

**FORUM OF REGULATORS (FOR)**  
C/o CENTRAL ELECTRICITY REGULATORY COMMISSION  
3rd & 4th Floor, Chanderlok Building, 36, Janpath, New Delhi-110001  
Tel: 011-23353503

No. 15/9(1)/2011/APTEL-TA/FOR/CERC(Vol-VI)

Dated 15<sup>th</sup>, October, 2020

The Registrar  
Appellate Tribunal for Electricity  
7th Floor, CORE- 4, Scope Complex,  
Lodhi Road,  
New Delhi - 110 003

Subject: Compliance of the directions of Hon'ble Appellate Tribunal for Electricity issued vide Order dated 23.09.2019 & 01.11.2019 in OP No.1 of 2011- reg.

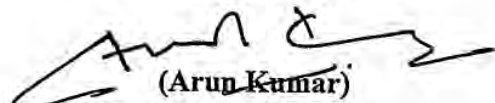
Sir/Madam,

This is reference to the orders dated 23.09.2019 and 01.11.2019 of the Hon'ble APTEL on the above subject. In pursuance of the directions, FOR Secretariat sought information for the period FY 2015-16 to FY 2018-19 from the State/Joint Commissions and the summary statement of the responses (including individual submissions) were submitted to APTEL vide letters of even no. dated 30.10.2019 and 05.12.2019.

2. Subsequently, FOR Secretariat has sought the information for the FY 2019-20 also, from the State/ Joint Commissions.
3. The SERCs/JERCs (Assam, Delhi, Gujarat, Haryana, Himachal Pradesh, Jharkhand, Maharashtra, Nagaland, Punjab, Rajasthan, Sikkim, Tamil Nadu, Telangana, Uttarakhand, Uttar Pradesh, West Bengal, JERC (Manipur and Mizoram), JERC (Goa & UTs)) have provided the requisite information. The summary statement of the responses received from the SERCs/JERCs is enclosed as **Annexure-1** along with individual submissions of each SERC/JERC.
4. It is requested that this may please be brought to the notice of the Hon'ble APTEL.

Encl: As above

Yours sincerely

  
(Arun Kumar)  
Asst. Secretary, FOR

Assam Electricity Regulatory Commission (SERC)					
Format for compilation of data regarding the directions given by APTEL through its judgment dated 11.11.2011					
Sl. No.	Particulars	2016-17	2017-18	2018-19	2019-20
I. Timeliness of Tariff Determination Process					
A. Tariff Filing					
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)? ----- YES, timelines specified in columns below.				
	i. APR	Information for the above years already submitted.			The timelines for filing Petitions have been specified in the AERC (Terms and Conditions for determination of MYT) Regulations, 2018 as follows:  The tariff petitions are to be filed by 30 <sup>th</sup> November of the year preceding the first year of the control period / 30 <sup>th</sup> Nov of each year of the control period and Orders must be issued within 120 days from the date of admission of the petition
	ii. True Up				
	iii. ARR				
	iv. Tariff Order				
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)? – YES, timelines were adhered to by the Power Companies.				
	i. APR	Information for the above years already submitted.			Assam Power Generation Corporation Limited (APGCL), Assam Electricity Grid Corporation Limited (AEGCL) & Assam Power Distribution Company Limited (APGCL) submitted the petitions as specified in the AERC MYT Regulations on 30 <sup>th</sup> November, 2018.
	ii. True Up				
	iii. ARR				
	iv. Tariff Order				
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof				
	i. APR	-	-	-	Question does not arise as petitions were submitted on time.
	ii. True Up				
	iii. ARR				

	iv. Tariff Order			
<b>B. Tariff Order</b>				
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?			
	i. APR	Information for the above years already submitted.	Petitions were submitted on 30 <sup>th</sup> November, 2018.	
	ii. True Up		The MYT Orders for FY 2019-20 to FY 2021-22 for Generation (APGCL), Transmission (AEGCL) and Distribution (APDCL) were Issued on 1 <sup>st</sup> March, 2019 (after 90 days from submission of petitions).	
	iii. ARR			
	iv. Tariff Order			
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	Information for the above years already submitted.	Yes	
1	Annual Revenue Requirement (in Rs. Cr.)	Information for the above years already submitted.	ARR for APGCL (FY 2019-20) : Rs 557.07 Cr ARR for AEGCL (Including SLDC): Rs 364.69 Cr ARR for APDCL: Rs. 5340.71 Cr.	
2	Saleable Energy (in MUs)	-Do -	7930 MU	
3	Average Cost of Supply (Rs./kWh)	-Do -	Rs 7.05 / kWh	
4	Average Tariff (Rs./kWh)*	-Do -	Rs 7.05/ kWh	
5	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs./kWh)	-Do -	There was no revenue gap for FY 2019-20. In fact, there was a surplus of Rs 448.19 Cr at the existing tariff.	
6	Whether Regulatory Assets have been created?	-Do -	Does not arise.	
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	-Do -	Does not arise	

8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	Do -	Does not arise
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	Do -	Does not arise.
<b>III. Fuel &amp; Power Purchase Cost Adjustment</b>			
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Do -	Yes AERC (Fuel and Power Purchase Price Adjustment Formula) Regulations, 2010.
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Do -	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Do -	There was no requirement for FPPPA during the year and therefore, not done.

**Delhi Electricity Regulatory Commission**  
Viniyamak Bhavan, C-Block, Shivalik, Malviya Nagar, New Delhi-110017

No. F.9(216)/DERC/JS/FOR/VOL- XXVII/C.F.No.6621/776

Dated 21.09.2020

To

✓ Sh. Arun Kumar  
Assistant Secretary  
Forum of Regulators  
C/o Central Electricity Regulatory Commission  
3<sup>rd</sup>& 4<sup>th</sup> Floor, Chandralok Building, 36, Janpath,  
New Delhi- 110001

**Sub.: Compliance of the directions of Hon'ble Appellate Tribunal for Electricity issued vide Order dated 3<sup>rd</sup> November 2014 and 23<sup>rd</sup> September 2019 in OP NO.1 of 2011- reg.**

Sir,

In continuation of DERC letter no. F.9(216)/DERC/JS/FOR/VOL-XXVII/C.F.No.6621/546 dated 26.08.2020 and further to telephonic conversation on the subject, it is informed that information pertaining to FY 2019-20 as required has been incorporated in the proforma as forwarded by your office and is appended at **Annexure-I**.

Yours faithfully,

  
(Chandra Kant Roy)  
Joint Secretary

Encls. as above

## State Electricity Regulatory Commission (SERC)

Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011

Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
<b>I. Timeliness of Tariff Determination Process</b>					
<b>A. Tariff Filing</b>					
Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?					
i. APR	Not conducted by DERC				
ii. True Up	Yes	Yes	Yes	Yes	Yes
iii. ARR	Yes	Yes	Yes	Yes	Yes
iv. Tariff Order	Yes	Yes	Yes	Yes	Yes
If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?					
i. APR	Not conducted by DERC				
ii. True Up	Yes	Yes	Yes	Yes	NA
iii. ARR	Yes	Yes	Yes	Yes	NA
iv. Tariff Order	Yes	Yes	Yes	Yes	NA
If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof					
i. APR	NA	NA	NA	NA	NA
ii. True Up	No	No	No	No	NA
iii. ARR	No	No	No	No	NA
iv. Tariff Order	No	No	No	No	NA

B.		Tariff Order				
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?					
	i. APR	Not conducted by DERC				
	ii. True Up	18/12/2014 (Date of last Petition received) 29/09/2015 (Date of Tariff Order)	No Tariff Order issued	19/04/2016 (Date of last Petition received) 31/08/2017 (Date of Tariff Order)	08/12/2017 (Date last Petition received) 28/03/2018 (Date of Tariff Order)	NA
	iii. ARR					
	iv. Tariff Order					
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	Yes		Yes	Yes	NA
1	Annual Revenue Requirement (in Rs. Cr.)	18,990	No Tariff Order issued	20,336	21,553	21,792
2	Saleable Energy (in MUs)	25,666		27,385	29,175	30,262
3	Revenue	19,873		20,765	22,184	21,940
4	Average Cost of Supply (Rs./kWh)	7.40		7.43	7.39	7.20
5	Average Tariff (Rs./kWh)*	7.74		7.58	7.60	7.25
6	Revenue gap between ABR and ACS per unit of only the year in consideration (in Rs./kWh)	0.34		0.16	0.22	0.05
7	Whether Regulatory Assets have been created?	Yes				NA
8	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	Yes				NA
9	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	Roadmap has been specified				NA

10	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	Yes				NA
III. Fuel & Power Purchase Cost Adjustment						
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes	Yes	Yes	Yes	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly				
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Yes	Yes	Yes	Yes	Yes



**Gujarat Electricity Regulatory Commission**  
**Submission of data for the FY 2019-20 & 2020-21 in compliance of directions given by Hon'ble APTEL in OP No. 1 of 2011**

Sl. No.	Particulars	FY 2019-20				FY 2020-21			
I. Timeline of Tariff Determination Process									
A. Tariff Filing									
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations?		Timeline specified in Regulations	Date of filing petition as per Regulations	Remarks		Timeline specified in Regulations	Date of filing petition as per Regulations	Remarks
		(i) ARR / Tariff Petition	Yes	30 <sup>th</sup> Nov.	-	(i) ARR / Tariff Petition	Yes	30 <sup>th</sup> Nov.	-
		(ii) APR	Not Applicable		-	(ii) APR	Not Applicable		-
		(iii) True up	Yes	30 <sup>th</sup> Nov.	-	(iii) True up	Yes	30 <sup>th</sup> Nov.	-
		(iv) Mid-Term Review	Yes	30 <sup>th</sup> Nov.	-	(iv) Mid-Term Review	Not Applicable		-
2	Whether petitions for APR, True up of past expenses and ARR / Tariff are being filed as per the requirements of regulation?		Petitions Filing as per Regulations	Actual date of filing petition	-		Petitions Filing as per Regulations	Actual date of filing petition	-
		(i) ARR / Tariff for FY 2019-20	30 <sup>th</sup> Nov.	As per Annexure A	-	(i) ARR / Tariff for FY 2020-21	30 <sup>th</sup> Nov.	As per Annexure A	-
		(ii) APR for FY 2018-19	Not Applicable		-	(ii) APR for FY 2019-20	Not Applicable		-
		(iii) True up for FY 2017-18	30 <sup>th</sup> Nov.	As per Annexure A	-	(iii) True up for FY 2018-19	30 <sup>th</sup> Nov.	As per Annexure A	-
		(iv) Mid-Term Review	30 <sup>th</sup> Nov.	As per Annexure A	-	(iv) Mid-Term Review	Not Applicable		-

Sl. No.	Particulars	FY 2019-20				FY 2020-21			
3	If delay in filing of APR, True up of past expenses and ARR is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof		Whether Suo Motu action has been initiated	Date of Suo Motu action	Reason for not initiating Suo Motu action		Whether Suo Motu action has been initiated	Date of Suo Motu action	Reason for not initiating Suo Motu action
		(i) ARR / Tariff for FY 2019-20	As per Annexure B			(i) ARR / Tariff for FY 2020-21	As per Annexure B		
		(ii) APR for FY 2018-19	Not Applicable			(ii) APR for FY 2019-20	Not Applicable		
		(iii) True up for FY 2017-18	As per Annexure B			(iii) True up for FY 2018-19	As per Annexure B		
		(iv) Mid-Term Review	Not Applicable			(iv) Mid-Term Review	Not Applicable		
B. Tariff Order									
4	Whether orders for APR, True up of past expense and Tariff are being issued regularly within the time specified in the Regulations?		Orders issued in time?	Actual date of order	Reason for delay, if any		Orders issued in time?	Actual date of order	Reason for delay, if any
		(i) ARR / Tariff for FY 2019-20	Orders were issued within the time specified in the Regulations as at Annexure C			(i) ARR / Tariff for FY 2020-21	As per Annexure C		
		(ii) APR for FY 2018-19	Not Applicable			(ii) APR for FY 2019-20	Not Applicable		
		(iii) True up for FY 2017-18	As per Annexure C			(iii) True up for FY 2018-19	As per Annexure C		
		(iv) Mid-Term Review	As per Annexure C			(iv) Mid-Term Review	Not Applicable		
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	No. The applicability of Tariff Order is until the next Tariff Order is issued.							

Sl. No.	Items	FY 2019-20	FY 2020-21
<b>II. Adequacy of Tariff</b>			
1	Annual Revenue Requirement (in Rs. Cr.)	As per <b>Annexure D</b>	
2	Saleable Energy (in MUs)		
3	Average Cost of Supply (Rs./kWh)		
4	Average Tariff (Rs./kWh)		
5	Revenue gap between ARR and ACS per unit		
6	Whether Regulatory Assets have been created?	No regulatory assets are built up in the utilities as the Commission is regularly giving the tariff orders and addressing the revenue gap through tariff increase.	
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	Not Applicable	Not Applicable
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	Not Applicable	Not Applicable
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	Not Applicable	Not Applicable
<b>III. Fuel &amp; Power Purchase Cost Adjustment</b>			
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	<ul style="list-style-type: none"> <li>The Fuel Surcharge Adjustment formula / mechanism is not provided in regulation.</li> <li>However, the FPPPA mechanism is already in place in Gujarat.</li> </ul>	
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly	Quarterly
3	Whether Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Yes	Yes

**Annexure A**

**Actual Date of filing of Petitions for true up of past expenses and Average Revenue Requirement (ARR); and date of Tariff Orders**

Sr. No.	Name of Utility	FY 2019-20			FY 2020-21				
		Date of Filing	Date of Registration	Case No.	Date of Tariff Order	Date of Filing	Date of Registration	Case No.	Date of Tariff Order
		<b>Distribution Licensee</b>							
1	Dakshin Gujarat Vij Company Ltd. (DGVCL)	15.01.2018	17.01.2018	1760/2018 Truing up for FY 2017-18, Mid-Term Review of ARR for FY 2019-20 to FY 2020-21 and Determination of Tariff for FY 2019-20	24.04.2019	30.11.2019	11.12.2019	1840/2019 Truing up for FY 2018-19 and Determination of Tariff for FY 2020-21	31.03.2020
2	Madhya Gujarat Vij Company Ltd. (MGVCL)	15.01.2018	17.01.2018	1761/2018 Truing up for FY 2017-18, Mid-Term Review of ARR for FY 2019-20 to FY 2020-21 and Determination of Tariff for FY 2019-20	24.04.2019	30.11.2019	11.12.2019	1841/2019 Truing up for FY 2018-19 and Determination of Tariff for FY 2020-21	31.03.2020
3	Paschim Gujarat Vij Company Ltd. (PGVCL)	15.01.2018	17.01.2018	1762/2018 Truing up for FY 2017-18, Mid-Term Review of ARR for FY 2019-20 to FY 2020-21 and Determination of Tariff for FY 2019-20	24.04.2019	30.11.2019	11.12.2019	1842/2019 Truing up for FY 2018-19 and Determination of Tariff for FY 2020-21	31.03.2020
4	Uttar Gujarat Vij Company Ltd. (UGVCL)	15.01.2018	17.01.2018	1759/2018 Truing up for FY 2017-18, Mid-Term Review of ARR for FY 2019-20 to FY 2020-21 and Determination of Tariff for FY 2019-20	24.04.2019	30.11.2019	11.12.2019	1839/2019 Truing up for FY 2018-19 and Determination of Tariff for FY 2020-21	31.03.2020
5	Torrent Power Ltd. - Distribution (Ahmedabad) : TPL-D (A)	30.12.2017	03.01.2018	1764/2018 Truing up for FY 2017-18, Mid-Term Review of ARR for FY 2019-20 to FY 2020-21 and Determination of Tariff for FY 2019-20	24.04.2019	30.11.2019	04.12.2019	1844/2019 Truing up for FY 2018-19 and Determination of Tariff for FY 2020-21	31.03.2020

