv. Tariff Order			
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	The Tariff Order will be applicable till the issuance of the next Tariff Order	The Tariff Order will be applicable till the issuance of the next Tariff Order	The Tariff Order will be applicable till the issuance of the next Tariff Order
1	Annual Revenue Requirement (in Rs. Cr.)	5735.61	6241.88	6326.00
	GoJ subsidy (in Rs. Cr.)	1250.00		
2	Saleable Energy (in MUs)	9187.28	9529.5	9893.78
	Revenue (in Rs. Cr.)	4018.06	5978.47	6462.16
3	Average Cost of Supply (Rs. /kWh)	6.24	6.55	6.39
4	Average Tariff (Rs. /kWh) *	5.73	6.27	6.53
5	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs. /kWh)	-0.51	-0.28	0.14

6	Whether Regulatory Assets have been created?	No	No	No
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA	NA	NA
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA	NA	NA
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NA	NA	NA
III. Fuel & Power Purchase Cost Adjustment				
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes	Yes	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly	Quarterly	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	No, Quarterly Submission was not made	No, Quarterly Submission was not made	No, Quarterly Submission was not made

Jharkhand State Electricity Regulatory Commission (SERC)				
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011				
<i>Damodar Valley Corporation Limited</i>				
Sl. No.	Particulars	FY 2018-19	FY 2019-20	FY 2020-21
I. Timeliness of Tariff Determination Process				
A. Tariff Filing				
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?			
	i. APR	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 30 of each year)
	ii. True Up	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 30 of each year)
	iii. ARR	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 30 of each year)
	iv. Tariff Order	Yes (120 days from the date of Acceptance of filing)	Yes (120 days from the date of Acceptance of filing)	Yes (120 days from the date of Acceptance of filing)
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?			
	i. APR	NA	02-Jan-20	NA
	ii. True Up	14-Jan-20	NA	NA
	iii. ARR	NA	NA	02-Jan-20
	iv. Tariff Order	NA	NA	02-Jan-20
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof			
	i. APR	No		

	ii. True Up			
	iii. ARR			
	iv. Tariff Order			
B. Tariff Order				
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?			
	i. APR	As Applicable in Si. No. 1 & 2		
	ii. True Up			
	iii. ARR			
	iv. Tariff Order			
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	The Tariff Order will be applicable till the issuance of the next Tariff Order	The Tariff Order will be applicable till the issuance of the next Tariff Order	The Tariff Order will be applicable till the issuance of the next Tariff Order
1	Annual Revenue Requirement (in Rs. Cr.)	4705.85	3429.23	3517.03
2	Saleable Energy (in MUs)	10247.22	6953.94	7179.08
	Revenue (in Rs. Cr.)	4202.83	3281.33	3419.78
3	Average Cost of Supply (Rs./kWh)	4.59	4.93	4.90
4	Average Tariff (Rs./kWh)*	4.10	4.72	4.76
5	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs./kWh)	-0.49	-0.21	-0.14
6	Whether Regulatory Assets have been created?	No	No	No

7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA	NA	NA
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA	NA	NA
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NA	NA	NA
III. Fuel & Power Purchase Cost Adjustment				
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes	Yes	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly	Quarterly	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	No, Quarterly Submission was not made	No, Quarterly Submission was not made	No, Quarterly Submission was not made

Jharkhand State Electricity Regulatory Commission (SERC)				
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011				
<i>Tata Steel Limited</i>				
Sl. No.	Particulars	FY 2018-19	FY 2019-20	FY 2020-21
I. Timeliness of Tariff Determination Process				
A. Tariff Filing				
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?			
	i. APR	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 30 of each year)
	ii. True Up	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 30 of each year)
	iii. ARR	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 30 of each year)
	iv. Tariff Order	Yes (120 days from the date of Acceptance of filing)	Yes (120 days from the date of Acceptance of filing)	Yes (120 days from the date of Acceptance of filing)
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?			
	i. APR	NA	26-Dec-19	NA
	ii. True Up	26-Dec-19	NA	NA
	iii. ARR	NA	NA	26-Dec-19
	iv. Tariff Order	NA	NA	26-Dec-19
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof			
	i. APR	No		

	ii. True Up			
	iii. ARR			
	iv. Tariff Order			
B. Tariff Order				
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?			
	i. APR	As Applicable in Si. No. 1 & 2		
	ii. True Up			
	iii. ARR			
	iv. Tariff Order			
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	The Tariff Order will be applicable till the issuance of the next Tariff Order	The Tariff Order will be applicable till the issuance of the next Tariff Order	The Tariff Order will be applicable till the issuance of the next Tariff Order
1	Annual Revenue Requirement (in Rs. Cr.)	1718.32	1507.45	1505.39
2	Saleable Energy (in MUs)	2940.02	2717.1	2812.89
	Revenue (in Rs. Cr.)	1836.62	1804.03	1797.86
3	Average Cost of Supply (Rs. /kWh)	5.84	5.55	5.35
4	Average Tariff (Rs. /kWh) *	6.25	6.64	6.39
5	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs. /kWh)	0.40	1.09	1.04
6	Whether Regulatory Assets have been created?	No	No	No
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA	NA	NA

8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA	NA	NA
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NA	NA	NA
III. Fuel & Power Purchase Cost Adjustment				
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes	Yes	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly	Quarterly	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	No, Quarterly Submission was not made	No, Quarterly Submission was not made	No, Quarterly Submission was not made

Jharkhand State Electricity Regulatory Commission (SERC)				
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011				
<i>Tata Steel Utilities & Infrastructure Services Limited</i>				
Sl. No.	Particulars	FY 2018-19	FY 2019-20	FY 2020-21
I. Timeliness of Tariff Determination Process				
A. Tariff Filing				
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?			
	i. APR	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 30 of each year)
	ii. True Up	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 30 of each year)
	iii. ARR	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 30 of each year)
	iv. Tariff Order	Yes (120 days from the date of Acceptance of filing)	Yes (120 days from the date of Acceptance of filing)	Yes (120 days from the date of Acceptance of filing)
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?			
	i. APR	NA	26-Dec-19	NA
	ii. True Up	26-Dec-19	NA	NA
	iii. ARR	NA	NA	26-Dec-19
	iv. Tariff Order	NA	NA	26-Dec-19
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof			
	i. APR	No	No	No

	ii. True Up			
	iii. ARR			
	iv. Tariff Order			
B. Tariff Order				
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?			
	i. APR	As Applicable in Si. No. 1 & 2		
	ii. True Up			
	iii. ARR			
	iv. Tariff Order			
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	The Tariff Order will be applicable till the issuance of the next Tariff Order	The Tariff Order will be applicable till the issuance of the next Tariff Order	The Tariff Order will be applicable till the issuance of the next Tariff Order
1	Annual Revenue Requirement (in Rs. Cr.)	381.26	340.64	363.72
2	Saleable Energy (in MUs)	709.3	637.78	689.37
	Revenue (in Rs. Cr.)	377.54	344.73	390.3
3	Average Cost of Supply (Rs./kWh)	5.38	5.34	5.28
4	Average Tariff (Rs./kWh)*	5.32	5.41	5.66
5	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs./kWh)	-0.05	0.06	0.39
6	Whether Regulatory Assets have been created?	No	No	No
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA	NA	NA

8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA	NA	NA
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NA	NA	NA
III. Fuel & Power Purchase Cost Adjustment				
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes	Yes	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly	Quarterly	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	No, Quarterly Submission was not made	No, Quarterly Submission was not made	No, Quarterly Submission was not made

Jharkhand State Electricity Regulatory Commission (SERC)				
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011				
<i>SAIL, Bokaro</i>				
Sl. No.	Particulars	FY 2018-19	FY 2019-20	FY 2020-21
I. Timeliness of Tariff Determination Process				
A. Tariff Filing				
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?			
	i. APR	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 30 of each year)
	ii. True Up	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 30 of each year)
	iii. ARR	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 30 of each year)
	iv. Tariff Order	Yes (120 days from the date of Acceptance of filing)	Yes (120 days from the date of Acceptance of filing)	Yes (120 days from the date of Acceptance of filing)
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?			
	i. APR	NA	NA	NA
	ii. True Up	31-Jul-19	NA	NA
	iii. ARR	NA	NA	NA
	iv. Tariff Order	NA	NA	NA

3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof			
	i. APR	No	No	
	ii. True Up			
	iii. ARR			Sou Moto Order
	iv. Tariff Order			
B. Tariff Order				
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?			
	i. APR	As Applicable in Si. No. 1 & 2		
	ii. True Up			
	iii. ARR			
	iv. Tariff Order			
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	The Tariff Order will be applicable till the issuance of the next Tariff Order	The Tariff Order will be applicable till the issuance of the next Tariff Order	The Tariff Order will be applicable till the issuance of the next Tariff Order
1	Annual Revenue Requirement (in Rs. Cr.)	589.36		
2	Saleable Energy (in MUs)	1326.11		
	Revenue (in Rs. Cr.)	645.6		
3	Average Cost of Supply (Rs. /kWh)	4.44		
4	Average Tariff (Rs. /kWh) *	4.87		
5	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs. /kWh)	0.42		
6	Whether Regulatory Assets have been created?	No	No	No

7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA	NA	NA
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA	NA	NA
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NA	NA	NA
III. Fuel & Power Purchase Cost Adjustment				
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes	Yes	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly	Quarterly	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	No, Quarterly Submission was not made		

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ನಿಯಂತ್ರಣ ಆಯೋಗ



KARNATAKA ELECTRICITY
REGULATORY COMMISSION

ಸಂಖ್ಯೆ : 16ಸಿ-1, ಮಿಲ್ಲರ್ ಟ್ಯಾಂಕ್ ಬೆಡ್ ಏರಿಯಾ
ವಸಂತನಗರ, ಬೆಂಗಳೂರು-560 052.

No. 16 C-1, Miller Tank Bed Area
Vasanthanagara, Bengaluru-560 052.

No.B/01/6/152/166

Date: 2nd June, 2021

The Assistant Secretary
Forum of Regulators
C/o Central Electricity Regulatory Commission
3rd & 4th Floor, Chanderlok Building
36, Janpath,
NEW DELHI – 110 001

Sir,

Sub: **Compliance of the Directions of Hon'ble ATE issued vide order
Dated 3rd November, 2014 and 23rd September 2019 in OP No.1/2011 – reg**

Ref: **Your Email dated 28th May 2021**

Inviting the reference to your Email dated 28th May 2021 on the above subject, I am directed to state that the KERC has already furnished the required information vide its letter No.KERC/B/01/6/849 dated 25th November, 2020. However, the copy of the said letter along with the details in the prescribed format, is herewith enclosed for your information and needful.

Thanking you,

Yours faithfully,

Secretary

For Karnataka Electricity Regulatory Commission

ಕರ್ನಾಟಕ ವಿದ್ಯುಚ್ಛಕ್ತಿ
ನಿಯಂತ್ರಣ ಆಯೋಗ



KARNATAKA ELECTRICITY
REGULATORY COMMISSION

ಸಂಖ್ಯೆ : 16ಸಿ-1, ಮಿಲ್ಲರ್ ಟ್ಯಾಂಕ್ ಬೆಡ್ ವರಿಯ
ವಸಂತನಗರ, ಬೆಂಗಳೂರು-560 052.

No. 16 C-1, Miller Tank Bed Area
Vasanthanagara, Bengaluru-560 052.

No.KERC/B/01/6/

849

Dated: 25th November, 2020

The Deputy Chief (Engineering)
Forum of Regulators, (FOR) Secretariat
C/o, Central Electricity Regulatory Commission,
3rd & 4th Floor, Chanderlok Building,
36, Janapath,
New Delhi-110 001.

Sir,

**Sub : Compliance of the directions of Hon'ble Appellate
Tribunal for Electricity issued vide order dated 3rd
November, 2014 in OP No.1 of 2011.**

Ref : KERC letter No.KERC/B/01/6/451 dated 03.09.2020

Inviting reference to the above subject, KERC in compliance to the directions of Hon'ble Appellate Tribunal for Electricity issued Tariff Order for FY21. The details of revised tariff are furnished in the prescribed proforma for the financial year 2020-21, which is enclosed herein for your kind information and further needful.

Yours faithfully,


Secretary

for Karnataka Electricity Regulatory Commission

Copy to:

Ms.Rashmi Somasekharan Nair, Dy. Chief (Regulatory Affairs), Forum of
Regulators, C/o, Central Electricity Regulatory Commission, 3rd & 4th Floor,
Chanderlok Building, 36, Janapath, New Delhi-110 001.