Sr. No.	Name of Utility	Date of Filing	Date of Registration	FY 2019-20	Date of Tariff Order	Date of Filing	Date of Registration	FY 2020-21	Date of Tariff Order
				Case No.				Case No.	
6	Torrent Power Ltd. - Distribution (Surat) : TPL D (S)	30.12.2017	03.01.2018	1765/2018 Truing up for FY 2017-18, Mid-Term Review of ARR for FY 2019-20 to FY 2020-21 and Determination of Tariff for FY 2019-20	24.04.2019	30.11.2019	04.12.2019	1845/2019 Truing up for FY 2018-19 and Determination of Tariff for FY 2020-21	31.03.2020
SEZ Licensee									
7	Torrent Power Limited - Distribution (Dahej)	30.12.2017	03.01.2018	1766/2018 Truing up for FY 2017-18, Mid-Term Review of ARR for FY 2019-20 to FY 2020-21 and Determination of Tariff for FY 2019-20	17.07.2019	30.11.2019	04.12.2019	1846/2019 Truing up for FY 2018-19 and Determination of Tariff for FY 2020-21	31.03.2020
8	MPSEZ Utilities Private Limited (MUPL)	15.12.2017	19.12.2017	1772/2018 Truing up for FY 2017-18, Mid-Term Review of ARR for FY 2019-20 to FY 2020-21 and Determination of Tariff for FY 2019-20	30.07.2019	12.12.2019	18.12.2019	1850/2019 Truing up for FY 2018-19 and Determination of Tariff for FY 2020-21	03.04.2020
9	GIFT Power Company Ltd. (GIFT PCL)	06.03.2018	14.03.2018	1777/2019 Mid-Term Review of ARR for FY 2019-20 to FY 2020-21 and Determination of Tariff for FY 2019-20	31.07.2019	30.11.2019	04.01.2020	1851/2020 Truing up for FY 2018-19 and Determination of Tariff for FY 2020-21	In process
10	Aspen Infrastructures Limited (AIL)	31.01.2018	07.03.2018	1778/2019 Truing up for FY 2017-18, Revised ARR for FY 2019-20 and Determination of Tariff for FY 2019-20	31.07.2019	15.01.2020	18.01.2020	1853/2020 Truing up for FY 2018-19 and Determination of Tariff for FY 2020-21	04.04.2020

**Annexure B**

The State distribution utilities have been filing their tariff petitions every year since 2005-06. The Commission has taken effective steps to ensure that state distribution utilities file their petitions in time for the respective year. In case of delay, the Commission had been asking them to file their petition.

	FY 2020-21		
	Name of Utility	Reasons put forward by utilities for delay in the filing of Tariff Petitions for FY 2020-21	Action taken by the Commission
	Distribution Licensee		
1	DGVCL / MGVCL / PGVCL / UGVCL	Petition was filed on time.	No Action Taken.
2	TPL - Distribution (Ahmedabad & Surat)	Petition was filed on time.	
	SEZ Licensee		
3	TPL-D(Dahej) and GIFT PCL	Petition was filed on time.	No Action Taken.
4	MPSEZ Utilities Private Limited (MUPL)	MUPL requested the Commission to grant extension up to 31.12.2019 for filing of their Petition.	<ul style="list-style-type: none"><li>• The Commission granted the time limit extension for filing the Petition up to 31.12.2019.</li><li>• MUPL filed their petition on 12.12.2019.</li></ul>
5	Aspen Infrastructures Limited (AIL)	AIL requested the Commission to grant extension up to 15.01.2020 for filing of their Petition.	<ul style="list-style-type: none"><li>• The Commission granted the time limit extension for filing the Petition up to 15.01.2020.</li><li>• AIL filed their petition on 15.01.2020.</li></ul>

## Annexure C

Sr. No.	Name of Utility	Date of Filing	Date of Registration	Date of Tariff Order	FY 2019-20	
					Reason for delay, if any	
1	Dakshin Gujarat Vij Company Ltd. (DGVCL)	30.11.2019	11.12.2019	31.03.2020	Licensees (except MUPL & AIL) filed their petitions for Truing up of FY 2018-19 and Determination of Tariff for FY 2020-21 within the time-limit stipulated in the Regulations. The Commission issued the Tariff Orders on 31.03.2020 within the time limit as specified in the GERC (MYT) Regulations, which is with effective from 01.04.2020. In the case of GIFT PCL, the Commission has asked some additional details with related to their tariff petition. After submission of those details, the Commission will issue the Tariff Order.	
2	Madhya Gujarat Vij Company Ltd. (MGVCL)	30.11.2019	11.12.2019	31.03.2020		
3	Paschim Gujarat Vij Company Ltd. (PGVCL)	30.11.2019	11.12.2019	31.03.2020		
4	Uttar Gujarat Vij Company Ltd. (UGVCL)	30.11.2019	11.12.2019	31.03.2020		
5	Torrent Power Ltd. – Distribution (Ahmedabad) : TPL-D (A)	30.11.2019	04.12.2019	31.03.2020		
6	Torrent Power Ltd. – Distribution (Surat) : TPL-D (S)	30.11.2019	04.12.2019	31.03.2020		
7	Torrent Power Ltd. – Distribution (Dahej)	30.11.2019	04.12.2019	31.03.2020		
8	MPSEZ Utilities Private Limited (MUPL)	12.12.2019	18.12.2019	03.04.2020		
9	GIFT Power Company Ltd. (GIFT PCL)	30.11.2019	04.01.2020	In Process		
10	Aspen Infrastructures Limited (AIL)	15.01.2020	18.01.2020	04.04.2020		

## Annexure D

Sr. No.	Name of Utility	FY 2019-20					FY 2020-21				
		ARR (in Rs. Cr.)	Saleable Energy (In MUs)	Avg. Cost of Supply (Rs. / kWh)	Avg. Tariff (Rs. / kWh)	Revenue Gap / (Surplus) between ACS & Avg. Tariff per unit	ARR (in Rs. Cr.)	Saleable Energy (In MUs)	Avg. Cost of Supply (Rs. / kWh)	Avg. Tariff (Rs. / kWh)	Revenue Gap / (Surplus) between ACS & Avg. Tariff per unit
		State Owned DISCOMs									
1	Dakshin Gujarat Vij Company Ltd. (DGVCL)*	12437	19297	6.445	6.574	(0.13)	13906	20305	6.849	6.634	0.21
2	Madhya Gujarat Vij Company Ltd. (MGVCL)*	6230	10446	5.964	6.060	(0.10)	6825	11076	6.162	6.114	0.05
3	Paschim Gujarat Vij Company Ltd. (PGVCL)*	16805	29668	5.664	5.659	0.01	18612	31859	5.842	5.774	0.07
4	Uttar Gujarat Vij Company Ltd. (UGVCL)*	11548	22968	5.028	5.252	(0.22)	13009	24584	5.292	5.318	(0.03)
							*Note:- The Commission has adjusted this gap of FY 2020-21 against the deferred FPPPA charges of FY 2018-19 , which had been recovered in the Q1 & Q2 of FY 2019-20. Therefore, to that extent, the gap has been mitigated.				
	Private Distribution Licensee										
5	Torrent Power Limited – Ahd. (TPL-A)	5374	8481	6.337	6.350	(0.01)	5978	8481	7.049	7.044	0.01
6	Torrent Power Limited – Surat (TPL-S)	2108	3504	6.016	6.312	(0.30)	2249	3504	6.418	6.416	0.00
	SEZ Licensee										
7	Torrent Power Ltd. – Distribution (Dahej)	169.84	446	3.808	3.788	0.02	200	489	4.091	4.051	0.04
8	MPSEZ Utilities Private Limited (MUPL)	181.34	366	4.955	5.245	(0.29)	195.20	377	5.184	5.257	(0.07)
9	Aspen Infrastructures Ltd. (AIL)	7.69	11	6.991	7.136	(0.15)	7.64	11	6.858	7.361	(0.50)
10	GIFT Power Company Ltd. (GIFT PCL)	28.62	32	8.944	7.394	1.55	Is in Process				





**HARYANA ELECTRICITY REGULATORY COMMISSION**  
**Bays No. 33 - 36, Sector - 4, Panchkula-134109**  
**Telephone No. 0172-2582531 ; Fax No. 0172-2572359**  
**Website: - <http://herc.gov.in>**

To

By Email


The Secretary,  
Forum of Regulators (FOR)  
C/o Central Electricity  
Regulatory Commission (CERC)  
3<sup>rd</sup> & 4<sup>th</sup> Floor, Chanderlok Building,  
36, Janpath, New Delhi-110001  
Fax: 011-23753920  
Email: [asecy.for@gmail.com](mailto:asecy.for@gmail.com), [ankit.cerc@gmail.com](mailto:ankit.cerc@gmail.com)

Memo No. 536 /HERC/Tariff/  
Dated:- 16/08/2020

**Subject:- Compliance of the directions of Appellate Tribunal for Electricity issued vide Order dated 3rd November 2014 and 23rd September 2019 in OP No.1 of 2011-reg.**

Please refer to your email no. 15/9(1)/2011/APTEL-TA/FOR/CERC/Vol-VI dated 05th August, 2020, seeking report for the FY 2019-20 in the specified format, as per the Order no. Op 1 of 2011 of Hon'ble APTEL for onward submission to APTEL before 13.08.2020.

Accordingly, the information desired in the prescribed format is enclosed, for further necessary action.

  
Director (Tariff)  
HERC, Panchkula

Haryana Electricity Regulatory Commission (HERC)			
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011			
Sl. No.	Particulars	FY 2019-20	
I. Timeliness of Tariff Determination Process			
A. Tariff Filing			
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?		
	i. APR	Yes (November 30 of each year of the relevant year of the controlling period)	
	ii. True Up	Along with the ARR Petition	
	iii. ARR	Yes (November 30 of each year preceeding the first year of the relevant year of the control period)	
	iv. Tariff Order	Yes (120 days from the date of Acceptance of filing but by 1st April of the 1st year of the control period in any case)	
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?		
	i. APR	Yes (30.11.2018)	
	ii. True Up	Yes (30.11.2018)	
	iii. ARR	Yes (30.11.2018)	
	iv. Tariff Order	Yes (07.03.2019)	
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof		
	i. APR	Utilities have filed ARR Petitions in time, therefore there was no need of the Commission to initiate suo-motu proceedings for tariff determination in accordance with Sec 64 of the Act.	
	ii. True Up		
	iii. ARR		
	iv. Tariff Order		
B. Tariff Order			
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?		
	i. APR	Date of Tariff Petition: 30.11.2018	Date of Tariff Order: 07.03.2019
	ii. True Up	Date of Tariff Petition: 30.11.2018	Date of Tariff Order: 07.03.2019
	iii. ARR	Date of Tariff Petition: 30.11.2018	Date of Tariff Order: 07.03.2019
	iv. Tariff Order	Date of Tariff Petition: 30.11.2018	Date of Tariff Order: 07.03.2019
	Reasons for delay (if any)	Nil	
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	Tariff Orders of the Commission remain effective until these are revised/amended by the Commission by next Order.	
6	Others		
a	Annual Revenue Requirement (in Rs. Cr.)	ARR for the FY 2019-20: Rs. 28805.08 Crore	
b	Saleable Energy (in MUs)	41786.45	
c	Average Cost of Supply (Rs./kWh) a/b*10	6.89	
d.i	Projected Revenue (Rs in crore)	29,451.05	
d.ii	Average Tariff (Rs./kWh) d.i/b*10	7.05	
e	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs./kWh)	-0.15	
f	Whether Regulatory Assets have been created?	No	
g	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	Not Applicable	
h	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	Not Applicable	
i	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	Nil	
III. Fuel & Power Purchase Cost Adjustment			
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Formulae/mechanism for determining fuel and power purchase cost has been provided in Regulation Clause No. 66 of HERC (Terms and Conditions for determination of Tariff for generation, transmission, wheeling and Distribution & Retail Supply under Multi Year Tariff Framework), Regulations 2019.	
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly	
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Yes, it is being done as per Regulations.	