6/c

Format for compilation of data regarding the directions given by APTEL through its judgment-dated 11.11.2011

1. Timeliness of Tariff Determination Process		
A. Tariff Filing		
Sl.No	Particulars	2020-21
1)	<p>Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?</p> <p>i. APR</p> <p>ii. True Up</p> <p>iii. ARR</p> <p>iv. Tariff Order</p>	<p>i. APR Yes</p> <p>ii. True Up Yes</p> <p>iii. ARR Yes</p> <p>iv. Tariff Order Yes</p> <p>Timelines:</p> <p>1) For filing application for APR- not less than 120 days before the close of each Financial Year in the control Period.</p>

		<p>2) The duration of each control period under the MYT framework-3 years.</p> <p>3) For filing application for Annual determination of tariff- not less than 120 days before the commencement of such Financial Year.</p> <p>4) For issuing tariff order and approved ARR- within 120 days of the receipt of complete application.</p>
2)	<p>If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?</p> <p>i. APR</p> <p>ii. True Up</p> <p>iii. ARR</p> <p>iv. Tariff Order</p>	<p>Yes, filed as per the requirement of the Regulation as under:</p> <p>a) APR: For 2018-19</p> <p>b) Approval of revised ARR for the Financial Year 21</p> <p>c) Approval of retail supply tariff for the financial year 2021.</p> <p>c) Approval of revised Transmission Tariff for the financial year 21.</p> <p>Date of Filing:</p> <p>KPTCL on 27.11.2019</p> <p>BESCOM - on 28.11.2019</p> <p>MESCOM - on 28.11.2019</p> <p>CESC - on 28.11.2019</p>

		HESCOM - on 28.11.2019 GESCOM - on 29.11.2019 KPTCL - on 27.11.2019 Hukeri - on 27.11.2019 MSEZL - on 28.11.2019 AEQUS SEZ - on 29.11.2019
3)	<p>If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof.</p> <p>i. APR ii. True Up iii. ARR iv. Tariff Order</p>	<p>There is no delay in filing of APR, ARR and Tariff applications. The Commission has not taken any Suo-Motu action for determination of tariff. The Commission had addressed letters to all the ESCOMs to file the Tariff Petition within the time line. The ESCOMs have been complying with the directions of the Commission in the matter.</p>

B. Tariff Order		
1)	<p>Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?</p> <p>i. APR</p> <p>ii. True Up</p> <p>iii. ARR</p> <p>iv. Tariff Order</p>	<p>Yes, in all the previous years the Tariff Orders were issued within the time line specified in the Act. This year, the tariff orders were issued on 04.11.2020.</p> <p>Date of Issue of Tariff Order for FY21- Tariff Orders were issued on 04.11.2020 due to:</p> <p>a) the various restrictions and measures initiated and enforced by the Govt/GoK during lockdown period in the State from 24.03.2020 to 03.05.2020 on account of pandemic COVID 19,</p> <p>b) pendency of the Appeal filed by the KPTCL on 04.03.2020 before the Hon'ble APTEL (Appeal No.97 of 2020) against the Commission's Order on implementation of Order of the Hon'ble APTEL in Appeal No. 9/2008.</p> <p>The hearings on the Appeal were held by the Hon'ble Tribunal from 27.04.2020 to 10.08.2020. During the hearing, as desired by the Hon'ble Tribunal, KERC has submitted an undertaking before the Hon'ble APTEL that it will not issue the Tariff Orders for FY21, till the matter is disposed of by the Hon'ble Tribunal.</p> <p>The said Appeal was disposed of by Hon'ble Tribunal on 05.10.2020.</p> <p>c) the applicability of Code of Conduct on account of announcement of bye election to the two Assembly Constituencies in</p>

		<p>the State, scheduled on 03.11.2020 by the Election Commission of India vide gazette Notification dated 09.10.2020.</p> <p>The Commission has issued the tariff Order on 04.11.2020 for the financial year 2020-21.</p>
2)	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	<p>In the previous year's Tariff Order issued by the Commission, the approved tariff was made applicable till the issue of next Tariff Order.</p> <p>In the present financial year (FY21), due to total lockdown in the State from 24.03.2020 to 03.05.2020 on account of pandemic COVID 19 and pendency of the Appeal filed by the KPTCL on 04.03.2020 before the Hon'ble Tribunal (Appeal No.97 of 2020) against the Commission's Order on implementation of Order of the Hon'ble APTEL in Appeal No. 9/2008 and disposed of by Hon'ble Tribunal on 05.10.2020 and also the applicability of Code of Conduct on account of announcement of bye election to the two Assembly Constituencies scheduled on 03.11.2020 by the Election Commission of India vide gazette Notification dated 09.10.2020, the Commission has issued the Tariff Order on 04.11.2020 for FY21.</p> <p>The approved tariff is effective from the electricity consumed from the first meter reading date falling on or after 01.11.2020 and was made applicable till the issue of next Tariff Order.</p>

II. Adequacy of Tariff		
1	Annual Revenue Requirement (in Rs. Cr).	
	KPTCL	Rs.4292.87
	BESCOM	Rs.24371.86
	MESCOM	Rs.4011.33
	CESC	Rs.4821.22
	HESCOM	Rs.9382.86
	GESCOM	Rs.5713.28
	HUKERI RECS	Rs.168.23
	Mangalore SEZ	Rs.52.19
	AEQUS SEZ	Rs.23.66
2	Saleable Energy (in Mus)*	
	KPTCL	-
	BESCOM	29950.51
	MESCOM	5268.53
	CESC	6614.12
	HESCOM	12348.77
	GESCOM	7647.04
	HUKERI RECS	272.76

	Mangalore SEZ	57.22
	AEQUS SEZ	27.90
3	Average Tariff (Rs./kWh)*	- Rs.8.14 Rs.7.61 Rs.7.29 Rs.7.60 Rs.7.47 Rs.6.17 Rs.8.65 Rs.8.48
4	Revenue gap between ARR & ACS per unit. BESCOM MESCOM CESC HESCOM GESCOM Hukeri RECS Mangalore SEZ AEQUS SEZ	Nil Nil Nil Nil Nil Nil Nil Nil

5	<p>Whether Regulatory Assets have been created?</p> <p>BESCOM MESCOM CESC HESCOM GESCOM Hukeri RECS Mangalore SEZ AEQUS SEZ</p>	<p>Yes, Regulatory Assets have been created for FY21 and allowed to recover the same in FY22 and FY23.</p> <p>Rs.698.85 Rs.122.93 Rs.154.33 Rs.288.14 Rs.178.43 Rs.0.665 Rs.0.23 Rs.0.93</p>
7	<p>If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?</p>	<p>Regulatory Assets has been created in line with Tariff Policy due to complete lockdown during COVID-19, pendency of Appeal filed by KPTCL before the Hon'ble APTEL and the applicability of Code of Conduct on account of announcement of bye election to the two Assembly Constituencies scheduled on 03.11.2020 by the Election Commission of India vide gazette Notification dated 09.10.2020.</p>
8	<p>Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?</p>	<p>Roadmap for the recovery of Regulatory Assets has been specified. The Regulatory Assets created by the Commission in the Tariff Orders issued for FY 21 has been allowed to be recovered in 2 years during</p>

		FY22 and FY23 in the retail supply Tariff to be approved by the Commission for FY22 and FY23.
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	Yes, carrying cost allowed at the rate of 10% per annum.
III. Fuel & Power Purchase Cost Adjustment		
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes. The Commission has notified the Regulations for Collection of FAC on 22 nd March, 2013. The FAC is effective from 1 st July, 2013.
2	Frequency of adjustment of fuel Surcharge as per the regulation (monthly/bi-monthly/quarterly)?	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulation? If not, please provide the reasons thereof.	Fuel Surcharge Adjustment being done as per the Regulations.

***The Tariff Order for FY21 has been issued on 04.11.2020, the ESCOM wise details of category wise approved revenue, saleable energy and the Average Tariff and also for the State is furnished in Annexure I.**

Progress of Metering in ESCOMs as on 31.03.2020

<i>ESCOM</i>	<i>BJ/KJ</i>			<i>IP Set</i>			<i>Street Light</i>		
	<i>No. of Inst</i>	<i>Metered</i>	<i>% of Metering</i>	<i>No. of Inst</i>	<i>Metered</i>	<i>% of Metering</i>	<i>No. of Inst</i>	<i>Metered</i>	<i>% of Metering</i>
BESCOM	844227	838737	99.35%	935919	2011	0.21%	68016	65877	96.86%
MESCOM	184243	167585	90.96%	349360	279883	80.11%	24668	24668	100.00%
CESC	492181	492181	100.00%	406380	21138	5.20%	24504	24504	100.00%
HESCOM	764108	740484	96.91%	959119	158492	16.52%	24921	24921	100.00%
GESCOM	597675	431015	72.12%	402367	199613	49.61%	13289	11509	86.61%
Over all	2882434	2670002	92.63%	3053145	661137	21.65%	155398	151479	97.48%

STATE : KARNATAKA APPROVED REVENUE AND REALISA

FOR FY21 OF ESCOMs

STATE : KARNATAKA			APPROVED REVENUE AND REALISA			FOR FY21 OF ESCOMs																				
Sl No	Category	Description	BESCOM			MESCOM			CESC			HESCOM			GESCOM			ESCOMs	HRECS			TOTAL				
			Approved as per RST			Approved as per RST			Approved as per RST			Approved as per RST			Approved as per RST				Approved as per RST			Approved as per RST				
			Sales-MU	Revenue Rs. crores	Average Realisation In Rs. Per Kwh	Sales-MU	Revenue Rs. crores	Average Realisation In Rs. Per Kwh	Sales-MU	Revenue Rs. crores	Average Realisation In Rs. Per Kwh	Sales-MU	Revenue Rs. crores	Average Realisation In Rs. Per Kwh	Sales-MU	Revenue Rs. crores	Average Realisation In Rs. Per Kwh	ESCOMs Total ARR	Sales-MU	Revenue Rs. crores	Average Realisation In Rs. Per Kwh	Sales-MU	Revenue Rs. crores	Average Realisation In Rs. Per Kwh		
1	LT-1 (fully subsidised by Govt)	Bhagya Jyothi(Kutir Jyothi) < 40 Units																								
2	LT-1	Bhagya Jyothi(Kutir Jyothi) > 40 Units	170.94	139.15	8.14	37.29	28.38	7.61	96.17	71.57	7.29	199.94	151.95	7.60	237.95	177.75	7.47	7.64	3.83	2.36	6.17	748.12	571.16	7.63		
3	LT-2(a)(i)	Dom. / AEH - Applicable to Bruhat Bangalore Mahanagara Palike(BBMP), Municipal Corporations & all areas under Urban Local Bodies .	46.93	25.15	5.36	12.08	5.40	4.47	30.39	20.42	5.18	43.91	23.43	5.34	6.76	3.74	5.37	5.23	0.42	0.19	4.56	149.09	78.33	5.23		
4	LT-2(a)(ii)	Dom. / AEH - Applicable to areas coming under Village Panchayats	7008.10	5233.32	7.47	786.74	617.13	7.82	692.24	473.71	6.84	1054.38	898.04	8.52	924.27	708.02	7.66	7.58	9.34	5.80	6.21	10477.07	7936.02	7.57		
5	LT-2(b)(i)	Pvt. Educational Institutions Bruhat Bangalore Mahanagara Palike(BBMP), Municipal Corporations & all areas under Urban Local Bodies .	807.61	576.90	7.14	697.77	465.34	6.67	374.72	262.69	7.01	501.90	425.67	8.48	335.97	232.58	6.92	7.22	19.32	11.91	5.16	2737.31	1975.09	7.22		
6	LT-2(b)(ii)	Pvt. Educational Institutions Applicable to areas coming under Village Panchayats	51.90	47.92	9.23	8.52	7.86	9.23	7.37	6.60	8.96	18.66	17.60	9.43	14.05	11.93	8.49	9.15	0.14	0.11	7.84	100.64	92.02	9.14		
7	LT-3(i)	Commercial - Application to Bruhat Bangalore Mahanagara Palike(BBMP), Municipal Corporations & all areas under Urban Local Bodies .	7.81	6.10	7.81	5.72	4.69	8.20	3.70	2.99	8.08	4.40	4.21	9.57	2.60	2.18	8.38	8.32	0.07	0.05	7.76	24.30	20.22	8.32		
8	LT-3(ii)	Commercial - Applicable to areas coming under Village Panchayats	2204.83	2346.76	10.64	257.88	287.58	11.15	251.35	257.205	10.23	349.70	390.37	11.16	278.45	286.05	10.27	10.68	3.51	3.61	10.29	3345.70	3571.55	10.68		
9	LT-4(a)(i)	IP<10HP	221.53	208.11	9.39	150.66	147.29	9.78	91.89	87.51	9.52	171.08	163.85	9.58	18.29	11.80	9.34	9.52	4.96	4.36	8.79	738.41	702.92	9.52		
10	LT-4(b)	IP>10HP	7036.79	2955.45	4.20	1690.41	982.13	5.81	2815.67	1709.11	6.07	6714.03	4592.39	6.84	3165.94	1768.21	6.28	5.71	191.52	113.00	5.90	21614.36	12340.29	5.71		
11	LT-4 (c) (i)	Pvt. Nurseries, Coffee&Tea Plantations of sanctioned load of 10 HP& below	1.47	0.84	5.68	0.87	0.65	7.47	0.52	0.56	10.77	16.40	8.44	5.15	10.94	6.32	5.78	5.57	0.03	0.03	8.33	30.23	16.84	5.57		
12	LT-4 (c) (ii)	Pvt. Nurseries, Coffee&Tea Plantations of sanctioned load above 10 HP.	4.04	2.26	5.61	4.27	3.45	8.08	16.07	11.04	6.87	0.79	0.48	6.12	1.08	0.57	5.42	6.79	0.001	0.002	15.00	26.25	17.82	6.79		
13	LT-4(a)	Industrial - Applicable to Bruhat Bangalore Mahanagara Palike(BBMP), Municipal Corporations	1.52	0.70	4.58	3.84	3.46	9.01	8.26	7.14	8.64	0.11	0.15	14.03	0.17	0.14	9.27	8.35	0.00	0.00	0.00	13.90	11.81	8.35		
14	LT-4(b)	Industrial - Applicable to all areas other than those covered under LT5(a)	932.88	846.62	9.08	50.90	46.24	9.08	52.88	47.20	8.93	119.30	120.35	10.09	121.31	130.59	10.76	9.32	0.00	0.00	0.00	1277.27	1191.00	9.32		
15	LT-4	Water supply	364.23	370.42	10.17	83.05	85.56	10.30	100.27	92.10	9.19	217.79	235.77	10.83	58.922	56.87	9.65	10.20	6.03	4.89	6.11	830.29	845.51	10.18		
16	LT-4	Public Lighting	1848.63	1040.29	5.63	131.10	77.77	5.93	300.41	186.19	6.20	385.95	214.25	5.55	446.77	237.30	5.31	5.64	5.76	3.35	5.81	3118.85	1759.15	5.64		
17	LT-7(a)	Temporary supply	583.55	453.07	7.76	72.11	53.60	7.43	132.65	112.35	8.47	158.89	121.51	7.65	284.90	197.92	6.95	7.62	2.45	1.78	7.25	1234.55	940.22	7.62		
18	LT-7(b)	Permanent Supply to Advertising & Holding	212.54	341.29	16.06	19.56	21.52	11.00	21.57	42.43	19.67	39.01	71.92	18.44	27.12	37.90	13.97	16.11	0.24	0.26	11.00	320.04	515.32	16.10		
		LT - TOTAL	2.08	3.78	19.68	0.85	1.08	12.71	0.13	0.23	17.69	0.39	0.77	19.74	0.00	0.00	0.00	16.99	0.00	0.00	0.00	3.45	5.86	16.99		
1	HT-1	Water supply & sewerage	21507.38	14598.13	6.79	4015.60	2839.11	7.07	5007.27	3391.03	6.77	9996.63	7441.16	7.44	4015.94	4169.70	6.93	8.97	247.62	151.67	6.13	46790.43	32591.03	6.97		
2	HT-2(a)(i)	Industrial - Applicable to Bangalore Mahanagara Palike(BBMP) and Municipal Corporation.	783.18	501.40	8.40	109.04	86.32	6.08	475.07	280.22	5.90	315.10	193.34	7.44	130.51	82.05	6.29	6.20	8.47	5.06	5.97	0.00	0.00	0.00		
3	HT-2(a)(ii)	Industrial - Applicable to areas other than those under HT2(a) (i)	2692.71	2783.05	10.34	685.91	615.36	8.97	802.89	706.18	8.80	1307.45	1122.05	8.14	1227.88	1,044.28	8.50	9.33	0.00	0.00	#DIV/0!	5724.49	5342.21	9.33		
4	HT-2(b)(i)	Commercial - Applicable to areas under Bangalore Mahanagara Palike Municipal Corporation.	1883.63	1797.46	9.54	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	9.60	8.62	7.53	8.74	1973.40	1894.51	9.60		
5	HT-2(b)(ii)	Commercial - Applicable to areas other than those covered under HT2(b) (i)	2271.63	2947.87	12.98	220.55	229.14	10.39	149.80	162.75	10.86	138.29	147.47	10.68	81.15	87.52	11.03	12.54	0.00	0.00	0.00	0.00	0.00	0.00		
6	HT-2(c) (i)	Govt./ Aided Hospitals & Educational Institutions	178.78	195.38	11.05	0.00	0.00	#DIV/0!	0.00	0.00	#DIV/0!	0.00	0.00	0.00	0.00	0.00	0.00	11.05	0.59	0.63	10.68	177.37	196.01	11.05		
7	HT-2(c) (ii)	Hospitals and Educational Institutions other than covered under HT-2(c) (i)	186.08	164.43	8.84	47.26	36.14	8.07	37.01	34.54	9.33	60.36	51.47	8.53	23.57	22.70	9.71	8.79	0.11	0.12	0.00	354.41	311.60	8.79		
8	HT-3(a)(i)	LIR Irrigation - Applicable to LIR Irrigation Schemes under Govt. Deptt/ Govt. owned Corporations.	195.86	206.36	10.54	112.67	102.41	9.09	20.82	20.31	0.00	39.82	38.48	9.66	15.15	13.44	8.87	9.91	0.34	0.31	0.00	384.66	381.31	9.91		
9	HT-3(a)(ii)	LIR Irrigation - Applicable to LIR Irrigation schemes LIR Irrigation Societies connected to Urban/Express feeders.	68.45	20.53	3.00	48.50	14.55	3.00	114.00	34.20	0.00	276.03	82.81	3.00	110.82	33.24	3.00	3.00	7.01	0.88	0.00	624.81	186.21	2.98		
10	HT-3(a)(iii)	LIR Irrigation - Applicable to Private IR Irrigation schemes & L.I Societies other than those covered under HT-3(a) (i)	8.44	2.75	3.26	0.00	0.00	#DIV/0!	0.00	0.00	#DIV/0!	163.29	59.39	3.64	13.93	9.57	8.87	3.86	0.00	0.00	0.00	185.66	71.71	3.86		
11	HT - 3b	Irrigation & Agriculture Farms, Govt. Horticultural Farms, Pvt.Horticulture Nurseries, Coffee, Tea,Gosund & Arecanut Plantations	0.00	0.00	0.00	0.00	0.00	#DIV/0!	0.00	0.00	#DIV/0!	4.35	1.62	3.72	0.00	0.00	0.00	3.72	0.00	0.00	0.00	4.35	1.62	3.72		
12	HT-4(a)	Residential Apartments - Colonias	0.93	0.52	5.56	0.36	0.18	5.00	0.57	0.29	5.09	0.09	0.05	5.56	0.00	0.00	0.00	13.89	0.00	0.00	0.00	14.73	20.46	13.89		
13	HT-4	Temporary supply	80.58	67.84	8.42	23.60	18.00	7.63	4.35	3.31	7.61	14.80	11.68	7.84	15.29	11.13	7.28	8.07	0.00	0.00	0.00	138.72	111.96	8.07		
		HT - TOTAL	94.86	147.60	15.56	2.16	3.21	14.86	2.34	4.17	17.82	32.46	43.00	13.25	12.78	17.42	15.20	15.04	0.00	0.00	0.00	0.00	0.00	0.00		
		TOTAL	8443.13	8835.20	10.46	1250.05	1087.31	8.70	1606.85	1245.95	7.75	2352.14	1751.36	7.45	1631.09	1325.55	8.13	9.32	25.14	14.53	5.78	15308.40	14259.90	9.32		
		Misc. Revenue	29950.51	23433.33	7.82	5265.65	3926.42	7.46	6614.12	4636.96	7.01	12348.77	9192.52	7.44	7647.03	5495.45	7.19	7.55	272.76	166.22	6.09	62098.83	46850.91	7.54		
		Grand Total	29950.51	24371.86	8.14	5268.53	4011.32	7.61	6614.12	4821.22	7.29	12348.77	9382.86	7.60	7647.03	5713.27	7.47	7.81	272.76	168.36	6.17	62101.71	46468.88	7.805		

61828.95 48800.52

62101.71 46468.88



KERALA STATE ELECTRICITY REGULATORY COMMISSION

KPFC BHAVANAM, C.V. RAMAN PILLAI ROAD, VELLAYAMBALAM,
THIRUVANANTHAPURAM, KERALA, PIN 695 010
Phone: 0471 273 5544, FAX 0471 273 5599, Website: www.erckerala.org
E-mail: kserc@erckerala.org

No.1079/Con.Engg/KSERC/2021

Date: 08.07.2021

To

The Assistant Secretary
Forum of Regulators,
Central Electricity Regulatory Commission (CERC),
3rd & 4th Floor, Chandralok Building,
36 Janpath, New Delhi-110 001
(asecy.for@gmail.com)

Sir,

Sub: Compliance of the directions of the Hon'ble APTEL issued vide Order dated 03-11-2014 and 23-09-2019 in OP No.1 of 2011-reg

Ref: That Office E-mail No.15/9(1)/2011/APTEL-TA/FOR/CERC (Vol-VI) dated 28-06-2021.

The compliance report for the year 2020-21 in accordance with the directions issued by the Hon'ble APTEL issued vide Order dated 03-11-2014 and 23-09-2019 in OP No.1 of 2011 is forwarded herewith.

Yours faithfully,

Secretary.

State Electricity Regulatory Commission (SERC)

Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011

Sl. No.	Particulars	FY 2020-21
I. Timeliness of Tariff Determination Process		
A. Tariff Filing		
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?	
	i. APR	Yes - Only mid term performance review (MPR)
	ii. True Up	Yes
	iii. ARR	Yes - Multi Year Tariff Mode
	iv. Tariff Order	Yes - If filed
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?	
	i. APR	Filed MPR as per regulation on 23.04.2020
	ii. True Up	Filing of the truing up petition delayed by KSEB Ltd and Truing up petition filed up to the year 2018-19, which is filed on 23.04.2020
	iii. ARR	Filed for the control period 2018-2022 admitted by the Commission on 05.11.2018
	iv. Tariff Order	Order Issued for the control period 2018-2022 on 08.07.2019
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof	
	i. APR	Delay Condoned
	ii. True Up	Notice being issued for the year 2019-20
	iii. ARR	Already filed and approved
	iv. Tariff Order	

B. Tariff Order

4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?	
	i. APR	MPR
	ii. True Up	KSEB Ltd yet to file truing up petition for the year 2019-20
	iii. ARR	Multi Year Tariff Mode - 08.07.2019
	iv. Tariff Order	Date of the Order : (2021-22) - 19.03.2021
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	Yes
2020-21		
1	Annual Revenue Requirement (Rs.Cr.)	Rs.14786.40 Crore
2	Saleable Energy (in MUs)	23957.26 MU
3	Average Cost of Supply (Rs./kWh)	Rs.6.17
4	Average Tariff (Rs./kWh)*	Rs.6.11
5	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs./kWh)	Rs.0.06
6	Whether Regulatory Assets have been created?	No
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	-

8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	Amortisation for the control period for the accumulated unbridged revenue gap provided to the tune of Rs.3100.00 crores. Balance accumulated revenue gap for which carrying cost is to be given at the end of 2021-22 as per the MYT ARR order is Rs.285.92 crores only.
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	Yes
III. Fuel & Power Purchase Cost Adjustment		
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Matter is subjudice. The issue of fuel surcharge in the case of certain unapproved PPAs have been litigated in Hon'ble APTEL and the APTEL directions have been stayed by the Hon'ble Supreme Court. Matter is pending in the Apex Court at present.



महाराष्ट्र विद्युत नियामक आयोग Maharashtra Electricity Regulatory Commission



No. MERC/FoR/OP No. 1/341

29 July, 2021

The Secretary,
Forum of Regulators,
C/O Central Electricity Regulatory Commission (CERC)
3rd Floor & 4th floor, Chanderlok Building
36, Janpath, New Delhi 110 001

Subject:- Compliance of the directions of Hon'ble Apellate Tribunal for Electricity issued vide Order dated 3rd November 2014 and 23rd September 2019 in OP No.1 of 2011- reg.