Himachal Pradesh Electricity Regulatory Commission (HPERC)							
Format for compilation of data regarding the directions given by APTEL through its judgment dated 11.11.2011							
Sl. No.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20	2020-21
I. Timeliness of Tariff Determination Process							
A. Tariff Filing							
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?						
	i. APR	Yes (on or before November 30 of each year)	Yes (on or before November 30 of each year)	Yes (on or before November 30 of each year)	Yes (on or before November 30 of each year)	Yes (on or before November 30 of each year)	Yes (on or before November 30 of each year)
	ii. True Up	Along with the APR Petition	Along with the APR Petition	Along with the APR Petition	Along with the APR Petition	Along with the APR Petition	Along with the APR Petition
	iii. ARR	Yes (on or before November 30 of each year)	Yes (on or before November 30 of each year)	Yes (on or before November 30 of each year)	Yes (on or before November 30 of each year)	Yes (on or before November 30 of each year)	Yes (on or before November 30 of each year)
	iv. Tariff Order	Yes (120 days from the date of Acceptance of filing)	Yes (120 days from the date of Acceptance of filing)	Yes (120 days from the date of Acceptance of filing)	Yes (120 days from the date of Acceptance of filing)	Yes (120 days from the date of Acceptance of filing)	Yes (120 days from the date of Acceptance of filing)
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?						
	i. APR	28 November 2014	28 November, 2015	30 November, 2016	30 November, 2017	30 November, 2018	30 November, 2019
	ii. True Up	30 November 2017	30 November, 2018	30 November, 2019	Not yet filed	Not yet filed	Not Applicable
	iii. ARR	28 November	28 November,	30 November,	30 November, 2017	30 November, 2018	30 November, 2019



B. Tariff Order							
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?						
	i. APR	<u>Date of Issue of Tariff Order:</u>	<u>Date of Issue of Tariff Order:</u>	<u>Date of Issue of Tariff Order:</u>	<u>Date of Issue of Tariff Order:</u>	<u>Date of Issue of Tariff Order:</u>	<u>Date of Issue of Tariff Order:</u>
	ii. True Up	10.04.2015	25.05.2016	17.04.2017	04.05.2018	29.06.2019	06.06.2020
	iii. ARR	<u>True Up Order:</u>	<u>True Up Order:</u>	<u>True Up Order:</u>			
	iv. Tariff Order	04.05.2018	29.06.2019	06.06.2020			
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	March 31, 2016 until allowed to be continued by the Commission	March 31, 2017 until allowed to be continued by the Commission	March 31, 2018 until allowed to be continued by the Commission	March 31, 2019 until allowed to be continued by the Commission	March 31, 2020 until allowed to be continued by the Commission	March 31, 2021 until allowed to be continued by the Commission
	REMARKS				True up not done as the DISCOM's Audited Accounts are not available	True up not done as the DISCOM's Audited Accounts are not available	
1	Annual Revenue Requirement (in Rs. Cr.)	4279.39	4657.73	4573.01	4829.8	4976.5	4804.6
2	Saleable Energy (in MUs)	8438	8650	8436	8638	9101	8663
3	Average Cost of Supply (Rs./kWh)	5.07	5.39	5.42	5.59	5.47	5.54
4	Average Tariff (Rs./kWh)*	5.17	5.39	5.42	5.59	5.47	5.54
5	Revenue gap between ARR and ACS per unit of only	None	None	None	None	None	None

	the year in consideration (in Rs./kWh)						
6	Whether Regula tory Assets	<u>NO</u>	<u>NO</u>	<u>NO</u>	<u>NO</u>	<u>NO</u>	<u>NO</u>
7	If yes, whether the creation of Regulatory Assets is in line with the	NA	NA	NA	NA	NA	NA



	National Tariff Policy?						
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA	NA	NA	NA	NA	NA
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NA	NA	NA	NA	NA	NA
<b>III.</b> Fuel & Power Purchase Cost Adjustment							
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes	Yes	Yes	Yes	Yes	Yes
2	Frequency of adjustment of Fuel Surcharge as per the	Quarterly	Quarterly	Quarterly	Quarterly	Quarterly	Quarterly

	regulations (monthly/ bi- monthly/qua rterly)?						
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Need not arose	Need not arose	Need not arose	Need not arose	Need not arose	Need not arose





**संयुक्त विद्युत विनियामक आयोग**  
(गोवा राज्य और संघ राज्य क्षेत्र)  
**JOINT ELECTRICITY REGULATORY COMMISSION**  
(For the State of Goa and Union Territories)

File No.: JERC/RA-1/1 / 136

Dated: 25.08.2020

To,

The Assistant Secretary (FOR)  
3rd & 4th Floor  
Chanderlok Building  
36, Janpath  
New Delhi-110001

Sub.: **Compliance of the directions of Appellate Tribunal for Electricity issued vide Order dated 3<sup>rd</sup> November, 2014 and 23<sup>rd</sup> September, 2019 in OP No.1 of 2011- Reg.**

Sir,

Please refer your E-mail dated 05<sup>th</sup> August, 2020 on subject cited above.

The duly filled format for FY 2015-16 to FY 2019-20 are attached herewith as Annexure -1 for your information and necessary action please.

Yours sincerely,

(Rajesh Dangi)  
Director (Engineering)

Encl.: - **As above.**

## JOINT ELECTRICITY REGULATORY COMMISSION

Compiled data regarding the directions given by APTEL through its judgement dated 11.11.2011

SL. No.	Territory	Page No.
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6	DAMAN & DIU	17
7	DNHPDCL	20

# JOINT ELECTRICITY REGULATORY COMMISSION

# ANNEXURE-I

Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011

Goa

Sl. No.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
<b>I. Timeliness of Tariff Determination Process</b>						
<b>A. Tariff Filing</b>						
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?					
	i. APR	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018
	ii. True Up	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018
	iii. ARR	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018
	iv. Tariff Order	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?					
	i. APR	Yes, 14.01.2015*	Yes, 18.02.2016*	Yes, 27.12.2016*	Yes, 07.12.2017*	Yes, 30.11.2018
	ii. True Up	Yes, 14.01.2015**	Yes, 18.02.2016***	Yes, 27.12.2016***	Yes, 07.12.2017***	Yes, 30.11.2018***
	iii. ARR	Yes, 14.01.2015*	Yes, 18.02.2016*	Yes, 27.12.2016*	Yes, 07.12.2017*	Yes, 30.11.2018
	iv. Tariff Order	Yes, 14.01.2015*	Yes, 18.02.2016*	Yes, 27.12.2016*	Yes, 07.12.2017*	Yes, 30.11.2018
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any Suo-motu action for determination of tariff? If not, please provide the reasons thereof					
	i. APR	The Commission has not taken any Suo-motu action however, the Commission gave Directive to file in time.	The Commission has not taken any Suo-motu action however, the Commission gave Directive to file in time.	The Commission has not taken any Suo-motu action however, the Commission gave Directive to file in time.	The Commission has not taken any Suo-motu action however, the Commission gave Directive to file in time.	The Commission has not taken any Suo-motu action however, the Commission gave Directive to file in time.

	ii. True Up	The Commission has not taken any Suo-motu action however, the Commission gave Directive to file in time.	The Commission has not taken any Suo-motu action however, the Commission gave Directive to file in time.	The Commission has not taken any Suo-motu action however, the Commission gave Directive to file in time.	The Commission has not taken any Suo-motu action however, the Commission gave Directive to file in time.	The Commission has not taken any Suo-motu action however, the Commission gave Directive to file in time.
	iii. ARR	-	-	-	-	-
	iv. Tariff Order	-	-	-	-	-
<b>B. Tariff Order</b>						
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?					
	i. APR	APR Order not issued since data was not found Correct.	APR Order not issued since data was not found Correct.	APR Order not issued since data was not found Correct.	APR Order not issued since data was not found Correct.	APR Order not issued since data was not found Correct.
	ii. True Up	The True Up Petition was not filed due to unavailability of audited accounts.	The True Up Petition was not filed due to unavailability of audited accounts.	The True Up Petition was not filed due to unavailability of audited accounts.	The True Up Petition was not filed due to unavailability of audited accounts.	The True Up Petition was not filed due to unavailability of audited accounts.
	iii. ARR	06.04.2015	18.04.2016	23.05.2017	28.03.2018	20.05.2019
	iv. Tariff Order	06.04.2015	18.04.2016	23.05.2017	28.03.2018	20.05.2019
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	Yes	Yes	Yes	Yes	Yes
1	Annual Revenue Requirement (in Rs. Cr.)	1323.73	1694.13	1845.32	1952.54	2212.23
2	Saleable Energy (in MUs)	3233	3352.16	3494.71	3644.93	3979.76
3	Average Cost of Supply (Rs./kWh)	4.09	5.05	5.28	5.36	5.56
4	Average Tariff (Rs. /kWh)	3.52	4.51	4.47	4.49	4.73
5	Revenue gap between ARR and ACS	0.57	0.54	0.81	0.87	0.83

	per unit of only the year in consideration (in Rs. /kWh)					
6	Whether Regulatory Assets have been created?	No, Budgetary Support was available.	No, Budgetary Support was available.	No, Budgetary Support was available.	No, Budgetary Support was available.	No, Budgetary Support was available.
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA	NA	NA	NA	NA
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA	NA	NA	NA	NA
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NA	NA	NA	NA	NA
<b>III. Fuel &amp; Power Purchase Cost Adjustment</b>						
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes	Yes	Yes	Yes	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly	Quarterly	Quarterly	Quarterly	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Yes	Yes	Yes	Yes	Yes

\* Tariff Petition was filed as per regulation, but timeline was slightly slipped.

\*\* True Up Petition of FY 2015-16 was filed on 11<sup>th</sup> December, 2019.

\*\*\* True Up Petition is not yet filed by the Petitioner.

# JOINT ELECTRICITY REGULATORY COMMISSION

# ANNEXURE-I

Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011

## A&N Islands

Sl. No.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
<b>I. Timeliness of Tariff Determination Process</b>						
<b>A. Tariff Filing</b>						
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?					
	i. APR	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018
	ii. True Up	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018
	iii. ARR	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018
	iv. Tariff Order	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?					
	i. APR	Yes, 17.11.2014	Yes,06.02.2016*	Yes,17.12.2016*	Yes,30.11.2017	Yes,30.01.2019*
	ii. True Up	Yes, 17.11.2014**	Yes,06.02.2016***	Yes,17.12.2016****	Yes,30.11.2017****	Yes,30.01.2019****
	iii. ARR	Yes, 17.11.2014	Yes,06.02.2016*	Yes,17.12.2016*	Yes,30.11.2017	Yes,30.01.2019*
	iv. Tariff Order	Yes, 17.11.2014	Yes,06.02.2016*	Yes,17.12.2016*	Yes,30.11.2017	Yes,30.01.2019*
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any Suo-motu action for determination of tariff? If not, please provide the reasons thereof					
	i. APR	-	-	-	-	-
	ii. True Up	The Commission has not taken any Suo-motu action however, the	The Commission has not taken any Suo-motu action however, the	The Commission has not taken any Suo-motu action however, the	The Commission has not taken any Suo-motu action however, the	The Commission has not taken any Suo-motu action however, the

		Commission gave Directive to file in time.	Commission gave Directive to file in time.	Commission gave Directive to file in time.	Commission gave Directive to file in time.	Commission gave Directive to file in time.
	iii. ARR	-	-	-	-	-
	iv. Tariff Order	-	-	-	-	-
<b>B. Tariff Order</b>						
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?					
	i. APR	31.03.2015	06.04.2016	29.03.2017	26.02.2018	20.05.2019
	ii. True Up	The True Up Petition was not filed due to unavailability of audited accounts.	The True Up Petition was not filed due to unavailability of audited accounts.	The True Up Petition was not filed due to unavailability of audited accounts.	The True Up Petition was not filed due to unavailability of audited accounts.	The True Up Petition was not filed due to unavailability of audited accounts.
	iii. ARR	31.03.2015	06.04.2016	29.03.2017	26.02.2018	20.05.2019
	iv. Tariff Order	31.03.2015	06.04.2016	29.03.2017	26.02.2018	20.05.2019
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	Yes	Yes	Yes	Yes	Yes
1	Annual Revenue Requirement (in Rs. Cr.)	652.32	476.80	489.92	502.25	747.85
2	Saleable Energy (in MUs)	234.20	252.60	265.32	278.87	295.05
3	Average Cost of Supply (Rs./kWh)	28.02	18.88	18.47	18.01	25.35
4	Average Tariff (Rs. /kWh)	5.40	5.48	5.72	6.15	6.89
5	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs. /kWh)	22.63	13.40	12.75	11.86	18.46
6	Whether Regulatory Assets have been created?	No, Budgetary Support was available.	No, Budgetary Support was available.	No, Budgetary Support was available.	No, Budgetary Support was available.	No, Budgetary Support was available.

7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA	NA	NA	NA	NA
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA	NA	NA	NA	NA
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NA	NA	NA	NA	NA
<b>III. Fuel &amp; Power Purchase Cost Adjustment</b>						
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes	Yes	Yes	Yes	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly	Quarterly	Quarterly	Quarterly	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	No, Not applicable due to Diesel Generation.	No, Not applicable due to Diesel Generation.	No, Not applicable due to Diesel Generation.	No, Not applicable due to Diesel Generation.	No, Not applicable due to Diesel Generation.

\* Tariff Petition was filed as per regulation, but timeline was slightly slipped.

\*\* True Up Petition of FY 2015-16 was filed on 30<sup>th</sup> January, 2019.

\*\*\* True Up Petition of FY 2016-17 was filed on 12<sup>th</sup> December, 2019.

\*\*\*\* True Up Petition is not yet filed by the Petitioner.



# JOINT ELECTRICITY REGULATORY COMMISSION

# ANNEXURE-I

Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011

## Lakshadweep

Sl. No.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
<b>I. Timeliness of Tariff Determination Process</b>						
<b>A. Tariff Filing</b>						
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?					
	i. APR	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018
	ii. True Up	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018
	iii. ARR	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018
	iv. Tariff Order	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?					
	i. APR	Not Filed	Not Filed	Not Filed	Not Filed	Not Filed
	ii. True Up	Filed on 31 December 2019	Filed on 31 December 2019	Not Filed	Not Filed	Not Filed
	iii. ARR	10 Feb 2015	15 Jan 2016	28 Nov 2016	16 <sup>th</sup> Jan 2018	28 <sup>th</sup> Dec 2018
	iv. Tariff Order					
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any Suo-motu action for determination of tariff? If not, please provide the reasons thereof					
	i. APR	The Commission has not taken any Suo-motu action however, the Commission gave	The Commission has not taken any Suo-motu action however, the Commission gave	The Commission has not taken any Suo-motu action however, the Commission gave	The Commission has not taken any Suo-motu action however, the Commission gave	-

		Directive to file in time.	Directive to file in time.	Directive to file in time.	Directive to file in time.	
	ii. True Up	The Commission has not taken any Suo-motu action however, the Commission gave Directive to file in time.	The Commission has not taken any Suo-motu action however, the Commission gave Directive to file in time.	The Commission has not taken any Suo-motu action however, the Commission gave Directive to file in time.	The Commission has not taken any Suo-motu action however, the Commission gave Directive to file in time.	The Commission has not taken any Suo-motu action however, the Commission gave Directive to file in time.
	iii. ARR	-	-	-	-	-
	iv. Tariff Order	-	-	-	-	-
<b>B. Tariff Order</b>						
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?					
	i. APR	17 April 2015	31 March 2016	05 April 2017	19 March 2018	20 <sup>th</sup> May 2019
	ii. True Up					
	iii. ARR					
	iv. Tariff Order					
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	Yes	Yes	Yes	Yes	Yes
1	Annual Revenue Requirement (in Rs. Cr.)	106.81	109.31	120.77	133.04	135.71
2	Saleable Energy (in MUs)	50.31	54.54	60.66	67.50	53.45
3	Average Cost of Supply (Rs./kWh)	21.23	20.04	19.91	19.71	25.39
4	Average Tariff (Rs. /kWh)	2.63	4.62	5.12	5.62	4.76
5	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs. /kWh)	-18.6	-15.42	-14.79	-14.09	20.63

6	Whether Regulatory Assets have been created?	No, Budgetary Support was available.	No, Budgetary Support was available.	No, Budgetary Support was available.	No, Budgetary Support was available.	No, Budgetary Support was available.
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA	NA	NA	NA	NA
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA	NA	NA	NA	NA
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NA	NA	NA	NA	NA
<b>III. Fuel &amp; Power Purchase Cost Adjustment</b>						
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes	Yes	Yes	Yes	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly	Quarterly	Quarterly	Quarterly	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	No, Not applicable due to Diesel Generation.	No, Not applicable due to Diesel Generation.	No, Not applicable due to Diesel Generation.	No, Not applicable due to Diesel Generation.	No, Not applicable due to Diesel Generation.

# JOINT ELECTRICITY REGULATORY COMMISSION

# ANNEXURE-I

Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011

Chandigarh

Sl. No.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
<b>I. Timeliness of Tariff Determination Process</b>						
<b>A. Tariff Filing</b>						
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?					
	i. APR	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018
	ii. True Up	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018
	iii. ARR	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018
	iv. Tariff Order	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?					
	i. APR	APR of FY 2015-16 was filed on: 29 Feb 2016	APR of FY 2016-17 was filed on: 18 Jan 2017	APR of FY 2017-18 was filed on: 12 Jan 2018	APR of FY 2018-19 was filed on: 30 Nov 2018	APR of FY 2019-20 was filed on: 04 Dec 2019
	ii. True Up	True Up of FY 2015-16 was filed on: 18 Jan 2017	True Up of FY 2016-17 was filed on: 12 Jan 2018	True Up of FY 2017-18 was filed on: 30 Nov 2018	True Up of FY 2018-19 was filed on: 04 December 2019	True Up of FY 2018-19 is not yet filed
	iii. ARR	10 March 2015	29 <sup>th</sup> Feb 2016	18 Jan 2017	12 Jan 2018	20 May 2019
	iv. Tariff Order					
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any Suo-motu action for determination of tariff? If not, please provide the reasons thereof					
	i. APR	NA	NA	NA	NA	NA
	ii. True Up	NA	NA	NA	NA	NA
	iii. ARR	NA	NA	NA	NA	NA
	iv. Tariff Order	NA	NA	NA	NA	NA

B. Tariff Order						
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?					
	i. APR	10 April 2015	28 April 2016	04 May 2017	28 March 2018	20 May 2019
	ii. True Up					
	iii. ARR					
	iv. Tariff Order					
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	Yes	Yes	Yes	Yes	Yes
1	Annual Revenue Requirement (in Rs. Cr.)	722.52	827.66	747.51	845.35	863.02
2	Saleable Energy (in MUs)	1555.75	1617.41	1715.93	1654.68	1685.30
3	Average Cost of Supply (Rs./kWh)	4.64	5.12	4.36	5.11	5.12
4	Average Tariff (Rs. /kWh)	4.72	5.12	5.13	5.14	5.44
5	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs. /kWh)	0.08	0.00	0.77	0.03	
6	Whether Regulatory Assets have been created?	No	Yes	No	No	No
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA	Yes	NA	NA	NA
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA	Yes	NA	NA	NA
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in	NA	Yes	NA	NA	NA

	which the Regulatory Assets are created?					
<b>III. Fuel &amp; Power Purchase Cost Adjustment</b>						
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes	Yes	Yes	Yes	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly	Quarterly	Quarterly	Quarterly	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Yes	Yes	Yes	Yes	Yes

# JOINT ELECTRICITY REGULATORY COMMISSION

# ANNEXURE-I

Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011

## PUDUCHERRY

Sl. No.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
I. Timeliness of Tariff Determination Process						
A. Tariff Filing						
1.	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?					
	i. APR	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018
	ii. True Up	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018
	iii. ARR	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018
	iv. Tariff Order	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018
2.	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?					
	i. APR	2 <sup>nd</sup> January 2015	Yes, 4 <sup>th</sup> Jan 2016 (The Commission had directed the Petitioner to submit the duly approved MYT Petition for the Control Period from FY 2016-17 to FY 2018-19 within 30 days of the issuance of the Business Plan Order (issued in Petition no. 181/2015 Order dated 4th December 2015).	Yes, 30 <sup>th</sup> November 2016	Yes, 29 <sup>th</sup> November 2017	3 <sup>rd</sup> December 2018
	ii. True Up					
	iii. ARR					
	iv. Tariff Order					
3.	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof					

	i. APR	No	No	No	No	No
	ii. True Up					
	iii. ARR					
	iv. Tariff Order					
<b>B. Tariff Order</b>						
4.	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?					
	i. APR	10 <sup>th</sup> April 2015	24 <sup>th</sup> May 2016	16 <sup>th</sup> May 2017	28 <sup>th</sup> March 2018	20 <sup>th</sup> May 2019
	ii. True Up					
	iii. ARR					
	iv. Tariff Order					
5.	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	Yes	Yes	Yes	Yes	Yes
1.	Annual Revenue Requirement (in Rs. Cr.)	1253.05	1306.23	1413.48	1374.75	1508.9
2.	Saleable Energy (in MUs)	2700	2797.07	2980.60	2606.31	2649.10
3.	Average Cost of Supply (Rs. /kWh)	4.64	4.67	4.74	5.27	5.68
4.	Average Tariff (Rs. /kWh)	4.42	5.07	5.02	5.26	5.69
5.	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs. /kWh)	(0.22)	0.40	0.28	.01	.01
6.	Whether Regulatory Assets have been created?	No	No	No	Yes	Yes
7.	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA	NA	NA	Yes	Yes
8.	Whether a roadmap (in terms of timeline not	NA	NA	NA	Yes	Yes



	exceeding 3 years) for the recovery of such Regulatory Assets been specified?					
9.	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NA	NA	NA	Yes	Yes
<b>III. Fuel &amp; Power Purchase Cost Adjustment</b>						
1.	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes	Yes	Yes	Yes	Yes
2.	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly	Quarterly	Quarterly	Quarterly	Quarterly
3.	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof	No (Quarterly FPPCA report not submitted by the utility)	No (Quarterly FPPCA report not submitted by the utility)	No (Quarterly FPPCA report not submitted by the utility)	No (Quarterly FPPCA report not submitted by the utility)	No (Quarterly FPPCA report not submitted by the utility)

# JOINT ELECTRICITY REGULATORY COMMISSION

## ANNEXURE-I

Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011

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Sl. No.	Particulars	2015-16	2016-17	2017-18	2018-19	2018-19
<b>I. Timeliness of Tariff Determination Process</b>						
<b>A. Tariff Filing</b>						
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?					
	i. APR	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018
	ii. True Up	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018
	iii. ARR	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018
	iv. Tariff Order	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of Regulations (please also provide the date of filing)?					
	i. APR	02.12.2014	15.01.2016	05.12.2016	20.12.2017	07.12.2018
	ii. True Up					
	iii. ARR					
	iv. Tariff Order					
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any Suo-motu action for determination of tariff? If not, please provide the reasons thereof					
	i. APR	-	Business Plan Order was issued on 09.12.2015 & subsequently the Petition was filed	-	-	-
	ii. True Up					
	iii. ARR					

	iv. Tariff Order	-	-	-	-	-
Sl. No.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
<b>B. Tariff Order</b>						
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?					
	i. APR	31.03.2015	06.04.2016	29.05.2017	13.03.2018	20.05.2019
	ii. True Up					
	iii. ARR					
	iv. Tariff Order					
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	Yes	Yes	Yes	Yes	Yes
1	Annual Revenue Requirement (in Rs. Cr.)	829.93	990.46	839.58	979.23	1,227.60
2	Saleable Energy (in MUs)	1744.74	1800.47	1843.86	2318.05	2,627.16
3	Average Cost of Supply (Rs./kWh)	4.76	5.50	4.55	4.22	4.67
4	Average Tariff (Rs./kWh)	4.81	5.07	4.54	4.22	4.18
5	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs./kWh)	0.05	-0.43	-0.01	0.00	-0.49
6	Whether Regulatory Assets have been created?	No,	No,	No,	No,	No,
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA	NA	NA	NA	NA

Sl. No.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA	NA	NA	NA	NA
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NA	NA	NA	NA	NA
<b>III. Fuel &amp; Power Purchase Cost Adjustment</b>						
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes	Yes	Yes	Yes	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly	Quarterly	Quarterly	Quarterly	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Yes	Yes	Yes	Yes	Yes

# JOINT ELECTRICITY REGULATORY COMMISSION

## ANNEXURE-I

Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011

DNHPDCL

Sl. No.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
<b>I. Timeliness of Tariff Determination Process</b>						
<b>A. Tariff Filing</b>						
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?					
	i. APR	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018
	ii. True Up	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018
	iii. ARR	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018
	iv. Tariff Order	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of Regulations (please also provide the date of filing)?					
	i. APR	02.12.2014	14.01.2016	01.12.2016	18.09.2017	07.12.2018
	ii. True Up					
	iii. ARR					
	iv. Tariff Order					
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any Suo-motu action for determination of tariff? If not, please provide the reasons thereof					
	i. APR	-	Business Plan Order was issued on 15.12.2015 & subsequently the Petition was filed	-	-	-
	ii. True Up					
	iii. ARR					

	iv. Tariff Order					
Sl. No.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
<b>B. Tariff Order</b>						
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?					
	i. APR	01.04.2015	07.04.2016	09.06.2017	30.01.2018	20.05.2019
	ii. True Up					
	iii. ARR					
	iv. Tariff Order					
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	Yes	Yes	Yes	Yes	Yes
1	Annual Revenue Requirement (in Rs. Cr.)	2322.54	2,404.82	2,129.77	2601.20	3,105.86
2	Saleable Energy (in MUs)	5578.81	5,812.58	4,228.16	5,940.79	6,296.89
3	Average Cost of Supply (Rs./kWh)	4.15	4.14	5.04	4.38	4.93
4	Average Tariff (Rs./kWh)	4.33	4.44	4.20	4.26	4.69
5	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs./kWh)	0.18	0.20	-0.84	-0.12	-0.23
6	Whether Regulatory Assets have been created?	No	No	No	No	Yes
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA	NA	NA	NA	Yes

Sl. No.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA	NA	NA	NA	Yes
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NA	NA	NA	NA	Yes
<b>III. Fuel &amp; Power Purchase Cost Adjustment</b>						
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes	Yes	Yes	Yes	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly	Quarterly	Quarterly	Quarterly	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Yes	Yes	Yes	Yes	Yes



**JOINT ELECTRICITY REGULATORY COMMISSION  
FOR MANIPUR AND MIZORAM  
AIZAWL : : : MIZORAM**

TBL Bhawan 2<sup>nd</sup> – 5<sup>th</sup> floor, E-18, Peter's Street, Khatla, Aizawl-796001, Mizoram

Fax: 0389-2335523/2336299, Tel. No. : 0389-2335625/2333625

Website : [www.jerc.mizoram.gov.in](http://www.jerc.mizoram.gov.in), Email : [jerc.mm@gmail.com](mailto:jerc.mm@gmail.com)

**No. H. 13011/29/16-JERC/184**

**Dated: Aizawl, the 25<sup>th</sup> August, 2020**

To

The Assistant Secretary  
Forum of Regulators (FOR),  
C/o Central Electricity Regulatory Commission,  
3<sup>rd</sup> & 4<sup>th</sup> Floor, Chanderlok Building,  
36, Janpath, New Delhi – 110 001.

Subject: Compliance of the directions of Appellate Tribunal for Electricity issued vide Order dated 3<sup>rd</sup> Nov, 2014 and 23<sup>rd</sup> Sept, 2019 in OP No.1 of 2011 –reg.

Reference: 1. Your letter No.15/9(1)/2011/APTEL-TA/FOR/CERC(Vol-VI) dt. 5.08.2020.  
2. Commission's letter No.H.13011/29/16-JERC/183 dt. 14.08.2020.

Sir,

With reference to your letter on the above subject and in cancellation of Commission's previous letter above, I am directed to furnish herewith the desired information as per the prescribed format. For favour of kind information and necessary action.

Enclosed: As above.

Yours faithfully

(RICHARD ZOTHANKIMA)  
Assistant Secretary



Joint Electricity Regulatory Commission for Manipur and Mizoram		
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011		
Sl. No.	Particulars	2019-2020
<b>I. Timeliness of Tariff Determination Process</b>		
<b>A. Tariff Filing</b>		
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?	
	i. APR	Yes (30.11.2018)
	ii. True Up	-do-
	iii. ARR	-do-
	iv. Tariff Order	Within 120 days of receipt of application.
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?	
	i. APR	15.01.2019
	ii. True Up	-do-
	iii. ARR	-do-
	iv. Tariff Order	Yes
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof	
	i. APR	Not Applicable
	ii. True Up	-do-
	iii. ARR	-do-
	iv. Tariff Order	-do-
<b>B. Tariff Order</b>		
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?	
	i. APR	Yes (18.01.2019)
	ii. True Up	-do-
	iii. ARR	-do-
	iv. Tariff Order	22.03.2019
5	Whether the applicability of Tariff is till the end of the financial year(Yes/No)?	Yes

II. Adequacy of Tariff		
1	Annual Revenue Requirement (in Rs.Cr.)	375.03
2	Saleable Energy (in MUs)	426.59
3	Average Cost of Supply (Rs./kWh)	7.77
4	Average Tariff (Rs./kWh)*	4.96
5	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs./kWh)	2.81
6	Whether Regulatory Assets have been created?	No
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NA
III. Fuel & Power Purchase Cost Adjustment		
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Monthly/Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	No. The need for fuel surcharge adjustment did not arise during these years in the State.

\* After subsidy payment to meet the gap by the State Government is considered.

**Manipur State Power Distribution Company Limited (Manipur)**

<b>Joint Electricity Regulatory Commission for Manipur and Mizoram</b>		
<u>Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011</u>		
<b>Sl. No.</b>	<b>Particulars</b>	<b>2019-2020</b>
<b>I. Timeliness of Tariff Determination Process</b>		
<b>A. Tariff Filing</b>		
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?	
	i. APR	Yes (30.11.2018)
	ii. True Up	-do-
	iii. ARR	-do-
	iv. Tariff Order	Within 120 days of receipt of application.
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?	
	i. APR	24.12.2018
	ii. True Up	-do-
	iii. ARR	-do-
	iv. Tariff Order	Yes
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof	
	i. APR	Not Applicable
	ii. True Up	-do-
	iii. ARR	-do-
	iv. Tariff Order	-do-
<b>B. Tariff Order</b>		
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?	
	i. APR	Yes (09.01.2019)
	ii. True Up	-do-
	iii. ARR	-do-
	iv. Tariff Order	26.03.2019
5	Whether the applicability of Tariff is till the end of the financial year(Yes/No)?	Yes

II. Adequacy of Tariff		
1	Annual Revenue Requirement (in Rs.Cr.)	587.67
2	Saleable Energy (in MUs)	631.44
3	Average Cost of Supply (Rs./kWh)	9.32
4	Average Tariff (Rs./kWh)*	5.83
5	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs./kWh)	3.49
6	Whether Regulatory Assets have been created?	No
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NA
III. Fuel & Power Purchase Cost Adjustment		
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Monthly/Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	No. The need for fuel surcharge adjustment did not arise during these years in the State.