Ref: - Mail dated 16 July, 2021

Sir,

With reference FoR's email dated 16 July, 2021 regarding submission of compliance of APTEL Order in OP No. 1 of 2011, information pertaining to Maharashtra Electricity Regulatory Commission (MERC) in prescribed format is enclosed herewith.

Kindly note that in compliance of mandate under the Electricity Act, 2003 and the Tariff Policy framed there under, the MERC has notified Multi Year Tariff Regulations, 2019 for the 4th control period starting from FY 2020-21 to FY 2024-25 for State of Maharashtra on 1 August, 2019. Under this MYT framework, tariff for each year of the Control Period is decided upfront at the start of Control Period. Thus, once a Financial Year ends, new Tariff as already approved in MYT Order becomes applicable from 1 April of next financial year.

Further, MYT frameworks also has provision of Mid Term Review, wherein variation from projections approved in MYT Order can be scrutinized and appropriate correction in approved tariff for remaining period of Control Period can be undertaken. This process has enabled utilities to pass on variation at mid of the Control Period without waiting till end of the Control Period.

The State of Maharashtra has four major electricity Distribution Licensees, namely the Maharashtra State Electricity Distribution Company Limited (MSEDCL), the Brihanmumbai Electric Supply and Transport Undertaking (BEST), Adani Electricity Maharashtra Ltd.-Distribution (AEML-D) and The Tata Power Company Limited-Distribution (TPC-D).

Detailed compliance status of these Distribution Licensees with respect of APTEL Judgment in OP No.1 in prescribed format is enclosed herewith.

Yours's Faithfully

(Dr. Rajendra G. Ambekar)
Executive Director

Encl: Information in prescribed format

Maharashtra State Electricity Regulatory Commission (MERC)					
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011					
Sl. No.	Particulars	FY 2020-21			
		MSEDCL	AEML-D	TPC-D	BEST
I. Timeliness of Tariff Determination Process					
A. Tariff Filing					
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?	Yes All Distribution Licensees to submitted the Multi Year Tariff Petition for the fourth control period from FY 2020-21 to FY 2024-25 by 30 November, 2019 as stipulated in MERC Multi Year Tariff Regulations, 2019			
	i. APR				
	ii. True Up				
	iii. ARR				
	iv. Tariff Order				
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?	27 November, 2019	30 November, 2019	29 November, 2019	29 November, 2019
	i. APR				
	ii. True Up				
	iii. ARR				
	iv. Tariff Order				
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination	Not applicable			



	of tariff? If not, please provide the reasons thereof				
	i. APR				
	ii. True Up				
	iii. ARR				
	iv. Tariff Order				
B. Tariff Order					
		MSEDCL	AEML-D	TPC-D	BEST
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?	Date of Tariff Petition filed by the Distribution Licensees as indicated in Sr No 2			
	i. APR				
	ii. True Up				
	iii. ARR				
	iv. Tariff Order	Date of Tariff Orders:- 30 March 2020			
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	Yes			
		MSEDCL	AEML-D	TPC-D	BEST
1	Annual Revenue Requirement (in Rs.Cr.)	80918	6602.67	3016.61	3393.64
2	Saleable Energy (in MUs)	110622	8834.29	4678.46	4643.66
3	Average Cost of Supply (Rs./kWh)	7.31	7.47	6.45	7.31
4	Average Tariff (Rs./kWh)*	7.31	7.47	6.45	7.31
5	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs./kWh)	Nil			
6	Whether Regulatory Assets have been created?	Nil			
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	Nil			



8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	Nil
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	Nil
III. Fuel & Power Purchase Cost Adjustment		
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes. Fuel Surcharge Adjustment formula is provided in MYT Tariff Regulations, 2019
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Monthly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Yes. Fuel Surcharge Adjustment being done as per MYT Tariff Regulations, 2019. Further, FAC Stabilisation fund have been created to have minimal impact of monthly FAC variation on end consumers.



Annexure-1

Meghalaya State Electricity Regulatory Commission (SERC)		
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011		
Sl. No.	Particulars	FY 2020-21
		1. Timeliness of Tariff Determination Process
		A. Tariff Filing
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?	
	i.APR	Quarterly.
	ii. True Up	Yes, by 30 th November 2019
	iii. ARR	Yes, by 30 th November 2019
	iv. Tariff Order	Yes, by 31 st of March 2020
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?	
	i.APR	The tariff petition for FY 2020-21 was filed on 29 th November 2019 and the order issued by the Commission on 25 th March 2020
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof	
	i. APR	There was no delay in respect of ARR and tariff order.
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	

B. Tariff Order		
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?	
	i. APR	The tariff petition for FY 2020-21 was filed on 29 th November 2019. The Commission has issued the tariff order for FY 2020-21 on 25 th March 2020.
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	Yes.
1	Annual Revenue Requirement (in Rs. Cr.)	824.12*
2	Saleable Energy (in MUs)	1062.34
3	Average Cost of Supply (Rs./kWh)	6.62
4	Average Tariff (Rs./kWh)*	6.01
5	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs./kWh)	120.36 Crore.
6	Whether Regulatory Assets have been created?	Not yet.
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA

39110/2021/FOR - CERC

8.	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA
9.	Whether carrying cost of the Regulatory assets allowed to the utilities in the ARR of the year in which Regulatory assets are created?	NA
III. Fuel & Power Purchase Cost Adjustment.		
1.	Whether Fuel Surcharge adjustment formula/mechanism provided in regulation (Yes/No)?	Yes.
2.	Frequency adjustment of Fuel Surcharge as per the regulations (monthly/bi-monthly/quarterly)?	Approved by the Commission from time to time.
3.	Fuel Surcharge adjustment being done as per the regulations? If not, please provide the reasons thereof.	Yes.

Note: * ARR after adjusting all the revenue gap/surplus of previous year.

**NAGALAND ELECTRICITY REGULATORY COMMISSION (NERC)
NAGALAND : KOHIMA**

*Upper Agri Colony, House No.341,
Nagaland:Kohima-797001
Tel/Fax: 2241592(R)
e-mail:nerc_kohima@yahoo.com
nerckohima@hotmail.com
www.nerc.org.in*

No. NERC/FOR /T-6/20121(Pt-IV)/724

Dated Kohima, the 15th July, '21

To,

The Secretary,
Forum of Regulators (FOR),
C/o. Central Electricity Regulatory Commission (CERC),
3rd & 4th Floors, Chanderlok building,
36, Janpath, New Delhi- 110001.

Sub:- **Compliance of the directions of Hon'ble Appellate Tribunal for Electricity issued vide Order dated 3rd November 2014 & 23rd September 2019 in OP No.1 of 2011-reg.**

Ref:- Your letter No. 15/9(1)/2011/APTEL-TA/FOR/CERC(Vol-VI) dated 28th June, 2021.

Sir,

I am directed to enclose herewith the prescribed format duly filled as desired for your necessary action please.

Encl:- As stated above.

Yours faithfully,

Sd/-

Er. HEKAVI N. AYEMI
Assistant Engineer (Tariff),
Office Incharge,
Nagaland Electricity Regulatory
Commission (NERC), Kohima.

No. NERC/FOR /T-6/20121(Pt-IV)/

Dated Kohima, the th July, '21

Copy to:-

1. The Principal Secretary, Power Department, Nagaland, Kohima.
2. The Engineer-in-Chief, Department of Power, Nagaland, Kohima.
3. The Chief Engineer (D&R), Department of Power, Nagaland, Kohima.

Sd/-

Er. HEKAVI N. AYEMI

Annexure-1

State Electricity Regulatory Commission (SERC)		
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011		
Sl. No.	Particulars	FY 2020-21
I. Timeliness of Tariff Determination Process		
A. Tariff Filing		
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?	
	i. APR	Yes by 30 th November every year.
	ii. True Up	Yes by 30 th November every year.
	iii. ARR	Yes by 30 th November every year.
	iv. Tariff Order	Yes by 30 th November every year.
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?	
	i. APR	The sole license i.e. Department of Power, Nagaland did not file APR for FY 2019-20, True-Up for FY 2018-19, APR & Tariff Petition for FY 2021-22.
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof	
	i. APR	No suo-motu action was initiated due to non-manning of post of the Chairman in the Commission.
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	

B. Tariff Order		
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?	Yes (Tariff Petition by 30th November and Tariff Order by 31st March).
	i. APR	
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	Yes.
1	Annual Revenue Requirement (in Rs. Cr.)	618.04 Cr. during FY 2019-20.
2	Saleable Energy (in MUs)	713.19 MU during FY 2019-20.
3	Average Cost of Supply (Rs./kWh)	8.67/kWh.
4	Average Tariff (Rs./kWh)*	5.20/kWh.
5	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs./kWh)	3.47/kWh.
6	Whether Regulatory Assets have been created?	No, since all the revenue gap are being covered by the State Government.
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA

8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NA
III. Fuel & Power Purchase Cost Adjustment		
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	No such regulations has been framed for the State of Nagaland since there is no Coal based Thermal Plant in the State.
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	NA
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	NA

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ODISHA ELECTRICITY REGULATORY COMMISSION
BIDYUT NIYAMAK BHAVAN, PLOT NO. 4
CHUNOKOLI, SHAILASHREE VIHAR,
BHUBANESWAR - 751021

TEL. No. 2721048, 2721049, FAX: 2721053/2721057

E-mail : orierc@gmail.com

Website : www.orierc.org

No. DIR(T)-323/2008/767
Dated: 02.07.2021

To

Shri Rajiv Kumar,
Assistant Secretary,
Forum of Regulators (FOR),
C/o. Central Electricity Regulatory Commission (CERC),
3rd & 4th Floor, Chanderlok Building, 36,
Janpath, New Delhi -110001
E-mail: asecy.for@gmail.com.

Sub: Compliance of the directions of Appellate Tribunal for Electricity issued vide Order dated 3rd November, 2014 and 23rd September, 2019 in OP No.1 of 2011-reg.

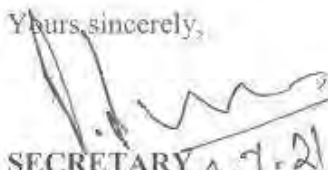
Ref: Your letter No.15/9(1)/2011/APTEL-TA/FOR/CERC(Vol.VI)/ dt.28.5.2021.

Sir,

In inviting reference to above, please find enclosed herewith the format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011 dully filled in, for your information and necessary action at your end.

Encl: As above

Yours sincerely,


SECRETARY 2.7.21

STATE ELECTRICITY REGULATORY COMMISSION (SERC)		
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011		
Sl.No.	Particulars	FY 2020-21
I. Timeliness of Tariff Determination Process		
A. Tariff Filing		
1.	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mentioned the timelines)?	
	i. APR	Yes, after completion of the financial year.
	ii. True Up	During tariff filing of next year
	iii. ARR	30 th November of each year
	iv. Tariff Order	After 120 days from the tariff filing date and before 31 st March
2.	If Yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?	
	i. APR	Yes, this year performance review dates TPWODL on 18-06-21 at 03.00 PM TPCODL on 23-06-21 at 11.00 AM TPSODL on 21-06-21 at 11.00 AM OPTCL on 23-06-21 at 03.00 PM GRIDCO on 21-06-21 at 03.00 PM TPNODL on 24-06-21 at 11.00 AM
	ii. True Up	Yes, Truing up of Expenses for FY 2018-19 filed by GRIDCO on 02-11-2020, Truing up application filed by OPTCL on 19-01-2021 for FY 2019-20, Truing up application of GRIDCO for FY 2019-20 filed by 16-04-2021.
	iii. ARR	Yes, OPHC filed on 27-11-2020, OPGC-01-12-2020, GRIDCO-01-12-2020, OPTCL – 01-12-2020, SLDC (OPTCL) – 27-11-2020, WESCO Utility (TPWODL) – 01-12-2020, NESCO (TPNODL) – 01-12-2020, SOUTHCO (TPSODL) -01-12-2020, TPCODL – 01-12-2020
	iv. Tariff Order	BSP Order on 26-03-2021. Transmission Tariff on 26-03-2021, OPGC on 26-03-2021, SLDC on 26-03-2021.

3. If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof.

- i. APR
- ii. True Up
- iii. ARR
- iv. Tariff Order

Always filed in time.

B. Tariff Order

4. Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (APR) and Tariff Order are being issued regularly within the time specified in accordance with the Act (Please indicate the date of tariff petition and date of tariff order)?