\* After subsidy payment to meet the gap by the State Government is considered.

**Manipur State Power Company Limited (Manipur)**

<b>Joint Electricity Regulatory Commission for Manipur and Mizoram</b>		
<u>Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011</u>		
<b>Sl. No.</b>	<b>Particulars</b>	<b>2019-2020</b>
<b>I. Timeliness of Tariff Determination Process</b>		
<b>A. Tariff Filing</b>		
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?	
	i. APR	Yes (30.11.2018)
	ii. True Up	-do-
	iii. ARR	-do-
	iv. Tariff Order	Within 120 days of receipt of application.
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?	
	i. APR	24.12.2018
	ii. True Up	-do-
	iii. ARR	-do-
	iv. Tariff Order	Yes
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof	
	i. APR	Not Applicable
	ii. True Up	-do-
	iii. ARR	-do-
	iv. Tariff Order	-do-
<b>B. Tariff Order</b>		
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?	
	i. APR	Yes (09.01.2019)
	ii. True Up	-do-
	iii. ARR	-do-
	iv. Tariff Order	26.03.2019
5	Whether the applicability of Tariff is till the end of the financial year(Yes/No)?	Yes

II. Adequacy of Tariff		
1	Annual Revenue Requirement (in Rs.Cr.)	85.68
2	Saleable Energy (in MUs)	
3	Average Cost of Supply (Rs./kWh)	
4	Average Tariff (Rs./kWh)*	0.34
5	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs./kWh)	Nil
6	Whether Regulatory Assets have been created?	No
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NA
III. Fuel & Power Purchase Cost Adjustment		
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Monthly/Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	No. The need for fuel surcharge adjustment did not arise during these years in the State.

\* After subsidy payment to meet the gap by the State Government is considered.

**Jharkhand State Electricity Regulatory Commission (JSERC), Ranchi**

Dated: August 13, 2020

Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011

**Jharkhand Bijli Vitran Nigam Limited (JBVNL)**

Note: MYT 1st Control Period from FY 2010-11 to FY 2015-16 and 2nd Control Period from FY 2016-17 to FY 2020-21

Sl. No.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
<b>I. Timeliness of Tariff Determination Process</b>						
<b>A. Tariff Filing</b>						
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?					
	i. APR	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 30 of each year)
	ii. True Up	Along with the APR Petition	Along with the APR Petition	Along with the APR Petition	Along with the APR Petition	Along with the APR Petition
	iii. ARR	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 30 of each year)
	iv. Tariff Order	Yes (120 days from the date of Acceptance of filing)	Yes (120 days from the date of Acceptance of filing)	Yes (120 days from the date of Acceptance of filing)	Yes (120 days from the date of Acceptance of filing)	Yes (120 days from the date of Acceptance of filing)
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?					
	i. APR	No	29.11.2017	No	28.11.2018	30.12.2019
	ii. True Up	20.09.2017	28.11.2018	28.11.2018	30.12.2019	NA
	iii. ARR	26.02.2015	28.07.2016	29.11.2017	29.11.2017	28.11.2018
	iv. Tariff Order	26.02.2015	28.07.2016	28.07.2016	29.11.2017	28.11.2018
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof					
	i. APR	No, delay in filing the Petition	No, delay in filing the Petition	No, delay in filing the Petition	No, delay in filing the Petition	No, delay in filing the Petition
	ii. True Up					
	iii. ARR					
	iv. Tariff Order					
<b>B. Tariff Order</b>						

4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?					
	i. APR	Answer same as in Sl. Nos. 1 & 2	Answer same as in Sl. Nos. 1 & 2	Answer same as in Sl. Nos. 1 & 2	Answer same as in Sl. Nos. 1 & 2	Answer same as in Sl. Nos. 1 & 2
	ii. True Up					
	iii. ARR					
	iv. Tariff Order					
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	The Tariff Order will be applicable till the issuance of the next Tariff Order	The Tariff Order will be applicable till the issuance of the next Tariff Order	The Tariff Order will be applicable till the issuance of the next Tariff Order	The Tariff Order will be applicable till the issuance of the next Tariff Order	The Tariff Order will be applicable till the issuance of the next Tariff Order
1	Annual Revenue Requirement (in Rs. Cr.)	5,535.91	5,803.10	6,047.36	6,252.44	7,164.22
	GoJ Subsidy (in Rs. Cr.)	1,151.31	1,200.00	3,000.00	-	-
2	Saleable Energy (in MUs)	9,058.92	8,721.07	9,613.73	10,285.46	11010.88
	Revenue (in Rs. Cr.)	2,866.65	2,715.73	3,160.22	4,953.25	7,164.22
3	Average Cost of Supply (Rs./kWh)	6.11	6.65	6.29	6.08	6.51
4	Average Tariff (Rs./kWh)*	4.44	4.49	6.41	4.82	6.51
5	Revenue gap between ABR and ACS per unit of only the year in consideration (in Rs./kWh)	(1.68)	(2.16)	0.12	(1.26)	-
6	Whether Regulatory Assets have been created?	No	No	No	No	No
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA	NA	NA	NA	NA
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA	NA	NA	NA	NA
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NA	NA	NA	NA	NA
<b>III. Fuel &amp; Power Purchase Cost Adjustment</b>						
		Yes	Yes	Yes	Yes	Yes
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?					
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly	Quarterly	Quarterly	Quarterly	Quarterly



3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	No. Done in the True-up Order for the Year as Quarterly submission was not made.	No. Done in the True-up Order for the Year as Quarterly submission was not made.	No. Done in the True-up Order for the Year as Quarterly submission was not made.	No. Done in the True-up Order for the Year as Quarterly submission was not made.	No. Done in the True-up Order for the Year as Quarterly submission was not made.
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\* Average Tariff including GoJ Subsidy

**Jharkhand State Electricity Regulatory Commission (JSERC), Ranchi**

Dated: August 13, 2020

**Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011**

**Damodar Valley Corporation (DVC)**

Note: MYT 1st Control Period from FY 2010-11 to FY 2015-16 and 2nd Control Period from FY 2016-17 to FY 2020-21

Sl. No.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
I. Timeliness of Tariff Determination Process						
A. Tariff Filing						
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order					
	i. APR	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 30 of each year)
	ii. True Up	Along with the APR Petition	Along with the APR Petition	Along with the APR Petition	Along with the APR Petition	Along with the APR Petition
	iii. ARR	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 30 of each year)
	iv. Tariff Order	Yes (120 days from the date of Acceptance of filing)	Yes (120 days from the date of Acceptance of filing)	Yes (120 days from the date of Acceptance of filing)	Yes (120 days from the date of Acceptance of filing)	Yes (120 days from the date of Acceptance of filing)
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?					
	i. APR	No	No	No	11.01.2019	02.01.2020
	ii. True Up	04.01.2016	22.02.2017	27.06.2019	14.01.2020	NA
	iii. ARR	05.03.2014	11.10.2018	11.10.2018	11.10.2018	11.01.2019
	iv. Tariff Order	05.03.2014	11.10.2018	11.10.2018	11.10.2018	11.01.2019
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof					
	i. APR	No	No	Suo-motu order issued	No	No
	ii. True Up			No		
	iii. ARR					
	iv. Tariff Order					
B. Tariff Order						
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?					
	i. APR	Answer same as in Sl.	Answer same as in Sl.	Answer same as in Sl.	Answer same as in Sl.	Answer same as in Sl. Nos. 1

	ii. True Up	Nos. 1 & 2	Nos. 1 & 2	Nos. 1 & 2	Nos. 1 & 2	& 2
	iii. ARR					
	iv. Tariff Order					
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	The Tariff Order will be applicable till the issuance of the next Tariff Order	The Tariff Order will be applicable till the issuance of the next Tariff Order	The Tariff Order will be applicable till the issuance of the next Tariff Order	The Tariff Order will be applicable till the issuance of the next Tariff Order	The Tariff Order will be applicable till the issuance of the next Tariff Order
1	Annual Revenue Requirement (in Rs. Cr.)	4,472.14	4,705.79	4,858.00	4,791.80	3,545.77
	GoJ Subsidy (in Rs. Cr.)	-	-	-	-	-
2	Saleable Energy (in MUs)	10,252.94	10,361.15	10,984.10	10,259.27	7,188.05
	Revenue (in Rs. Cr.)	4,956.42	5,017.09	5,285.18	4,305.11	3,545.77
3	Average Cost of Supply (Rs./kWh)	4.36	4.54	4.42	4.67	4.93
4	Average Tariff (Rs./kWh)	4.83	4.84	4.81	4.20	4.93
5	Revenue gap between ABR and ACS per unit of only the year in consideration (in Rs./kWh)	0.47	0.30	0.39	(0.47)	-
6	Whether Regulatory Assets have been created?	No	No	No	No	No
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA	NA	NA	NA	NA
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA	NA	NA	NA	NA
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NA	NA	NA	NA	NA
<b>III. Fuel &amp; Power Purchase Cost Adjustment</b>						
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes	Yes	Yes	Yes	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly	Quarterly	Quarterly	Quarterly	Quarterly

3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	No. Done in the True-up Order for the Year as Quarterly submission was not made.	No. Done in the True-up Order for the Year as Quarterly submission was not made.	No. Done in the True-up Order for the Year as Quarterly submission was not made.	No. Done in the True-up Order for the Year as Quarterly submission was not made.	No. Done in the True-up Order for the Year as Quarterly submission was not made.
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Jharkhand State Electricity Regulatory Commission (JSERC), Ranchi						
Dated: August 13, 2020						
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011						
Jamshedpur Utilities and Service Company (JUSCO)						
Note: MYT 1st Control Period from FY 2010-11 to FY 2015-16 and 2nd Control Period from FY 2016-17 to FY 2020-21						
Sl. No.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
<b>I. Timeliness of Tariff Determination Process</b>						
<b>A. Tariff Filing</b>						
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?					
	i. APR	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 30 of each year)
	ii. True Up	Along with the APR Petition	Along with the APR Petition	Along with the APR Petition	Along with the APR Petition	Along with the APR Petition
	iii. ARR	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 30 of each year)
	iv. Tariff Order	Yes (120 days from the date of Acceptance of filing)	Yes (120 days from the date of Acceptance of filing)	Yes (120 days from the date of Acceptance of filing)	Yes (120 days from the date of Acceptance of filing)	Yes (120 days from the date of Acceptance of filing)
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?					
	i. APR	11.12.2015	28.08.2017	No	07.03.2019	26.12.2019
	ii. True Up	28.08.2017	07.03.2019	07.03.2019	26.12.2019	NA
	iii. ARR	03.11.2014	06.10.2016	28.08.2017	NA	07.03.2019
	iv. Tariff Order	03.11.2014	06.10.2016	28.08.2017	NA	07.03.2019
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof					
	i. APR	No	No	No	No	No
	ii. True Up					
	iii. ARR					
	iv. Tariff Order					
<b>B. Tariff Order</b>						
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?					
	i. APR	Answer same as in Sl.	Answer same as in Sl.	Answer same as in Sl.	Answer same as in Sl.	Answer same as in Sl. Nos.

	ii. True Up	Nos. 1 & 2	Nos. 1 & 2	Nos. 1 & 2	Nos. 1 & 2	1 & 2
	iii. ARR					
	iv. Tariff Order					
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	The Tariff Order will be applicable till the issuance of the next Tariff Order	The Tariff Order will be applicable till the issuance of the next Tariff Order	The Tariff Order will be applicable till the issuance of the next Tariff Order	The Tariff Order will be applicable till the issuance of the next Tariff Order	The Tariff Order will be applicable till the issuance of the next Tariff Order
1	Annual Revenue Requirement (in Rs. Cr.)	239.79	248.17	302.65	283.07	308.82
	GoJ Subsidy (in Rs. Cr.)	-	-	-	-	-
2	Saleable Energy (in MUs)	465.75	481.48	583.08	540.74	584.03
	Revenue (in Rs. Cr.)	244.10	260.32	317.91	-	-
3	Average Cost of Supply (Rs./kWh)	5.15	5.15	5.19	5.23	5.29
4	Average Tariff (Rs./kWh)	5.24	5.41	5.45	-	-
5	Revenue gap between ABR and ACS per unit of only the year in consideration (in Rs./kWh)	0.09	0.25	0.26	-	-
6	Whether Regulatory Assets have been created?	No	No	No	-	-
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA	NA	NA	-	-
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA	NA	NA	-	-
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NA	NA	NA	-	-
III. Fuel & Power Purchase Cost Adjustment						
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes	Yes	Yes	Yes	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly	Quarterly	Quarterly	Quarterly	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Yes	Yes	Yes	Yes	Yes

**Jharkhand State Electricity Regulatory Commission (JSERC), Ranchi**

Dated: August 13, 2020

**Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011**

**Tata Steel Limited (TSL)**

Note: MYT 1st Control Period from FY 2010-11 to FY 2015-16 and 2nd Control Period from FY 2016-17 to FY 2020-21

Sl. No.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
I. Timeliness of Tariff Determination Process						
A. Tariff Filing						
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?					
	i. APR	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 30 of each year)
	ii. True Up	Along with the APR Petition	Along with the APR Petition	Along with the APR Petition	Along with the APR Petition	Along with the APR Petition
	iii. ARR	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 30 of each year)
	iv. Tariff Order	Yes (120 days from the date of Acceptance of filing)	Yes (120 days from the date of Acceptance of filing)	Yes (120 days from the date of Acceptance of filing)	Yes (120 days from the date of Acceptance of filing)	Yes (120 days from the date of Acceptance of filing)
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?					
	i. APR	30.12.2015	24.10.2017	No	29.05.2019	26.12.2019
	ii. True Up	24.10.2017	29.05.2019	29.05.2019	26.12.2019	NA
	iii. ARR	03.11.2014	28.07.2016	24.10.2017	NA	29.05.2019
	iv. Tariff Order	03.11.2014	28.07.2016	24.10.2017	NA	29.05.2019
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof					
	i. APR	No	No	No	No	No
	ii. True Up					
	iii. ARR					
	iv. Tariff Order					
B. Tariff Order						
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?					

	i. APR	Answer same as in Sl. Nos. 1 & 2	Answer same as in Sl. Nos. 1 & 2	Answer same as in Sl. Nos. 1 & 2	Answer same as in Sl. Nos. 1 & 2	Answer same as in Sl. Nos. 1 & 2
	ii. True Up					
	iii. ARR					
	iv. Tariff Order					
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	The Tariff Order will be applicable till the issuance of the next Tariff Order	The Tariff Order will be applicable till the issuance of the next Tariff Order	The Tariff Order will be applicable till the issuance of the next Tariff Order	The Tariff Order will be applicable till the issuance of the next Tariff Order	The Tariff Order will be applicable till the issuance of the next Tariff Order
1	Annual Revenue Requirement (in Rs. Cr.)	1,439.80	1,390.64	1,491.78	1,559.75	1,620.01
	GoJ Subsidy (in Rs. Cr.)	-	-	-	-	-
2	Saleable Energy (in MUs)	2,886.93	2,877.34	3,003.04	3,202.17	3,282.85
	Revenue (in Rs. Cr.)	1,466.65	1,502.50	1,813.43	NA	NA
3	Average Cost of Supply (Rs./kWh)	4.99	4.83	4.97	4.87	4.93
4	Average Tariff (Rs./kWh)	5.08	5.22	6.04	-	-
5	Revenue gap between ABR and ACS per unit of only the year in consideration (in Rs./kWh)	0.09	0.39	1.07	-	-
6	Whether Regulatory Assets have been created?	No	No	No	-	-
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA	NA	NA	-	-
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA	NA	NA	-	-
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NA	NA	NA	-	-
<b>III. Fuel &amp; Power Purchase Cost Adjustment</b>						
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes	Yes	Yes	Yes	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly	Quarterly	Quarterly	Quarterly	Quarterly



3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Yes	Yes	Yes	Yes	Yes
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**Jharkhand State Electricity Regulatory Commission (JSERC), Ranchi**

Dated: August 13, 2020

**Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011**

**SAIL, Bokaro**

Note: MYT 1st Control Period from FY 2010-11 to FY 2015-16 and 2nd Control Period from FY 2016-17 to FY 2020-21

Sl. No.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
<b>I. Timeliness of Tariff Determination Process</b>						
<b>A. Tariff Filing</b>						
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?					
	i. APR	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 30 of each year)
	ii. True Up	Along with the APR Petition	Along with the APR Petition	Along with the APR Petition	Along with the APR Petition	Along with the APR Petition
	iii. ARR	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 30 of each year)
	iv. Tariff Order	Yes (120 days from the date of Acceptance of filing)	Yes (120 days from the date of Acceptance of filing)	Yes (120 days from the date of Acceptance of filing)	Yes (120 days from the date of Acceptance of filing)	Yes (120 days from the date of Acceptance of filing)
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?					
	i. APR	NA	NA	NA	NA	NA
	ii. True Up	21.07.2017	01.10.2019	01.10.2019	NA	NA
	iii. ARR	13.05.2013	21.07.2017	21.07.2017	21.07.2017	21.07.2017
	iv. Tariff Order	13.05.2013	21.07.2017	21.07.2017	21.07.2017	21.07.2017
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof					
	i. APR	Yes	Yes	Yes	Yes	Yes
	ii. True Up					
	iii. ARR					
	iv. Tariff Order					

## B. Tariff Order

4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?					
	i. APR	Answer same as in Sl. Nos. 1 & 2	Answer same as in Sl. Nos. 1 & 2	Answer same as in Sl. Nos. 1 & 2	Answer same as in Sl. Nos. 1 & 2	Answer same as in Sl. Nos. 1 & 2
	ii. True Up					
	iii. ARR					
	iv. Tariff Order					
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	The Tariff Order will be applicable till the issuance of the next Tariff Order	The Tariff Order will be applicable till the issuance of the next Tariff Order	The Tariff Order will be applicable till the issuance of the next Tariff Order	The Tariff Order will be applicable till the issuance of the next Tariff Order	The Tariff Order will be applicable till the issuance of the next Tariff Order
1	Annual Revenue Requirement (in Rs. Cr.)	335.39	408.44	405.40	411.01	422.42
	GoJ Subsidy (in Rs. Cr.)	-	-	-	-	-
2	Saleable Energy (in MUs)	643.65	655.45	672.54	694.13	722.54
	Revenue (in Rs. Cr.)	290.82	NA	NA	NA	NA
3	Average Cost of Supply (Rs./kWh)	5.21	6.23	6.03	5.92	5.85
4	Average Tariff (Rs./kWh)	4.52				
5	Revenue gap between ABR and ACS per unit of only the year in consideration (in Rs./kWh)	(0.69)				
6	Whether Regulatory Assets have been created?	No	No	No	No	No
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA	NA	NA	NA	NA
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA	NA	NA	NA	NA
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NA	NA	NA	NA	NA
III. Fuel & Power Purchase Cost Adjustment						

1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes	Yes	Yes	Yes	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly	Quarterly	Quarterly	Quarterly	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	No. Done in the True-up Order for the Year as Quarterly submission was not made.	No. Done in the True-up Order for the Year as Quarterly submission was not made.	No. Done in the True-up Order for the Year as Quarterly submission was not made.	No. Done in the True-up Order for the Year as Quarterly submission was not made.	No. Done in the True-up Order for the Year as Quarterly submission was not made.

**The Secretary,**  
Forum of Regulators,  
C/O Central Electricity Regulatory Commission (CERC)  
3<sup>rd</sup> Floor & 4<sup>th</sup> floor, Chanderlok Building  
36, Janpath, New Delhi 110 001

**Subject: Compliance of APTEL Direction in Order dated 30.06.2014 in OP. No. 1 of 2011.**

Sir,

With reference FoR's email dated 5 August 2020 regarding submission of compliance of APTEL Order in OP No. 1 of 2011, information pertaining to Maharashtra Electricity Regulatory Commission (MERC) in prescribed format is enclosed herewith.

Kindly note that in compliance of mandate under the Electricity Act, 2003 and the Tariff Policy framed there under, the MERC has adopted Multi Year Tariff framework for State of Maharashtra. Under this MYT framework, tariff for each year of the Control Period is decided upfront at the start of Control Period. Thus, once a Financial Year ends, new Tariff as already approved in MYT Order become applicable from 1 April of next financial year. Therefore, even if there is some delay in issuance of MYT Order due to some unavoidable circumstance, such delay is restricted only to the first year and for remaining years of the Control Period, tariff approved in MYT Order becomes applicable from 1 April itself without any delay.

Further, MYT frameworks also have provision of Mid Term Review, wherein variation from projections approved in MYT Order can be scrutinized and appropriate correction in approved tariff for remaining period of Control Period can be undertaken. This process has enable utilities to pass on variation at mid of the Control Period without waiting till end of the Control Period.

The State of Maharashtra has four major electricity Distribution Licensees, namely the Maharashtra State Electricity Distribution Company Limited (MSEDCL), the Brihanmumbai Electric Supply and Transport Undertaking (BEST), Adani Electricity Maharashtra Ltd.-Distribution(AEML-D) [Previously Reliance Infrastructure Limited-Distribution (RInfra-D)] and The Tata Power Company Limited-Distribution (TPC-D).

Detailed compliance status of these Distribution Licensees with respect of APTEL Judgment in OP No.1 in prescribed format is enclosed herewith.

Yours faithfully,

Sd/-

(Rajendra Ambekar)  
Executive Director, MERC

Encl: Information in prescribed format

**Maharashtra Electricity Regulatory Commission (MERC)**

**Discom – Maharashtra State Electricity Distribution Co. Ltd.**

**Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011**

S. No.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
I. Timelines of Tariff Determination Process						
A. Tariff Filing						
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?					
	i. APR	Not Applicable	Not Applicable	30 Nov 2017	Not Applicable	30 Nov 2019
	ii. True Up	30 Nov 2017	30 Nov 2017	30 Nov 2019	30 Nov 2019	30 Nov 2022
	iii. ARR	31 May 2014	15 Jan 2016		30 Nov 2017	30 Nov 2017
	iv. Tariff Order					
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?					
	i. APR	Not Applicable	Not Applicable	21 Dec 2017	Not Applicable	27 Nov 2019
	ii. True Up	21 Dec 2017	21 Dec 2017	27 Nov 2019	27 Nov 2019	Not Applicable
	iii. ARR	7 Jun 2014 / 3 Feb 2015*	4 Mar 2016/ 10 Jun 2016*		21 Dec 2017/ 4 Jul 2018*	21 Dec 2017/ 4 Jul 2018*
	iv. Tariff Order					
			* two dates indicate initial and revised Petition filing dates.			
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof					
	i. APR	Not Applicable	Not Applicable	21 days delay	Not Applicable	No Delay
	ii. True Up	21 days delay	21 days delay	No Delay	No Delay	Not Applicable

**Maharashtra Electricity Regulatory Commission (MERC)**

**Discom – Maharashtra State Electricity Distribution Co. Ltd.**

**Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011**

S. No.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
	iii. ARR	7 days delay	49 days delay. No Suo-motu action taken. Being MYT Petition, 1 <sup>st</sup> year delay does not have any impact on subsequent years.	21 days delay	21 days delay	
	iv. Tariff Order					
	B. Tariff Order					
4	Whether Annual Performance Review, true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issues regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?					
	i. APR	Not Applicable	Not Applicable	RP – 4/7/2018 Order- 12/9/18 Time – 70 days	Not Applicable	RP – 13/1/20 Order- 30/3/20 Time – 77 days
	ii. True Up	RP – 4/7/18 Order- 12/9/18 Time – 70 days	RP – 4/7/18 Order- 12/9/18 Time – 70 days	RP – 13/1/20 Order- 30/3/20 Time – 77 days	RP – 13/1/20 Order- 30/3/20 Time – 77 days	Not Applicable
	iii. ARR	RP – 3/2/15 Order- 26/6/15 Time – 143 days	RP – 10 June 2016 Order – 3 November 2016 Time taken – 146 days		RP – 4/7/18 Order- 12/9/18 Time – 70 days	RP – 4/7/18 Order- 12/9/18 Time – 70 days
	iv. Tariff Order					
		23 days/26 days extra due to 6 Public Hearings at different locations in Maharashtra. These delays were in year 2015 and 2016. In the year 2018 and 2020, the Commission issued tariff Order within 120 days.				
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	Yes	Yes	Yes	Yes	Yes
	Note RP=Revised Petition					



**Maharashtra Electricity Regulatory Commission (MERC)**

**Discom – Maharashtra State Electricity Distribution Co. Ltd.**

**Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011**

S. No.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
1	Annual Revenue Requirement (Rs. CR)	55602	57990	64891	75157	80217
2	Saleable Energy (MUs)	92216	91229	96210	103713	108369
3	Average Cost of Supply (Rs/kWh)	6.03	6.36	6.74	7.25	7.40
4	Average tariff (Rs/kWh)*	6.03	6.36	6.74	6.63	6.85
5	Revenue gap between ARR and ACS per unit of only the year in consideration (Rs/kWh)	Nil	Nil	Nil	0.60	0.55
6	Whether Regulatory Assets have been created?	No	No	No	Yes	Yes
7	If yes, whether the creation of Regulatory Asset is in line with the National Tariff Policy?	Not Applicable	Not Applicable	Not Applicable	Yes	Yes
8	Whether a road map (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	Not Applicable	Not Applicable	Not Applicable	Yes	Yes
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	Not Applicable	Not Applicable	Not Applicable	Yes	Yes
	<b>III. Fuel &amp; Power Purchase Cost Adjustment</b>					
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes	Yes	Yes	Yes	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/bi-monthly/quarterly)?	Yes	Yes	Yes	Yes	Yes
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Yes	Yes	Yes	Yes	Yes

**Maharashtra Electricity Regulatory Commission (MERC)**

**Discom – Brihanmumbai Electric Supply and Transport Undertaking.**

**Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011**

S. No.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
I. Timelines of Tariff Determination Process						
A. Tariff Filing						
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?					
	i. APR	Not Applicable	Not Applicable	30 Nov 2017	Not Applicable	30 Nov 2019
	ii. True Up	30 Nov 2017	30 Nov 2017	30 Nov 2019	30 Nov 2019	30 Nov 2022
	iii. ARR	15 March 2013	15 Jan 2016		30 Nov 2017	30 Nov 2017
	iv. Tariff Order					
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?					
	i. APR	Not Applicable	Not Applicable	21 Dec 2017	Not Applicable	29 Nov 2019
	ii. True Up	21 Dec 2017	21 Dec 2017	29 Nov 2019	29 Nov 2019	Not Applicable
	iii. ARR	14 Feb 2013 / 9 Apr 2013*	15 Feb 2016/ 26 Apr 2016*		21 Dec 2017/ 4 Jul 2018*	21 Dec 2017/ 4 Jul 2018*
	iv. Tariff Order					
			* two dates indicate initial and revised Petition filing dates.			
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof					
	i. APR	Not Applicable	Not Applicable	21 days delay	Not Applicable	No Delay
	ii. True Up	21 days delay	21 days delay	No Delay	No Delay	Not Applicable

**Maharashtra Electricity Regulatory Commission (MERC)**

**Discom – Brihanmumbai Electric Supply and Transport Undertaking.**

**Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011**

Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011

S. No.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
	iii. ARR	No Delay	30 days delay. No Suo-motu action taken. Being MYT Petition, 1 <sup>st</sup> year delay does not have any impact on subsequent years.	21 days delay	21 days delay	
	iv. Tariff Order					
	B. Tariff Order					
4	Whether Annual Performance Review, true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issues regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?					
	i. APR	Not Applicable	Not Applicable	RP – 28/6/18 Order- 12/9/18 Time – 76 days	Not Applicable	RP – 7/1/20 Order- 30/3/20 Time – 83 days
	ii. True Up	RP – 28/6/18 Order- 12/9/18 Time – 76 days	RP – 28/6/18 Order- 12/9/18 Time – 76 days	RP – 7/1/20 Order- 30/3/20 Time – 83 days	RP – 7/1/20 Order- 30/3/20 Time – 83 days	Not Applicable
	iii. ARR	RP – 9/4/2013 Order- 28/8/13 Time – 141 days	RP – 26 April 2016 Order – 28 October 2016 Time taken – 185 days		RP – 28/6/18 Order- 12/9/18 Time – 76 days	RP – 28/6/18 Order- 12/9/18 Time – 76 days
	iv. Tariff Order					
		These delays were in year 2015 and 2016. In the year 2018 and 2020, the Commission issued tariff Order within 120 days.				
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	Yes	Yes	Yes	Yes	Yes
	Note RP=Revised Petition					

**Maharashtra Electricity Regulatory Commission (MERC)**

**Discom – Brihanmumbai Electric Supply and Transport Undertaking.**

**Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011**

S. No.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
1	Annual Revenue Requirement (Rs. CR)	6847	4113	3940	3367	3405
2	Saleable Energy (MUs)	5735	4668	4764	4692	4869
3	Average Cost of Supply (Rs/kWh)	11.94	8.81	8.27	7.18	6.99
4	Average tariff (Rs/kWh)*	11.94	8.81	8.27	7.18	6.99
5	Revenue gap between ARR and ACS per unit of only the year in consideration (Rs/kWh)	Nil	Nil	Nil	Nil	Nil
6	Whether Regulatory Assets have been created?	No	No	No	No	No
7	If yes, whether the creation of Regulatory Asset is in line with the National Tariff Policy?	Not Applicable	Not Applicable	Not Applicable	Not Applicable	Not Applicable
8	Whether a road map (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	Not Applicable	Not Applicable	Not Applicable	Not Applicable	Not Applicable
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	Not Applicable	Not Applicable	Not Applicable	Not Applicable	Not Applicable
<b>III. Fuel &amp; Power Purchase Cost Adjustment</b>						
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes	Yes	Yes	Yes	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/bi-monthly/quarterly)?	Yes	Yes	Yes	Yes	Yes
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Yes	Yes	Yes	Yes	Yes