- I. APR
- II. True Up
- III. ARR
- IV. Tariff Order

Yes, mentioned above at Sl. No.2

5. Whether the applicability of Tariff is till the end of the financial year (Yes/No)?

Yes

1.	Annual Revenue Requirement (in Rs. Cr.)	11532.34 crore for DISCOMs
2.	Saleable Energy (in MUs)	21950.22 MU (for FY 2021-22)
3.	Average Cost of Supply (Rs./kWh)	548.40 Paise/Unit (for FY 2021-22)
4.	Average Tariff (Rs./kWh)*	EHT-626.50, HT - 623.90, LT-466.07 (for FY 2021-22)
5.	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs./kWh)	Rs.77.33 crore (Positive Gap) (for FY 2021-22)
6.	Whether Regulatory Assets have been created?	No
7.	If yes, whether the creation of Regulatory Assets is in line with the National Policy?	NA

8.	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA
9.	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NA
III. Fuel & Power Purchase Cost Adjustment		
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes
2.	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/bi-monthly/quarterly)?	Once
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Yes

PUNJAB STATE ELECTRICITY REGULATORY COMMISSION
Plot No. 3, Block-B, Sector 18-A, Madhya Marg, Chandigarh (UT)
Ph. 172-2861800, Fax 0172-2540491

To

Sh. Rajiv Kumar
Asstt. Secretary,
Forum of Regulators,
C/o Central Electricity Regulatory Commission (CERC)
3rd Floor, Chanderlok Building
36, Janpath, New Delhi 110 001

[e-mail:asecy.for@gmail.com]
[rajivkumar11170@gmail.com]

Memo No. *1432* /PSERC/DTJ-15

Dated: *10/6/21*

Subject: Compliance of the directions of Appellate Tribunal for Electricity issued vide Order dated 3rd November 2014 and 23rd September 2019 in OP No.1 of 2011

With reference to your office memo no. 15/9(1)/2011/APTEL-TA/FOR/CERC(Vol.VI) dated 28.05.2021 on the captioned subject, requisite information on the approved format is enclosed please.

DA/as above

Amved
Secretary

Annexure-I

Punjab State Electricity Regulatory Commission (PSERC)

Format for compilation of data regarding the directions given by APTEL through its judgment dated 11.11.2011

Sr. No.	Particulars	2020-21
I. Timeliness of Tariff Determination Process		
A. Tariff Filing		
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?	
	i. APR	Yes / 30 th November of each year of the Control Period
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	Yes/Within 120 days of acceptance of the filing
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?	
	i. APR	Yes Date of filing: For PSPCL: 27.11.2019 For PSTCL: 29.11.2019
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof	
	i. APR	Not Applicable
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	



Sr. No.	Particulars	2020-21
B. Tariff Order		
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?	
	i. APR	The Commission endeavours to issue Tariff Order as per timelines specified in the Regulations. However, the Tariff Order for FY 2020-21 was delayed due to the lockdown imposed due to COVID19 pandemic and was issued on 01 st June 2020.
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	Yes
1	Annual Revenue Requirement (in Rs. Crore)	31100.62
2	Saleable Energy (in MUs)	48207
3	Average Cost of Supply (Rs./kWh)	6.45
4	Average Tariff (Rs./kWh)*	6.45
5	Revenue gap between ARR and ACS per unit of only the year in consideration.	NIL
6	Whether Regulatory Assets have been created?	No
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy.	Not Applicable
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	Not Applicable
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	Not Applicable

2

Sr. No.	Particulars	2020-21
III. Fuel & Power Purchase Cost Adjustment		
1	Whether Fuel Surcharge Adjustment formula/ mechanism provided in regulation (Yes/No)?	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Yes





RAJASTHAN ELECTRICITY REGULATORY COMMISSION

'Vidyut Viniyamak Bhawan', Near State Motor Garage, Sahakar Marg, Jaipur

Tel.: 0141-2741299, 2740067 Fax: 0141-2741018 E-mail: mercipr@yahoo.co.in

Website: www.erc.rajasthan.gov.in

RERC/Secy. /Dir (Tech.-I) /F / D 359

Dt. 2/07/2021

The Assistant Secretary,
Forum of Regulators,
Secretariat: C/o. ,
Central Electricity Regulatory Commission (CERC),
3rd & 4th Floors, Chandralok Building,
36-Janpath, New Delhi-110001

Sub:- Compliance of the directions of Hon'ble Appellate Tribunal for Electricity issued vide order dated 3rd November, 2014 and 23rd September, 2019 in OP No: 1 of 2011-reg.

Ref:- Letter No. 15/9(1)/2011/ APTEL-TA/FOR/CERC(Vol-VI) dated 28th June, 2021 from Assistant Secretary-FOR

Sir,

With reference to the above, kindly find enclosed herewith the requisite information in the format prescribed by the FOR.

Encl:- As above

Yours faithfully,

(B.K.Dosi)
Secretary

RAJASTHAN ELECTRICITY REGULATORY COMMISSION (RERC)

Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011

S. No.	Particulars			FY 2020-21
I. Timeliness of Tariff Determination Process				
A. Tariff Filing- 2020-21				
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines) ?			
	i. APR	All Discoms	Yes, latest by 30th November of each year for petition for Trueing up of ARR of previous year	
	ii. True Up			
	iii. ARR	All Discoms	Yes, latest by 30th November of each year for petition for approval of ARR and determination of Tariff for ensuing year	
	iv. Tariff Order			
2	IF yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirement of regulation (please also provide the date of filing)			
	i. APR	JVVNL	Yet to be filed as due date of filing is 30.11.2021	
	ii. True up	AVVNL	Yet to be filed as due date of filing is 30.11.2021	
		JdVVNL	Yet to be filed as due date of filing is 30.11.2021	
	iii. ARR	JVVNL	No, 24.11.2020 (Multi Year Tariff for FY 2020-21 to 2023-24)	
	iv. Tariff Order	AVVNL	No, 24.11.2020 (Multi Year Tariff for FY 2020-21 to 2023-24)	
		JdVVNL	No, 24.11.2020 (Multi Year Tariff for FY 2020-21 to 2023-24)	
3	IF delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff ? If not, please provide the reasons thereof			
	i. APR	All Discoms	Petition is yet to be filed as due date of filing is 30.11.2021	
	ii. True Up			
	iii. ARR	All Discoms	Initially Discoms were required to file the petition upto 30th november2019 for FY 20-21. However, Commission has approved extension of time for filing of petition due to amendment in the tariff regulation 2019 regarding filing of Multi Year Tariff and thereafter due to Covid,19 pandemic.	
	iv. Tariff Order			
B. Tariff Order- 2020-21				
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)			
	i. APR	All Discoms	Petition is yet to be filed as due date of filing is 30.11.2021	
	ii. True up		Discoms have filed MYT petition,for FY 2020-21 to 2023-24 on dated 24.11.20 and revised the above petition in the month of January 2021. Public notices were published for obtaining comments of the stakeholders.However, due to non-submission of additional informations by Discoms & second wave of Covid,19 pandemic, the process of Public hearing could not be initiated.Now Discoms have submitted additional information and process for hearing will be initiated and order will be issued at the earliest after following due process	
	iii. ARR			
	iv. Tariff Order			
5	whether the applicability of Tariff is till the end of the financial year (Yes/No)?		Applicability of Tariff is till the issue of next Tariff Order	

RAJASTHAN ELECTRICITY REGULATORY COMMISSION (RERC)

Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011

11.11.2011

S. No.	Particulars		FY 2020-21
1	Annual Revenue Requirement (in Rs. Cr.)	JVVNL AVVNL JdVVNL RAJASTHAN	ARR and Tariff order not yet issued and are under process
2	Saleable Energy (in Mus)	JVVNL AVVNL JdVVNL RAJASTHAN	
3	Average Cost of Supplies (Rs. /KWh)	JVVNL AVVNL JdVVNL RAJASTHAN	
4	Average Tariff (Rs./KWh) (after considering full year revenue including subvention against ED and Compounding Charge)	JVVNL AVVNL JdVVNL RAJASTHAN	
5	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs./KWh)	JVVNL AVVNL JdVVNL RAJASTHAN	
6	Whether Regulatory Assets have been created ?		
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?		
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?		
9	Whether carrying cost of Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?		
iii. Fuel and Power Purchase Cost Adjustment			
1	Whether Fuel Surcharge Adjustment formula/machanism provided in regulation (Yes/No)?		Yes

RAJASTHAN ELECTRICITY REGULATORY COMMISSION (RERC)

Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011

S. No.	Particulars		FY 2020-21
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/bi-monthly/quarterly)		quarterly
3	Fuel Surcharge Adjustment being done as per the regulations ? If not, please provide the reasons thereof.		Yes

Annexure-1

State Electricity Regulatory Commission (SERC)		
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011		
Sl. No.	Particulars	FY 2020-21
I. Timeliness of Tariff Determination Process		
A. Tariff Filing		
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?	
	i. APR	Yes, 30 th November of every year.
	ii. True Up	Yes, 30 th November of every year.
	iii. ARR	Yes, 30 th November of every year.
	iv. Tariff Order	120 days from the date of filing of Petition.
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?	
	i. APR	Yes. Date of Petition filed.
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof	
	i. APR	Not Applicable. No delay.
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	

B. Tariff Order		
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?	
	i. APR	Yes, being issued within the specified time. Date of petition – 28.11.2017 (MYT) Date of Tariff Order- 28.03.2018 (MYT)
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	Yes
1	Annual Revenue Requirement (in Rs. Cr.)	509.33 (Net ARR)
2	Saleable Energy (in MUs)	531.75MUs
3	Average Cost of Supply (Rs./kWh)	Rs 6.72/kwh
4	Average Tariff (Rs./kWh)*	Rs 5.79/kwh
5	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs./kWh)	Rs 0.93/kwh
6	Whether Regulatory Assets have been created?	No
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	N.A.

8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	Not Applicable.
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	Not Applicable.
III. Fuel & Power Purchase Cost Adjustment		
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes.
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Monthly adjustment.
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	No Petition for adjustment received. So the need for adjustment has not arisen so far.



TAMIL NADU ELECTRICITY REGULATORY COMMISSION
4th floor, SIDCO Corporate office building, Thiru vi ka Industrial
Estate, Guindy, Chennai 600 032

Phone: 044-29535806 / 29535816 Fax: 044-29535893

email: tnerc@nic.in

Website: www.tnerc.gov.in

To
The Assistant Secretary,
Forum of Regulators,
C/o Central Electricity Regulatory Commission,
Chanderlok Building, 36, Janpath, New Delhi.

Lr.No.TNERC/D(T)/DD(T1)/F. FOR/D.no.CO-II-5/2021, Dt.04.06.2021.

Sir,

Sub: Compliance of the directions of Appellate Tribunal for Electricity
issued vide Order dated 3rd November 2014 and 23rd September
2019 in OP No.1 of 2011- Reply furnished-reg.

Ref: The Forum of Regulators' Lr No. 15/9(1)/2011/APTEL-TA/FOR/
CERC(Vol-VI) Dated: 28-5-2021

With reference to the email dated 28.05.2021, the details on compliance as
directed by the APTEL in O.P.1 of 2011, dated 11-11-2011 in Para 65, 66, is furnished
herewith.