**Maharashtra Electricity Regulatory Commission (MERC)**

**Discom – Adani Electricity Mumbai Ltd. (Distribution Business)**

**Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011**

S. No.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
I. Timelines of Tariff Determination Process						
A. Tariff Filing						
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?					
	i. APR	Not Applicable	Not Applicable	30 Nov 2017	Not Applicable	30 Nov 2019
	ii. True Up	30 Nov 2017	30 Nov 2017	30 Nov 2019	30 Nov 2019	30 Nov 2022
	iii. ARR	30 Nov 2014	15 Jan 2016		30 Nov 2017	30 Nov 2017
	iv. Tariff Order					
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?					
	i. APR	Not Applicable	Not Applicable	21 Dec 2017	Not Applicable	30 Nov 2019
	ii. True Up	21 Dec 2017	21 Dec 2017	30 Nov 2019	30 Nov 2019	Not Applicable
	iii. ARR	30 Dec 2014 / 13 Feb 2015*	16 Feb 2016/ 19 Mar 2016*		21 Dec 2017/ 4 Jul 2018*	21 Dec 17/ 4 Jul 2018*
	iv. Tariff Order					
			* two dates indicate initial and revised Petition filing dates.			
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof					
	i. APR	Not Applicable	Not Applicable	21 days delay	Not Applicable	No Delay
	ii. True Up	21 days delay	21 days delay	No Delay	No Delay	Not Applicable

**Maharashtra Electricity Regulatory Commission (MERC)**

**Discom – Adani Electricity Mumbai Ltd. (Distribution Business)**

**Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011**

Format for compilation of data regarding the directions given by AP TEL through its judgement dated 11.11.2011						
S. No.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
	iii. ARR	30 days delay	33 days delay. No Suo-motu action taken. Being MYT Petition, 1 <sup>st</sup> year delay does not have any impact on subsequent years.		21 days delay	21 days delay
	iv. Tariff Order					
	B. Tariff Order					
4	Whether Annual Performance Review, true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issues regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?					
	i. APR	Not Applicable	Not Applicable	RP – 26/6/18 Order-12/9/18 Time – 78 days	Not Applicable	RP – 7/1/20 Order-30/3/20 Time – 83 days
	ii. True Up	RP – 26/6/18 Order-12/9/18 Time – 78 days	RP – 26/6/18 Order-12/9/18 Time – 78 days	RP – 7/1/20 Order-30/3/20 Time – 83 days	RP – 7/1/20 Order-30/3/20 Time – 83 days	Not Applicable
	iii. ARR	RP – 13/2/15 Order-26/6/15 Time – 133 days	RP – 19 March 2016 Order – 21 October 2016 Time taken – 217 days		RP – 26/6/18 Order-12/9/18 Time – 78 days	RP – 26/6/18 Order-12/9/18 Time – 78 days
	iv. Tariff Order					
		These delays were in year 2015 and 2016. In the year 2018 and 2020, the Commission issued tariff Order within 120 days.				
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	Yes	Yes	Yes	Yes	Yes

**Maharashtra Electricity Regulatory Commission (MERC)**

**Discom – Adani Electricity Mumbai Ltd. (Distribution Business)**

**Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011**

S. No.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
	<i>Note RP=Revised Petition</i>					
1	Annual Revenue Requirement (Rs. CR)	5694	6539	6765	6908	7266
2	Saleable Energy (MUs)	7767	7737	7937	8579	8887
3	Average Cost of Supply (Rs/kWh)	7.33	8.45	8.52	8.05	8.18
4	Average tariff (Rs/kWh)*	8.23	9.40	9.33	8.60	8.68
5	Revenue gap between ARR and ACS per unit of only the year in consideration (Rs/kWh)	(0.90) <sup>#</sup>	(0.95) <sup>#</sup>	(0.81) <sup>#</sup>	(0.55) <sup>#</sup>	(0.50) <sup>#</sup>
6	Whether Regulatory Assets have been created?	Yes	Yes	Yes	Yes	Yes
7	If yes, whether the creation of Regulatory Asset is in line with the National Tariff Policy?	Yes	Yes	Yes	Yes	Yes
8	Whether a road map (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	Yes	Yes	Yes	Yes	Yes
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	Yes	Yes	Yes	Yes	Yes
	<i>#Negative rate indicate recovery of Regulatory Asset. No Regulatory Asset is levied in FY 2020-21 and onwards.</i>					
	<b>III. Fuel &amp; Power Purchase Cost Adjustment</b>					
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes	Yes	Yes	Yes	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/bi-monthly/quarterly)?	Yes	Yes	Yes	Yes	Yes
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Yes	Yes	Yes	Yes	Yes

**Maharashtra Electricity Regulatory Commission (MERC)**

**Discom – Tata Power Company Ltd (Distribution Business)**

**Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011**

Format for compilation of data regarding the directions given by AT & TLE through its judgement dated 11.11.2011

S. No.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
I. Timelines of Tariff Determination Process						
A. Tariff Filing						
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?					
	i. APR	Not Applicable	Not Applicable	30 Nov 2017	Not Applicable	30 Nov 2019
	ii. True Up	30 Nov 2017	30 Nov 2017	30 Nov 2019	30 Nov 2019	30 Nov 2022
	iii. ARR	30 Nov 2014	15 Jan 2016		30 Nov 2017	30 Nov 2017
	iv. Tariff Order					
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?					
	i. APR	Not Applicable	Not Applicable	25 Jan 2018	Not Applicable	29 Nov 2019
	ii. True Up	25 Jan 2018	25 Jan 2018	29 Nov 2019	29 Nov 2019	Not Applicable
	iii. ARR	12 Jan 2015 / 23 Feb 2015*	1Mar 2016/ 24 Apr 2016*		25 Jan 2018/ 30 Jun 2018*	25 Jan 2018/ 30 Jun 2018*
	iv. Tariff Order					
			* two dates indicate initial and revised Petition filing dates.			
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof					
	i. APR	Not Applicable	Not Applicable	57 days delay	Not Applicable	No Delay
	ii. True Up	57 days delay	57 days delay	No Delay	No Delay	Not Applicable



**Maharashtra Electricity Regulatory Commission (MERC)**

**Discom – Tata Power Company Ltd (Distribution Business)**

**Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011**

Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011						
S. No.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
	iii. ARR	42 Days delay	46 days delay. No Suo-motu action taken. Being MYT Petition, 1 <sup>st</sup> year delay does not have any impact on subsequent years.	57 days delay	57 days delay	
	iv. Tariff Order					
	B. Tariff Order					
4	Whether Annual Performance Review, true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issues regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?					
	i. APR	Not Applicable	Not Applicable	RP – 30/6/18 Order-12/9/18 Time – 74 days	Not Applicable	RP – 7/1/20 Order-30/3/20 Time – 83 days
	ii. True Up	RP – 30/6/18 Order-12/9/18 Time – 74 days	RP – 30/6/18 Order-12/9/18 Time – 74 days	RP – 7/1/20 Order-30/3/20 Time – 83 days	RP – 7/1/20 Order-30/3/20 Time – 83 days	Not Applicable
	iii. ARR	RP – 23/2/15 Order-26/6/15 Time – 123 days	RP – 24 April 2016 Order – 21 October 2016 Time taken – 181 days		RP – 30/6/18 Order- 12/9/18 Time – 74 days	RP – 30/6/18 Order-12/9/18 Time – 74 days
	iv. Tariff Order					
		These delays were in year 2015 and 2016. In the year 2018 and 2020, the Commission issued tariff Order within 120 days.				
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	Yes	Yes	Yes	Yes	Yes
	Note RP=Revised Petition					

**Maharashtra Electricity Regulatory Commission (MERC)**

**Discom – Tata Power Company Ltd (Distribution Business)**

**Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011**

S. No.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
1	Annual Revenue Requirement (Rs. CR)	4083	2946	3111	2976	3155
2	Saleable Energy (MUs)	6556	4950	5102	4480	4607
3	Average Cost of Supply (Rs/kWh)	6.23	5.95	6.10	6.64	6.85
4	Average tariff (Rs/kWh)*	7.71	6.79	6.95	7.39	7.51
5	Revenue gap between ARR and ACS per unit of only the year in consideration (Rs/kWh)	(1.48) <sup>#</sup>	(0.84) <sup>#</sup>	(0.85) <sup>#</sup>	(0.75) <sup>#</sup>	(0.66) <sup>#</sup>
6	Whether Regulatory Assets have been created?	Yes	Yes	Yes	Yes	Yes
7	If yes, whether the creation of Regulatory Asset is in line with the National Tariff Policy?	Yes	Yes	Yes	Yes	Yes
8	Whether a road map (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	Yes	Yes	Yes	Yes	Yes
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	Yes	Yes	Yes	Yes	Yes
	<i>#Negative rate indicate recovery of Regulatory Asset. No Regulatory Asset is levied in FY 2020-21 &amp; onwards</i>					
	<b>III. Fuel &amp; Power Purchase Cost Adjustment</b>					
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes	Yes	Yes	Yes	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/bi-monthly/quarterly)?	Yes	Yes	Yes	Yes	Yes
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Yes	Yes	Yes	Yes	Yes

**NAGALAND ELECTRICITY REGULATORY COMMISSION (NERC)**  
**NAGALAND : KOHIMA**

Upper Agri Colony, House No.341,  
Nagaland:Kohima-797001  
Tel/Fax: 2241592(R)  
e-mail:nerc\_kohima@yahoo.com  
nerckohima@hotmail.com  
www.nerc.org.in

No. NERC/FOR /T-6/2019 (Pt-III)/594

Dated Kohima, the 14<sup>th</sup> Aug., '20

To,

**The Assistant Secretary,**  
Forum of Regulators (FOR),  
C/o. Central Electricity Regulatory Commission (CERC),  
3<sup>rd</sup> & 4<sup>th</sup> Floors, Chanderlok building,  
36, Janpath, New Delhi- 110001.

Sub:- **Compliance of the directions of Appellate Tribunal for Electricity issued vide Order dated 3<sup>rd</sup> November 2014 & 23<sup>rd</sup> September 2019 in OP No.1 of 2011.**

Ref:- Your letter No. 15/9(1)/2011/APTEL-TA/FOR/CERC(Vol-VI) dt: 05<sup>th</sup> Aug., 2020.

Sir,

I am directed to enclose herewith the prescribed format duly filled as desired for your necessary action please.

**Encl:-** As stated above.

Yours faithfully,

( W. Y. YANTHAN )

Secretary,  
Nagaland Electricity Regulatory  
Commission (NERC), Kohima.

No. NERC/FOR /T-6/2019 (Pt-III)/

Dated Kohima, the <sup>th</sup> Aug., '20

**Copy to:-**

1. The Principal Secretary, Power Department, Nagaland, Kohima.
2. The Engineer-in-Chief, Department of Power, Nagaland, Kohima.
3. The Chief Engineer (D&R), Department of Power, Nagaland, Kohima.

  
( W. Y. YANTHAN )

0/4



**Nagaland Electricity Regulatory Commission (NERC)**

**Format for compilation of data regarding the directions given by APTEL through its judgment dated 11.11.2011**

Sl. No.	Particulars	2019-20
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?	
	i. APR	Yes (by 30 <sup>th</sup> November of the CFY)
	ii. True Up	-do-
	iii. ARR	-do-
	iv. Tariff Order	-do-
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?	
	i. APR	Yes (by 28 <sup>th</sup> November, 2018)
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof	
	i. APR	NA
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?	
	i. APR	Petition: 28/11/2018 Order: 23/04/2019 (Delayed due to Model Code of Conduct)
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	

*3*

5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	Yes
1	Annual Revenue Requirement (in Rs. Cr.)	618.40
2	Saleable Energy (in MUs)	713.19
3	Average Cost of Supply (Rs./kWh)	8.67
4	Average Tariff (Rs./kWh)*	5.75
5	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs./kWh)	2.92
6	Whether Regulatory Assets have been created?	No (Revenue gap of Rs.208.31 was met by the State Government)
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NA
<b>III. Fuel &amp; Power Purchase Cost Adjustment</b>		
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	NA
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly /quarterly)?	NA
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	NA

*3.12*



**PUNJAB STATE ELECTRICITY REGULATORY COMMISSION  
SITE NO. 3, MADHYA MARG, SECTOR 18-A, CHANDIGARH**

To

Assistant Secretary,  
Forum of Regulators (FOR)  
Central Electricity Regulatory Commission,  
3<sup>rd</sup> & 4<sup>th</sup> Floor, Chanderlok Building,  
36, Janpath, New Delhi-110001

[e-mail: [asecy.for@gmail.com](mailto:asecy.for@gmail.com)]

[e-mail: [ankit.cerc@gmail.com](mailto:ankit.cerc@gmail.com)]

No. PSERC/Tariff/T-45 Vol-XIV/

4083

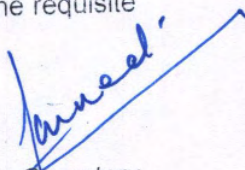
Dated:

18/9/20

Subject:

**Compliance of the directions of the Appellate Tribunal for Electricity  
issued vide Order dated 03<sup>rd</sup> November 2014 and 23<sup>rd</sup> September 2019  
in OP No. 1 of 2011 - reg.**

Please refer to your email dated 10.09.2020 on the subject. The requisite  
information on the prescribed format is enclosed herewith as Annexure-I.