Encl.: As above

Sd/-
(S.Chinnarajalu)
Secretary/TNERC

TAMIL NADU ELECTRICITY REGULATORY COMMISSION

Format for compilation of data regarding the directions given by APTEL through its judgement dated 11-11-2011

Sl.no.	Particulars	FY 2020-21
I. Timelines of Tariff Determination Process		
A. Tariff Filing		
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Aggregate Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?	
	i. APR	On or before 30-11-2020
	ii. True up	On or before 30-11-2021
	iii. ARR	Yes. To be filed on or before 30th November of every year. (on or before 30-11-2019)
	iv. Tariff Order	Yes. The tariff changes should normally be applied for to take effect from the 1st day of ensuing financial year and hence the application shall be filed before 30th November (on or before 30-11-2019)
2	If yes, whether Annual Performance Review (APR), True up of past expenses, Aggregate Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?	
	i. APR	Not filed by the Licensee
	ii. True up	For 2020-21, True up is not yet due.
	iii. ARR	27-04-2021 (Due to State wide COVID19 lock down, yet to be admitted)
	iv. Tariff Order	Tariff petition not filed by the Licensee
3	If delay in filing of Annual Performance Review (APR), True up of past expenses, Aggregate Revenue Requirement (ARR) and Tariff Order is beyond one month, whether Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof	
	i. APR	NA
	ii. True up	NA
	iii. ARR	Filed by TANGEDCO on 27-04-2021
	iv. Tariff Order	Suo-motu action could not be taken up by the Commission due to Covid 19 situation in the State of Tamil Nadu
B. Tariff Order		

4	Whether Annual Performance Review (APR), True up of past expenses, Aggregate Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?	
	i. APR	Licensee sought time extension to file the APR/ARR, since Govt. of India extended time for finalising of Annual Accounts and conducting AGM.
	ii. True up	For 2020-21, True up is not yet due.
	iii. ARR	ARR petition filed on 27-04-2021, but the petition is in the stage of admission and yet to be approved.
	iv. Tariff Order	Tariff petition is not filed by the Licensee within the due date; Suo-motu action could not be taken up by the Commission due to Covid 19 situation in the State of Tamil Nadu
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	The earlier Tariff Order dt.11-08-2017 is applicable and valid until next order.
1	Annual Revenue Requirement (in Rs. Cr.)	Rs.57810.27 Crores (Net ARR sought in the Petition)
2	Saleable Energy (in MUs)	76874.10 MU (Petition)
3	Average Cost of Supply (Rs./kWh)	Rs.7.52 (Petition)
4	Average Tariff (Rs./kWh)	Rs.5.55 (Petition)(existing tariff)
5	Revenue gap between ARR and ACS per Unit of only the year in consideration (in Rs./kWh)	Rs.1.97
6	Whether Regulatory assets have been created?	NA
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA
8	Whether a road map (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NA

III. Fuel & Power Purchase Cost Adjustment

1	Whether Fuel Surcharge Adjustment formula / mechanism provided in Regulation (Yes / No) ?	Yes. Provided in MYT tariff Regulations
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2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly / bi-monthly / quarterly) ?	Quarterly (As stated in T.O.20.6.2013)
3	Fuel surcharge adjustment being done as per the regulations? If not, please provide the reasons thereof.	Petition not filed by the Licensee, TANGEDCO.

Annexure-1

Tripura Electricity Regulatory Commission (TERC)		
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011		
Sl.No.	Particulars	FY 2020-21
I. Timeliness of Tariff Determination Process		
A. Tariff Filing		
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?	
	i. APR	<ul style="list-style-type: none"> • Yes • As per Clause 6, 8 & 9 of the TERC Tariff Regulations (Multi Year Tariff), 2015, Annual Performance Review (APR) is required to be filed by the Generating Company and the licensee within 120 days of the date of notification of tariff regulations or by 30th November of each year
	ii. TrueUp	<ul style="list-style-type: none"> • Yes • As per Clause 6, 8 & 10 of the TERC Tariff Regulations (Multi Year Tariff), 2015, an application for Truing up is required to be filed by the Generating Company and the licensee within 120 days of the date of notification of tariff regulations or by 30th November of each year
	iii. ARR	<ul style="list-style-type: none"> • Yes • As per Clause 6 & 8 of the TERC Tariff Regulations (Multi Year Tariff), 2015, an application for determination of tariff of ensuing year is required to be filed by the Generating Company and the licensee within 120 days of the date of notification of tariff regulations or by 30th November of each year
	iv. TariffOrder	<ul style="list-style-type: none"> • Yes • As per Clause 8 of the TERC Tariff Regulations (Multi Year Tariff), 2015, the Commission shall issue the tariff order within 120 days of the submission of petition, after hearing the petitioner, the respondents and any other person specifically permitted by the Commission.
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?	
	i. APR	<ul style="list-style-type: none"> • No
	ii. TrueUp	<ul style="list-style-type: none"> • TSECL filed Tariff petition on 14th June 2018 post notification of MYT Regulations 2015 and later on filed the supplementary petition on 29th February 2020 for True-up of FY 2013-14 to FY 2015-16, ARR for FY 2016-17 to FY 2020-21 and Retail Tariff for FY 2020-21.
	iii. ARR	
	iv. TariffOrder	
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please	

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	provide the reasons thereof	
	i. APR	<ul style="list-style-type: none"> • Post submission of Tariff Petition on 14th June, 2018, Letters were issued to TSECL and TPGL from time to time detailing the deficiencies and gaps in the Petitions and directing TSECL and TPGL to remove the deficiencies and submit additional information/clarifications. • Also, as mentioned above, supplementary petition was filed on 29th February, 2020 for retail tariff determination of FY 2020-21. • Since the interaction and resolution of deficiencies and gaps in the petitions was carried out between Commission and the Power utilities, there was no need to carry out the Suo-moto action for determination of Tariff.
	ii. TrueUp	
	iii. ARR	
	iv. TariffOrder	

B. Tariff Order		
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?	
	i. APR	<ul style="list-style-type: none"> Tariff petition was filed by Tripura State Electricity Corporation Limited (TSECL) and Tripura Power Generation Limited (TPGL) was filed on 14th June 2018 and correspondingly supplementary petition was filed on 29th February 2020. Accordingly, vide tariff Order dated 1 September 2020, Truing up of FY 2013-14 to FY 2016-17 was carried out along with the determination of ARR of FY 2017-18 to FY 2020-21 and tariff determination for FY 2020-21. Already direction was provided in the tariff order for filing of tariff petition in a timely manner so as to ensure cost reflective tariff for future period. However, tariff petition is yet to be filed by the Power utilities for True-up for FY 2017-18 onwards and determination of Tariff for FY 2021-22
	ii. TrueUp	
	iii. ARR	
	iv. TariffOrder	
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	<ul style="list-style-type: none"> As per the latest tariff order, the tariff is into force from 1 September, 2020 and shall remain valid till 31 March,2021or till further order/amendment by the Commission
1	Annual Revenue Requirement (in Rs. Cr.)	<ul style="list-style-type: none"> Standalone ARR for FY 2020-21 is Rs. 1,553.29 Crores
2	Saleable Energy (in MUs)	<ul style="list-style-type: none"> Sales within State to consumers of Distribution Licensee – 891.25 MUs
3	Average Cost of Supply (Rs./kWh)	<ul style="list-style-type: none"> AvCoS – Rs. 6.56/kWh ((Standalone ARR of Rs. 1,553.29 Crores minus the projected revenue of Rs. 968.39 Crore from inter-state sale of power) / Sales within State of Rs. 891.25 MUs * 10)
4	Average Tariff (Rs./kWh)*	<ul style="list-style-type: none"> Average Billing Tariff including Subsidy – Rs. 6.23/kWh
5	Revenue gap between ARR and ACSperunitofonlytheyearin consideration (in Rs./kWh)	<ul style="list-style-type: none"> Stand-alone Revenue gap of 33 paise / kWh.
6	Whether Regulatory Assets have been created?	<ul style="list-style-type: none"> YES
7	If yes, whether the creation of Regulatory Assets is in line with theNational Tariff Policy?	<ul style="list-style-type: none"> YES Considering the natural calamity of widespread Pandemic of COVID-19, the Commission has retained the existing tariff without any increase for all the categories of consumers and hence created Regulatory Assets

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8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	<ul style="list-style-type: none"> Considering the natural calamity of widespread Pandemic of COVID-19, the Commission has directed TSECL to submit revised regulatory asset after final truing up for FY 2017-18 to FY 2019-20 and review of FY 2020-21 and the plan for amortization of such regulatory asset in next Tariff Petition.
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	<ul style="list-style-type: none"> YES
III. Fuel & Power Purchase Cost Adjustment		
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	<ul style="list-style-type: none"> YES Mechanism specified in TERC (Fuel and Power Purchase Price Adjustment Formula) Regulations, 2011
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	<ul style="list-style-type: none"> Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	<ul style="list-style-type: none"> YES

Submitter By
Sd/
19.07.2021
Secretary, TERC



39319/2021/CRU - CERC

Uttar Pradesh Electricity Regulatory Commission

Vidyut Niyamak Bhawan, Vibhuti Khand, Gomti Nagar, Lucknow-226010
Phone 2720426 Fax 2720423 E-mail secretary@uperc.org

Dr. S anjay Kumar Singh
Secretary

Ref: UPERC/Secy/D (Tariff)/2021-22-167
Dated: June 02, 2021

URGENT

To,

The Assistant Secretary,
Forum of Regulators,
Sectt. Central Electricity Regulatory Commission,
3rd & 4th Floor, Chanderlok Building,
36, Janpath, New Delhi - 110 001.

Subject: Compliance of the directions of Hon'ble Appellate Tribunal for Electricity issued vide Order dated 3rd November 2014 and 23rd September 2019 in OP No.1 of 2011-reg.

Dear Sir,

This letter is in reference with your Letter No: 15/9(1)/2011/APTEL-TA/FOR/CERC (VOL-VI) dated May 28, 2021 vide which it has been requested to forward the report for FY 2020-21 for onward submission to Hon'ble APTEL.

It is to kindly inform you that the said information has already been submitted vide Letter No: UPERC/FOR & CEA/D(T)/2020-21-1731 dated January 29, 2021. The copy of the same is enclosed in this letter. The required information has also been sent through e-mail on asecy.for@gmail.com, arunkumar82@nic.in, and ankit.cerc@gmail.com on January 29, 2021.

The above information is being sent once again through e-mail on the e-mail ids mentioned above.

Yours sincerely

(Sanjay Kumar Singh)

Secretary

Enclosed: As above.

Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow		
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011		
1. For State owned Distribution Utility – DVVNL, Agra		
Note: ARR for FY 2020-21, APR for 2019-20 and True-Up for FY 2018-19		
Sl. No.	Particulars	2020-21 (ARR) Tariff Order dated November 11, 2020
I. Timeliness of Tariff Determination Process		
A. Tariff Filing		
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Annual Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?	
	i. APR	Yes (November 30 of each year)
	ii. True Up	Yes (November 30 of each year)
	iii. ARR	Yes (November 30 of each year)
	iv. Tariff Order	Yes (120 days from the date of Admittance Order)
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?	
	i. APR	No
	ii. True Up	No
	iii. ARR	No (June 30, 2020)*
	iv. Tariff Order (Issued by the Commission)	i. ARR Order Issued on November 11, 2020.
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Annual Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof	
	i. APR	With the notification of MYT Regulations 2019 the Licensee was required to file the Business Plan for the period from FY 2020-21 to FY 2024-25. The Commission initiated the Suo-moto Proceedings for the Business Plan, True-Up, APR, and ARR.
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	

Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow		
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011		
1. For State owned Distribution Utility – DVVNL, Agra		
Note: ARR for FY 2020-21, APR for 2019-20 and True-Up for FY 2018-19		
Sl. No.	Particulars	2020-21 (ARR) Tariff Order dated November 11, 2020
B. Tariff Order		
4	Whether Annual Performance Review (APR), true up of past expenses, Annual Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?	Answer same as in row Nos. 1 & 2
	i. APR	
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	T.O. for FY 2020-21 issued on November 11, 2020, tariffs will continue to be in force till issuance of next Tariff Order.
II. Adequacy of Tariff		
1	Annual Revenue Requirement (in Rs. Cr.)	13,066.48
	GoUP Subsidy (in Rs. Cr.)	2,180.09
2	Saleable Energy (in MUs)	19,178.84
	Revenue (in Rs. Cr.)	11,782.24
3	Average Cost of Supply (Rs./kWh)	6.81
4	Average Tariff (Rs./kWh)	6.14
5	Revenue gap between ARR and ACS per unit of only the year in consideration Revenue Gap (in Rs./ kWh.)	0.67
6	Whether Regulatory Assets have been created?	No
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	-
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	-

Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow		
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011		
1. For State owned Distribution Utility – DVVNL, Agra		
Note: ARR for FY 2020-21, APR for 2019-20 and True-Up for FY 2018-19		
Sl. No.	Particulars	2020-21 (ARR) Tariff Order dated November 11, 2020
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	

Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow		
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011		
1. For State owned Distribution Utility – DVVNL, Agra		
Note: ARR for FY 2020-21, APR for 2019-20 and True-Up for FY 2018-19		
Sl. No.	Particulars	2020-21 (ARR) Tariff Order dated November 11, 2020
III. Fuel & Power Purchase Cost Adjustment		
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes as per Regulations the Licensee has to file for Fuel Surcharge Adjustments (However, in the MYT Regulations, 2019 the same is referred to as "Incremental cost")
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Yes, as per the Regulations
Important Note:		
* With the notification of MYT Regulations, 2019, the Licensee was required to file the Business Plan for the period from FY 2020-21 to FY 2024-25. The Commission initiated the Suo-moto Proceedings for the Business Plan. Later the Commission issued notice to the Licensee for filing the ARR by June 30, 2020. The Licensee filed the Petitions for the ARR as per the directions of the Commission.		
The ARR for FY 2020-21 has been filed as per UPERC MYT (Distribution and Transmission) Regulations, 2019. The True Up for FY 2018-19 and APR for FY 2019-20 has been filed as per UPERC MYT (Distribution) Regulations, 2014		
No Carrying Cost has been allowed by the Commission for the delay in filling of the True-up.		

Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow		
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011		
2. For State owned Distribution Utility – MVVNL, Lucknow		
Note: ARR for FY 2020-21, APR for 2019-20 and True-Up for FY 2018-19		
Sl. No.	Particulars	2020-21 (ARR) Tariff Order dated November 11, 2020
I. Timeliness of Tariff Determination Process		
A. Tariff Filing		
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Annual Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?	
	i. APR	Yes (November 30 of each year)
	ii. True Up	Yes (November 30 of each year)
	iii. ARR	Yes (November 30 of each year)
	iv. Tariff Order	Yes (120 days from the date of Admittance Order)
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Annual Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?	
	i. APR	No
	ii. True Up	No
	iii. ARR	No (June 30, 2020)*
	iv. Tariff Order (Issued by the Commission)	i. ARR Order Issued on November 11, 2020.
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Annual Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof	
	i. APR	With the notification of MYT Regulations 2019 the Licensee was required to file the Business Plan for the period from FY 2020-21 to FY 2024-25. The Commission initiated the Suo-moto Proceedings for the Business Plan, True-Up, APR, and ARR.
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	

Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow		
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011		
2. For State owned Distribution Utility – MVVNL, Lucknow		
Note: ARR for FY 2020-21, APR for 2019-20 and True-Up for FY 2018-19		
Sl. No.	Particulars	2020-21 (ARR) Tariff Order dated November 11, 2020
B. Tariff Order		
4	Whether Annual Performance Review (APR), true up of past expenses, Annual Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?	Answer same as in row Nos. 1 & 2
	i. APR	
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	T.O. for FY 2020-21 issued on November 11, 2020, tariffs will continue to be in force till issuance of next Tariff Order.
II. Adequacy of Tariff		
1	Annual Revenue Requirement (in Rs. Cr.)	13,692.49
	GoUP Subsidy (in Rs. Cr.)	2,080.93
2	Saleable Energy (in MUs)	18,938.91
	Revenue (in Rs. Cr.)	12,594.19
3	Average Cost of Supply (Rs./kWh)	7.23
4	Average Tariff (Rs./kWh)	6.65
5	Revenue gap between ARR and ACS per unit of only the year in consideration Revenue Gap (in Rs./ kWh.)	0.58
6	Whether Regulatory Assets have been created?	No
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	-
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	-

Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow		
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011		
2. For State owned Distribution Utility – MVVNL, Lucknow		
Note: ARR for FY 2020-21, APR for 2019-20 and True-Up for FY 2018-19		
Sl. No.	Particulars	2020-21 (ARR) Tariff Order dated November 11, 2020
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	

Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow		
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011		
2. For State owned Distribution Utility – MVVNL, Lucknow		
Note: ARR for FY 2020-21, APR for 2019-20 and True-Up for FY 2018-19		
Sl. No.	Particulars	2020-21 (ARR) Tariff Order dated November 11, 2020
III. Fuel & Power Purchase Cost Adjustment		
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes as per Regulations the Licensee has to file for Fuel Surcharge Adjustments (However, in the MYT Regulations, 2019 the same is referred to as "Incremental cost")
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Yes, as per the Regulations
Important Note:		
* With the notification of MYT Regulations, 2019, the Licensee was required to file the Business Plan for the period from FY 2020-21 to FY 2024-25. The Commission initiated the Suo-moto Proceedings for the Business Plan. Later the Commission issued notice to the Licensee for filing the ARR by June 30, 2020. The Licensee filed the Petitions for the ARR as per the directions of the Commission.		
The ARR for FY 2020-21 has been filed as per UPERC MYT (Distribution and Transmission) Regulations, 2019. The True Up for FY 2018-19 and APR for FY 2019-20 has been filed as per UPERC MYT (Distribution) Regulations, 2014		
No Carrying Cost has been allowed by the Commission for the delay in filling of the True-up.		

Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow		
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011		
3. For State owned Distribution Utility – PVVNL, Meerut		
Note: ARR for FY 2020-21, APR for 2019-20 and True-Up for FY 2018-19		
Sl. No.	Particulars	2020-21 (ARR) Tariff Order dated November 11, 2020
I. Timeliness of Tariff Determination Process		
A. Tariff Filing		
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Annual Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?	
	i. APR	Yes (November 30 of each year)
	ii. True Up	Yes (November 30 of each year)
	iii. ARR	Yes (November 30 of each year)
	iv. Tariff Order	Yes (120 days from the date of Admittance Order)
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?	
	i. APR	No
	ii. True Up	No
	iii. ARR	No (July 01, 2020)*
	iv. Tariff Order (Issued by the Commission)	i. ARR Order Issued on November 11, 2020.
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Annual Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof	
	i. APR	With the notification of MYT Regulations 2019 the Licensee was required to file the Business Plan for the period from FY 2020-21 to FY 2024-25. The Commission initiated the Suo-moto Proceedings for the Business plan, True-Up, APR, and ARR.
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	

Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow		
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011		
3. For State owned Distribution Utility – PVVNL, Meerut		
Note: ARR for FY 2020-21, APR for 2019-20 and True-Up for FY 2018-19		
Sl. No.	Particulars	2020-21 (ARR) Tariff Order dated November 11, 2020
B. Tariff Order		
4	Whether Annual Performance Review (APR), true up of past expenses, Annual Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?	Answer same as in row Nos. 1 & 2
	i. APR	
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	T.O. for FY 2020-21 issued on November 11, 2020, tariffs will continue to be in force till issuance of next Tariff Order.
II. Adequacy of Tariff		
1	Annual Revenue Requirement (in Rs. Cr.)	20,081.61
	GoUP Subsidy (in Rs. Cr.)	2,664.18
2	Saleable Energy (in MUs)	28,708.20
	Revenue (in Rs. Cr.)	18,849.33
3	Average Cost of Supply (Rs./kWh)	7.00
4	Average Tariff (Rs./kWh)	6.57
5	Revenue gap between ARR and ACS per unit of only the year in consideration Revenue Gap (in Rs./ kWh.)	0.43
6	Whether Regulatory Assets have been created?	No
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	-
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	-

Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow		
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011		
3. For State owned Distribution Utility – PVVNL, Meerut		
Note: ARR for FY 2020-21, APR for 2019-20 and True-Up for FY 2018-19		
Sl. No.	Particulars	2020-21 (ARR) Tariff Order dated November 11, 2020
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	

Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow		
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011		
3. For State owned Distribution Utility – PVVNL, Meerut		
Note: ARR for FY 2020-21, APR for 2019-20 and True-Up for FY 2018-19		
Sl. No.	Particulars	2020-21 (ARR) Tariff Order dated November 11, 2020
III. Fuel & Power Purchase Cost Adjustment		
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes as per Regulations the Licensee has to file for Fuel Surcharge Adjustments (However, in the MYT Regulations, 2019 the same is referred to as "Incremental cost")
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Yes, as per the Regulations
Important Note:		
* With the notification of MYT Regulations, 2019, the Licensee was required to file the Business Plan for the period from FY 2020-21 to FY 2024-25. The Commission initiated the Suo-moto Proceedings for the Business Plan. Later the Commission issued notice to the Licensee for filing the ARR by June 30, 2020. The Licensee filed the Petitions for the ARR as per the directions of the Commission.		
The ARR for FY 2020-21 has been filed as per UPERC MYT (Distribution and Transmission) Regulations, 2019. The True Up for FY 2018-19 and APR for FY 2019-20 has been filed as per UPERC MYT (Distribution) Regulations, 2014		
No Carrying Cost has been allowed by the Commission for the delay in filling of the True-up.		

Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow		
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011		
4. For State owned Distribution Utility – PuVVNL, Varanasi		
Note: ARR for FY 2020-21, APR for 2019-20 and True-Up for FY 2018-19		
Sl. No.	Particulars	2020-21 (ARR) Tariff Order dated November 11, 2020
I. Timeliness of Tariff Determination Process		
A. Tariff Filing		
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Annual Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?	
	i. APR	Yes (November 30 of each year)
	ii. True Up	Yes (November 30 of each year)
	iii. ARR	Yes (November 30 of each year)
	iv. Tariff Order	Yes (120 days from the date of Admittance Order)
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?	
	i. APR	No
	ii. True Up	No
	iii. ARR	No (June 30, 2020)*
	iv. Tariff Order (Issued by the Commission)	i. ARR Order Issued on November 11, 2020.
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Annual Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof	
	i. APR	With the notification of MYT Regulations 2019 the Licensee was required to file the Business Plan for the period from FY 2020-21 to FY 2024-25. The Commission initiated the Suo-moto Proceedings for the Business plan, True-Up, APR, and ARR.
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	

Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow		
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011		
4. For State owned Distribution Utility – PuVVNL, Varanasi		
Note: ARR for FY 2020-21, APR for 2019-20 and True-Up for FY 2018-19		
Sl. No.	Particulars	2020-21 (ARR) Tariff Order dated November 11, 2020
B. Tariff Order		
4	Whether Annual Performance Review (APR), true up of past expenses, Annual Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?	Answer same as in row Nos. 1 & 2
	i. APR	
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	T.O. for FY 2020-21 issued on November 11, 2020, tariffs will continue to be in force till issuance of next Tariff Order.
II. Adequacy of Tariff		
1	Annual Revenue Requirement (in Rs. Cr.)	15,796.58
	GoUP Subsidy (in Rs. Cr.)	3,324.80
2	Saleable Energy (in MUs)	22,315.70
	Revenue (in Rs. Cr.)	13,588.44
3	Average Cost of Supply (Rs./kWh)	7.08
4	Average Tariff (Rs./kWh)	6.09
5	Revenue gap between ARR and ACS per unit of only the year in consideration Revenue Gap (in Rs./ kWh.)	0.99
6	Whether Regulatory Assets have been created?	No
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	-
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	-

Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow		
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011		
4. For State owned Distribution Utility – PuVVNL, Varanasi		
Note: ARR for FY 2020-21, APR for 2019-20 and True-Up for FY 2018-19		
Sl. No.	Particulars	2020-21 (ARR) Tariff Order dated November 11, 2020
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	-

Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow		
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011		
4. For State owned Distribution Utility – PuVVNL, Varanasi		
Note: ARR for FY 2020-21, APR for 2019-20 and True-Up for FY 2018-19		
Sl. No.	Particulars	2020-21 (ARR) Tariff Order dated November 11, 2020
III. Fuel & Power Purchase Cost Adjustment		
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes as per Regulations the Licensee has to file for Fuel Surcharge Adjustments (However, in the MYT Regulations, 2019 the same is referred to as "Incremental cost")
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Yes, as per the Regulations
Important Note:		
* With the notification of MYT Regulations, 2019, the Licensee was required to file the Business Plan for the period from FY 2020-21 to FY 2024-25. The Commission initiated the Suo-moto Proceedings for the Business Plan. Later the Commission issued notice to the Licensee for filing the ARR by June 30, 2020. The Licensee filed the Petitions for the ARR as per the directions of the Commission.		
The ARR for FY 2020-21 has been filed as per UPERC MYT (Distribution and Transmission) Regulations, 2019. The True Up for FY 2018-19 and APR for FY 2019-20 has been filed as per UPERC MYT (Distribution) Regulations, 2014		
No Carrying Cost has been allowed by the Commission for the delay in filing of the True-up.		

Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow		
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011		
5. For State owned Distribution Utility – KESCO, Kanpur		
Note: ARR for FY 2020-21, APR for 2019-20 and True-Up for FY 2018-19		
Sl. No.	Particulars	2020-21 (ARR) Tariff Order dated November 11, 2020
I. Timeliness of Tariff Determination Process		
A. Tariff Filing		
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Annual Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?	
	i. APR	Yes (November 30 of each year)
	ii. True Up	Yes (November 30 of each year)
	iii. ARR	Yes (November 30 of each year)
	iv. Tariff Order	Yes (120 days from the date of Admittance Order)
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Annual Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?	
	i. APR	No
	ii. True Up	No
	iii. ARR	No (June 29, 2020)*
	iv. Tariff Order (Issued by the Commission)	i. ARR Order Issued on November 11, 2020.
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Annual Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof	
	i. APR	With the notification of MYT Regulations 2019 the Licensee was required to file the Business Plan for the period from FY 2020-21 to FY 2024-25. The Commission initiated the Suo-moto Proceedings for the Business plan, True-Up, APR, and ARR.
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	

Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow		
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011		
5. For State owned Distribution Utility – KESCO, Kanpur		
Note: ARR for FY 2020-21, APR for 2019-20 and True-Up for FY 2018-19		
Sl. No.	Particulars	2020-21 (ARR) Tariff Order dated November 11, 2020
B. Tariff Order		
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?	Answer same as in row Nos. 1 & 2
	i. APR	
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	T.O. for FY 2020-21 issued on November 11, 2020, tariffs will continue to be in force till issuance of next Tariff Order.
II. Adequacy of Tariff		
1	Annual Revenue Requirement (in Rs. Cr.)	2,538.05
	GoUP Subsidy (in Rs. Cr.)	
2	Saleable Energy (in MUs)	3,267.69
	Revenue (in Rs. Cr.)	2,703.16
3	Average Cost of Supply (Rs./kWh)	7.77
4	Average Tariff (Rs./kWh)	8.27
5	Revenue gap between ARR and ACS per unit of only the year in consideration Revenue Gap (in Rs./ kWh.)	(0.51)
6	Whether Regulatory Assets have been created?	No
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	-
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	-

Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow		
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011		
5. For State owned Distribution Utility – KESCO, Kanpur		
Note: ARR for FY 2020-21, APR for 2019-20 and True-Up for FY 2018-19		
Sl. No.	Particulars	2020-21 (ARR) Tariff Order dated November 11, 2020
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	-

Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow		
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011		
5. For State owned Distribution Utility – KESCO, Kanpur		
Note: ARR for FY 2020-21, APR for 2019-20 and True-Up for FY 2018-19		
Sl. No.	Particulars	2020-21 (ARR) Tariff Order dated November 11, 2020
III. Fuel & Power Purchase Cost Adjustment		
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes as per Regulations the Licensee has to file for Fuel Surcharge Adjustments (However, in the MYT Regulations, 2019 the same is referred to as "Incremental cost")
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Yes, as per the Regulations
Important Note:		
* With the notification of MYT Regulations, 2019, the Licensee was required to file the Business Plan for the period from FY 2020-21 to FY 2024-25. The Commission initiated the Suo-moto Proceedings for the Business Plan. Later the Commission issued notice to the Licensee for filing the ARR by June 30, 2020. The Licensee filed the Petitions for the ARR as per the directions of the Commission.		
The ARR for FY 2020-21 has been filed as per UPERC MYT (Distribution and Transmission) Regulations, 2019. The True Up for FY 2018-19 and APR for FY 2019-20 has been filed as per UPERC MYT (Distribution) Regulations, 2014		
No Carrying Cost has been allowed by the Commission for the delay in filing of the True-up.		

Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow

Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011

6. For Private owned Distribution Utility – NPCL, Greater Noida

Note: ARR for FY 2020-21, APR for 2019-20 and True-Up for FY 2018-19

2020-21 (ARR) Tariff Order dated December 04, 2020

Sl. No.	Particulars	
I. Timeliness of Tariff Determination Process		
A. Tariff Filing		
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Annual Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?	<p>Yes (November 30 of each year)</p> <p>Yes (November 30 of each year)</p> <p>Yes (November 30 of each year)</p> <p>Yes (120 days from the date of Admittance Order)</p>
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Annual Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?	<p>No</p> <p>No</p> <p>No (December 27, 2019)*</p> <p>i. ARR Order Issued on December 04, 2020.</p>
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Annual Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof	<p>No (Although there was a delay the Petition was filed within a month. So no Suo Moto was initiated)</p>

Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow		
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011		
6. For Private owned Distribution Utility – NPCL, Greater Noida		
Note: ARR for FY 2020-21, APR for 2019-20 and True-Up for FY 2018-19		
Sl. No.	Particulars	2020-21 (ARR) Tariff Order dated December 04, 2020
B. Tariff Order		
4	Whether Annual Performance Review (APR), true up of past expenses, Annual Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?	Answer same as in row Nos. 1 & 2
	i. APR	
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	T.O. for FY 2020-21 issued on December 04, 2020, tariffs will continue to be in force till issuance of next Tariff Order.
II. Adequacy of Tariff		
1	Annual Revenue Requirement (in Rs. Cr.)	1236.21
	GoUP Subsidy (in Rs. Cr.)	
2	Saleable Energy (in MUs)	2002.95
	Revenue (in Rs. Cr.)	1639.07
3	Average Cost of Supply (Rs./kWh)	6.17
4	Average Tariff (Rs./kWh)	8.18
5	Revenue gap between ARR and ACS per unit of only the year in consideration Revenue Gap (in Rs./ kWh.)	(2.01)
6	Whether Regulatory Assets have been created?	No
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	-
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	-

Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow		
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011		
6. For Private owned Distribution Utility – NPCL, Greater Noida		
Note: ARR for FY 2020-21, APR for 2019-20 and True-Up for FY 2018-19		
Sl. No.	Particulars	2020-21 (ARR) Tariff Order dated December 04, 2020
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	

Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow		
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011		
6. For Private owned Distribution Utility – NPCL, Greater Noida		
Note: ARR for FY 2020-21, APR for 2019-20 and True-Up for FY 2018-19		
Sl. No.	Particulars	2020-21 (ARR) Tariff Order dated December 04, 2020
III. Fuel & Power Purchase Cost Adjustment		
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes as per Regulations the Licensee has to file for Fuel Surcharge Adjustments (However, in the MYT Regulations, 2019 the same is referred to as "Incremental cost")
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Yes, as per the Regulations
Important Note:		
* The ARR for FY 2020-21 has been filed as per UPERC MYT (Distribution and Transmission) Regulations, 2019. The True Up for FY 2018-19 and APR for FY 2019-20 has been filed as per UPERC MYT (Distribution) Regulations, 2014		



Uttarakhand Electricity Regulatory Commission

Vidyut Niyamak Bhawan',

Near ISBT, PO- Majra, Dehradun-248171

PH. 0135-2641115 FAX- 2641314 Website www.uerc.gov.in E-mail- secy.uerc@gov.in

No. UERC/6/TF-124/2021-22/2020/ 359

Date: 26-July, 2021

To,

Sh. Rajiv Kumar,
Assistant Secretary,
Forum of Regulators (FOR),
C/o Central Electricity Regulatory Commission,
3rd & 4th Floors, Chanderlok Building,
36, Janpath, New Delhi -110001

Sub.: Compliance of the directions of Appellate Tribunal for Electricity issued vide Order dated 03rd November 2014 and 23rd September 2019 in OP No. 1 of 2021.

Sir,

This has reference to your e-mail dated 19.07.2021 on the above-mentioned subject. In this regard, I am directed to inform you that the said information has already been sent to "Secretary, Forum of Regulators" vide Commission's letter no. UERC/6/TF-124/2020-21/2020/67 dated 05.05.2020, a copy of the same is enclosed herewith for your kind information.

Yours sincerely,

Encl. as above


(Neeraj Sati)
Secretary



Uttarakhand Electricity Regulatory Commission

'Vidyut Niyamak Bhawan',

Near ISBT, PO- Majra, Dehradun-248171

PH. 0135-2641115 FAX- 2641314 Website www.uerc.gov.in E-mail- secy.uerc@gov.in

No. UERC/6/TF-124/2020-21/2020/67

Date: 05 May, 2020

To,

Secretary,
Forum of Regulators (FOR)
C/o Central Electricity Regulatory Commission,
3rd & 4th Floors, Chanderlok Building,
36, Janpath, New Delhi -110001


Sub.: Compliance of the directions given by the Hon'ble Appellate Tribunal for Electricity in OP No. 1 of 2011.

Sir,

This has reference to the Order of Hon'ble ATE dated 11.11.2011 in OP No. 1 of 2011. In this regard, please find enclosed the requisite information as Annexure-A in the prescribed format.

Encl. as above

Yours sincerely,


(Neeraj Sati)
Secretary
7c

Format for submission of data for the year 2020-21 in compliance of directions given by APTEL in OP No. 1 of 2011

Sl. No.	Particulars	2020-21			
I. Timeliness of Tariff Determination Process					
A. Tariff Filing					
1	Whether timeline for filing petitions for Annual Performance Review (APR), True up of past expenses and Average Revenue Requirement (ARR)/Tariff specified in Tariff Regulations ?		Timeline specified in regulations	Date of filing petition as per regulations	Remarks
		(i) ARR/Tariff Petition	Yes	30.11.2019	MYT Regulation, 2018 specify that the DISCOM would submit each year latest by 30 th November, their ARR and Tariff Petitions for the ensuing financial year alongwith Petition for Annual Performance Review and Tariff determination.
		(ii) APR	Yes	30.11.2019	
		(iii) True Up	Yes	30.11.2019	MYT Regulations, 2018 specify that truing up exercise for a financial year shall normally be carried out alongwith Annual Performance Review & Tariff determination exercise.
2	Whether petitions for APR, True up of past expenses and ARR/Tariff are being filed as per the requirements of regulation?		Petition Filing as per regulations	Actual date of filing petition	
		(i) ARR/Tariff for FY 2020-21	Yes	30.11.2019	Uttarakhand Power Corporation Ltd. (UPCL), the distribution licensee filed the consolidated Petitions seeking APR of FY 2019-20, ARR Petition for FY 2020-21, alongwith truing up for FY 2018-19 on 30.11.2019.
		(ii) APR for FY 2019-20	Yes	30.11.2019	
		(iii) True Up for FY 2018-19	Yes	30.11.2019	
3	If delay in filing of petition of APR, True up of past expenses		Whether Suo moto action has been	Date of Suo-moto action	Reason for not initiating suo-motu actions.

Sl. No.	Particulars	2020-21			
	and ARR is beyond one month, whether the Regulatory Commission has taken any suo-moto action for determination of tariff? If not, please provide the reasons thereof		initiated		The consolidated Petitions were filed within the time specified in the Regulations.
		(i) ARR/Tariff for FY 2020-21	No	N.A.	
		(ii) APR for FY 2019-20	No	N.A.	
		(iii) True Up for FY 2018-19	No	N.A.	
B. Tariff Order					
4	Whether orders for APR, True up of past expenses and Tariff are being issued regularly within the time specified in the regulations?		Orders issued in time?	Actual date of order	Reason for delay, if any
		(i) ARR/Tariff for FY 2020-21	Yes	Order issued on 18.04.2020	Since, the Petition was admitted on 20.12.2019 and the Commission issued the Tariff Order on 18.04.2020, the same is within the period of 120 days.
		(ii) APR for FY 2019-20	Yes		
		(iii) True Up for FY 2018-19	Yes		
5	Whether the applicability of Tariff is till the end of the financial year?	Yes, Tariff Order shall be applicable till the end of the financial year.			

Annexure-B

Category-wise Saleable Energy & Average Tariff for FY 2020-21

S. No.	Category	Sales MU	Average Billing Rate (ABR) Rs./Unit
1.	RTS-1: Domestic	3123.11	4.44
2.	RTS-2: Non Domestic	1413.41	6.38
3.	RTS-3: Govt. Public Utilities	643.23	5.83
4.	RTS-4: Private Tube Wells	196.91	2.04
5.	RTS-5: Industry		
	LT Industry	317.13	6.03
	HT Industry	6633.04	6.06
6.	RTS-6: Mixed Load	183.55	5.64
7	RTS-7: Railway Traction	29.27	5.93

II. Adequacy of Tariff for FY 2020-21

Sl. No.	Items		Remarks
1.	Annual Revenue Requirement (Rs. Crore)	Rs. 6957.12 Crore	
2.	Saleable Energy (MU) *	12576.21 MUs	
3.	Average Cost of Supply (Rs./Unit)	Rs. 5.53/Unit	
4.	Average Tariff (Rs./Unit) *	Rs. 5.61/Unit	
5.	Revenue gap between ARR and ACS per unit (Rs./Unit)	The estimated revenue for FY 2020-21 at approved tariffs works out to Rs. 7052.99 Crore, as against the net ARR of Rs. 6957.12 Crore worked out after adjusting trued-up surplus/gaps of previous years leaving a surplus of Rs. 95.87 Crore with UPCL.	
6.	Whether Regulatory Assets have been created in 2020-21?	No	
7.	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	N. A.	
8.	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	N.A.	
9.	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	No Regulatory Asset has been created so far by the Commission.	

* *Category wise Average Tariff and Saleable energy given in Annexure-B enclosed.*

III. Fuel & Power Purchase Cost Adjustment for FY 2020-21

Sl. No.	Items		Remarks
1.	Whether Fuel Surcharge Adjustment formula/ mechanism provided in regulation?	Yes	
2.	Frequency of adjustment of Fuel Surcharge as per the regulations?	Quarterly	
3.	Whether Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Yes, Fuel Surcharge Adjustment is being done as per the Regulations notified by the Commission.	Order for adjustment of Fuel Surcharge is being issued regularly by the Commission based on the submissions of licensee in the matter.