  
Secretary



## Annexure-I

### Punjab State Electricity Regulatory Commission (PSERC)

Format for compilation of data regarding the directions given by APTEL through its judgment dated 11.11.2011

Sr. No.	Particulars	2019-20
<b>I. Timeliness of Tariff Determination Process</b>		
<b>A. Tariff Filing</b>		
<b>1</b>	<b>Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?</b>	
	i. APR	Yes / 30 <sup>th</sup> November of the year preceding the first year of control period.
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	
<b>2</b>	<b>If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?</b>	
	i. APR	Yes Date of filing was 30 <sup>th</sup> November 2018
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	
<b>3</b>	<b>If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof</b>	
	i. APR	Not Applicable
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	



Sr. No.	Particulars	2019-20
<b>B. Tariff Order</b>		
4	<b>Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?</b>	
	i. APR	The Commission endeavours to issue Tariff Order as per timelines specified in the Regulations. The Tariff Order for FY 2019-20 was issued on 27 <sup>th</sup> May 2019, immediately after the Lok Sabha polls (Model Code of conduct was in force with effect from 10.03.2019, till 23.05.2019).
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	
5	<b>Whether the applicability of Tariff is till the end of the financial year (Yes/No)?</b>	Yes
1	Annual Revenue Requirement (in Rs. Crore)	33249.70
2	Saleable Energy (in MUs)	50152
3	Average Cost of Supply (Rs./kWh)	6.63
4	Average Tariff (Rs./kWh)*	6.63
5	Revenue gap between ARR and ACS per unit of only the year in consideration.	NIL
6	Whether Regulatory Assets have been created?	No
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy.	Not Applicable
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	Not Applicable
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	Not Applicable



Sr. No.	Particulars	2019-20
<b>III. Fuel &amp; Power Purchase Cost Adjustment</b>		
1	Whether Fuel Surcharge Adjustment formula/ mechanism provided in regulation (Yes/No)?	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Yes



## RAJASTHAN ELECTRICITY REGULATORY COMMISSION

'Vidyut Viniyamak Bhawan', Near State Motor Garage, Sahakar Marg, Jaipur

Tel.: 0141-2741299, 2740067 Fax: 0141-2741018 E-mail: [mercjpr@yahoo.co.in](mailto:mercjpr@yahoo.co.in)

Website: [www.erc.rajasthan.gov.in](http://www.erc.rajasthan.gov.in)

RERC/Secy. /Dir (Tech.-I)/F / D

731

Dt. 31-08-2020

The Assistant Secretary,

Forum of Regulators,

Secretariat: C/o. ,

Central Electricity Regulatory Commission (CERC),

3rd & 4th Floors, Chandralok Building,

36-Janpath, New Delhi-110001

**Sub:-** Compliance of the directions of Hon'ble Appellate Tribunal for Electricity issued vide order dated 3<sup>rd</sup> November, 2014 and 23<sup>rd</sup> September, 2019 in OP No. 1 of 2011-reg.

**Ref:-** Letter No. 15/9(1)/2011/ APTEL-TA/FOR/CERC(Vol-VI) dated 18<sup>th</sup> August, 2020 from Assistant Secretary-FOR

Sir,

With reference to the above, kindly find enclosed herewith the requisite information in the format prescribed by the FOR.

Encl:- As above

Yours faithfully,

(B.K. Dosi)

Secretary



# **RAJASTHAN ELECTRICITY REGULATORY COMMISSION (RERC)**

**Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011**

S. No.	Particulars		2019-20
<b>I. Timeliness of Tariff Determination Process</b>			
<b>A. Tariff Filing</b>			
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines) ?		
	i. APR	All Discoms	Yes, latest by 30th November of each year for petition for Trueing up of ARR of previous year
	ii. True Up		
	iii. ARR	All Discoms	Yes, latest by 30th November of each year for petition for approval of ARR and determination of Tariff for ensuing year
	iv. Tariff Order		
2	IF yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirement of regulation (please also provide the date of filing)		
	i. APR	JVVNL	Yet to be filed as due date of filing is 30.11.2020
	ii. True up	AVVNL	Yet to be filed as due date of filing is 30.11.2020
		JdVVNL	Yet to be filed as due date of filing is 30.11.2020
	iii. ARR	JVVNL	No, 06.08.2019
		AVVNL	No, 06.08.2019
	iv. Tariff Order	JdVVNL	No, 06.08.2019
3	IF delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff ? If not, please provide the reasons thereof		
	i. APR	All Discoms	Generally, Discoms seek permission for extension of time for filing of petition. As such Commission need not take suo-motu action
	ii. True Up		
	iii. ARR		
	iv. Tariff Order		
<b>B. Tariff Order</b>			
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)		
	i. APR	All Discoms	Petition is yet to be filed as due date of filing is 30.11.2020
	ii. True up		
	iii. ARR		
	iv. Tariff Order		
			06.02.2020
			06.02.2020
5	whether the applicability of Tariff is till the end of the financial year (Yes/No)?		Applicability of Tariff is till the issue of next Tariff Order
1	Annual Revenue Requirement (in Rs. Cr.)	JVVNL	19837
		AVVNL	14280
		JdVVNL	16543
		RAJASTHAN	50660

# **RAJASTHAN ELECTRICITY REGULATORY COMMISSION (RERC)**

**Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011**

S. No.	Particulars		2019-20
2	Saleable Energy (in Mus)	JVVNL	25044
		AVVNL	17666
		JdVVNL	20330
		RAJASTHAN	63040
3	Average Cost of Supplies (Rs. /KWh)	JVVNL	7.92
		AVVNL	8.08
		JdVVNL	8.14
		RAJASTHAN	8.04
4	Average Tariff (Rs./KWh) (after considering full year revenue including subvention against ED and Compounding Charge)	JVVNL	8.16
		AVVNL	8.11
		JdVVNL	7.39
		RAJASTHAN	7.63
5	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs./KWh)	JVVNL	-0.24
		AVVNL	-0.03
		JdVVNL	0.74
		RAJASTHAN	0.41
6	Whether Regulatory Assets have been created ?		Yes, Commission has recognised unfunded gap in ARR of Discoms.
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?		Recovery of such unfunded gap is contemplated through surplus in ARR in future years
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?		Yes, in tariff Regulation following provision is as follows : "Regulatory Asset shall be created only under exceptional circumstances: Provided that as and when created, the Regulatory Asset shall be amortised in such a manner that it is co-terminus with the MYT Control Period as far as possible and carrying cost shall be allowed to be added to the revenue requirement of each year till such time the Regulatory Asset is fully amortised"
9	Whether carrying cost of Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?		Carrying cost of unfunded revenue gap is allowed to the utilities in the ARR of next year.
<b>III. Fuel and Power Purchase Cost Adjustment</b>			
1	Whether Fuel Surcharge Adjustment formula/machanism provided in regulation (Yes/No)?		Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/bi-monthly/quarterly)		quarterly



**RAJASTHAN ELECTRICITY REGULATORY COMMISSION (RERC)**

**Format for compilation of data regarding the directions given by APTEL through its judgement dated  
11.11.2011**

<b>S. No.</b>	<b>Particulars</b>		<b>2019-20</b>
3	Fuel Surcharge Adjustment being done as per the regulations ? If not, please provide the reasons thereof.		Yes

Sikkim State Electricity Regulatory Commission		
Format for compilation of data regarding directives given by APTEL through its judgment dated 11.11.2011		
Sl. No.	Particulars	2019-20
<b>I. Timelines for Tariff Determination Process</b>		
<b>A. Tariff Filing</b>		
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)	
	i. APR	Yes , 30 <sup>th</sup> November of each year
	ii. True Up	Yes , 30 <sup>th</sup> November of each year
	iii. ARR	Yes , 30 <sup>th</sup> November of each year
	iv. Tariff Order	120 days from date of filing of petition
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?	
	i. APR	Yes. Date of Petition Filing: 29.11.2018
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	29.05.2019
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof	
	i. APR	Not Applicable. There has been no delay.
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	29.05.2019. The delay in issuing Order is due to the Parliamentary and State Assembly Elections in the State and Model Code of Conduct.
<b>B.Tariff Order</b>		

4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?	
	i. APR	Date of Tariff Petition: 29.11.2018 Date of tariff Order: 29.5.2019
	ii. True Up	
	iii. ARR	
	iv. Tariff Order	
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	Yes
	Particulars	
1	Annual Revenue Requirement (in Rs. Cr.)	445.84 (Net ARR)
2	Saleable Energy (in MUs)*	405.08
3	Average Cost of Supply (Rs./kWh)	6.50
4	Average Tariff (Rs./kWh)*	5.55
5	Revenue gap between ARR and ACS per unit	0.95
6	Whether Regulatory Assets have been created?	No
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NA
<b>Fuel &amp; Power Purchase Cost Adjustment</b>		
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation	Yes

	(Yes/No)?	
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quaterly)?	Provision for Monthly adjustment provided in the regulations
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	The need for adjustment has not arisen so far as there are no thermal power stations owned by the DisCom. Thermal Power is procured by the DisCom from NTPC, as such Fuel Surcharge regulations of the CERC applicable.

\*: Average Tariff and Saleable energy for the State and also **separately for category-wise in Annexure**





## TAMIL NADU ELECTRICITY REGULATORY COMMISSION

19-A, Rukmini Lakshmipathy Salai (Marshalls Road), Egmore, Chennai - 600 008.  
Phone : ++91-044-2841 1376 / 28411378 / 2841 1379 Fax : ++044 2841 1377  
E-mail : tnerc@nic.in Website : www.tnerc.gov.in

The Asst. Secretary (FOR),  
Central Electricity Regulatory Commission,  
3<sup>rd</sup> & 4<sup>th</sup> Floor, Chanderlok Building,  
36, Janpath, New Delhi 110 001.

**Letter No. TNERC/D(T)/DD(T2)/AD(F&EA)/F. Compliance of APTEL  
Order No.1 of 2011/D.No. 629 /2020 dated 10-08-2020**

Sir,

Sub: Compliance of the directions of APTEL – Order passed in  
O.P.No.1 of 2011.

Ref: FOR Lr.No.15/9(1)/2011/APTEL-TA/FOR/CERC(Vol-VI) email  
dated 05-08-2020.

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With reference to the above, I am directed to forward herewith the reports for the Financial Year 2019-20, as per the directions specified in para 65 and 66 of the judgment dated 11<sup>th</sup> November 2011 in the format approved by the Forum of Regulators.

  
Secretary/TNERC (a/c)

Encl: Format

## TAMIL NADU ELECTRICITY REGULATORY COMMISSION

**Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011**

Format for compilation of data regarding the directions given by the

Sl. no.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20	2020-21
I. Timelines of Tariff Determination Process							
A. Tariff Filing							
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?						
	i. APR	Yes. 30th November of every year					
	ii. True up	To be filed at the end of every year					
	iii. ARR	Yes. 30th November of every year					
	iv. Tariff Order	Yes. 30th November of every year					
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?						
	i. APR	No	No	Yes (27.1.17)	Details submitted (31.3.2020)	NA	NA
	ii. True up	27.1.2017	Details submitted (31.3.2020)	Details submitted (31.3.2020)	No	NA	NA
	iii. ARR	No	27.1.2017	27.1.2017	27.1.2017	Details submitted (31.3.2020)	
	iv. Tariff Order	No	No	27.1.2017	NA (T.O. dt.11.8.17 continued)	Details submitted (31.3.2020)	Details submitted (31.3.2020)



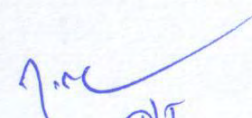
Sl. no.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20	2020-21	
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof							
	i. APR	TANGEDCO filed Petition vide MP.no.6 of 2015 praying time extension for filing ARR for the year 2015-16 till 31.1.2015 and subsequently amended the prayer in the main petition seeking extension of time till 31st March 2015 and sought extension till Sep'2015. As such no suo motu order was passed by the Commission.	No	Yes	Details submitted	NA	NA	
	ii. True up		No	Details submitted	No	NA	NA	
	iii. ARR		Petition submitted on 27.1.2017. MYT Order issued on 11.8.2017.				Details submitted	Details submitted
	iv. Tariff Order						Details furnished by the TANGEDCO. TNERC could not initiate the Suo-motu proceedings due to out break of COVID-19 situation.	
B. Tariff Order								
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?							
	i. APR	NO	No	Yes	Details submitted (Under scrutiny)	NA	NA	
	ii. True up	Approved in T.O. dt.11.8.2017	Details submitted (Under scrutiny)	Details submitted (Under scrutiny)	No	NA	NA	
	iii. ARR	No	Filed on 27.1.17. it was approved on 11.8.17	Filed on 27.1.2017	Filed on 27.1.2017	Details furnished by the TANGEDCO. TNERC could not initiate the Suo-motu proceedings due to out break of COVID-19 situation.		
	iv. Tariff Order	No	Filed on 27.1.17. it was approved on 11.8.17	Tariff order issued on 11.08.2017				
5	Whether the applicability of Tariff is till the end of the financial year (Yes / No) ?	Yes.	Yes	Yes. T.O.11.8.2017 ordered for 2017-18. And will be effective till issue of next Order (para 1.8).		NA	NA	



Sl. no.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20	2020-21
1	Annual Revenue Requirement (in Rs. Cr.)	42298.72	48376.02	53082.94	62067.91	63059.66	62371.58
2	Saleable Energy (in MUs)	67863	68055	69214	76126.66	77502.03	79058.04
3	Average Cost of Supply (Rs./kWh)	6.23	7.11	7.67	8.15	8.14	7.89
4	Average Tariff (Rs./kWh)*	5.90	6.25	5.75	5.65	5.66	5.69
5	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs./KWh)	-0.34	-0.85	-1.92	-2.51	-2.48	-2.19
6	Whether Regulatory Assets have been created ?	Yes (Rs.30,884 Cr.)	Yes (Rs.10,432.7 Cr.)	Under scrutiny	Under scrutiny	Under scrutiny	Under scrutiny
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	Yes	Yes	Yes	NA	NA	NA
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	Not Applicable	Yes	Yes	NA	NA	NA
9	Whether carrying cost of the Regulaotry Asset allowed to the utilities in the ARR of the year in which the Regualtory Assets are created.	Yes	Yes	NA	NA	NA	NA



III. Fuel & Power purchase cost adjustment							
1	Whether Fuel surcharge Adjustment formula / mechanism provided in Regulation (Yes/No)?	Yes (Formula provided in T.O.dt.20.6.13)	Yes	Yes	Yes	Yes	Yes
2	Frequency of adjustment of Fuel Surcharge as per the Regulations (Monthly / bi-monthly / quarterly) ?	Monthly	Monthly	Monthly	Monthly	Monthly	Monthly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	TANGEDCO filed the petition earlier for Fuel surcharge adjustment, but withdrawn subsequently.			No	No details filed by the Licensee.	

  
 dt  
 for Reg.

**Telangana State Electricity Regulatory Commission (TSERC)**

**Annexure-V**

**Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011**

S.No.	Particulars	2015-16	2016-17	2017-18	2018-19				
I. Timeliness of Tariff Determination Process									
A. Tariff Filing									
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?								
	i. APR								
	ii. True Up	End of Control Period							
	iii. ARR	120 days before commencement of Control Period , 90 days for 1 <sup>st</sup> Control Period							
	iv. Tariff Order	Within 120 days of receipt of application (within 90 days for 1 <sup>st</sup> Control Period)							
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?								
	i. APR	07.02.2015	08.03.2016	13.04.2017	15.12.2017				
	ii. True Up								
	iii. ARR								
	iv. Tariff Order								
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof								
	i. APR	No. Since the delay is neither willful nor wanton , delay was condoned by the Commission							
	ii. True Up								
	iii. ARR								
	iv. Tariff Order	No							
B. Tariff Order									
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?								
	i. APR	Petition : 07.02.2015	Petition : 08.03.2016	Petition : 13.04.2017	Petition : 15.12.2017				
	ii. True Up	Order : 27.03.2015	Order : 23.06.2016	Order : 26.08.2017	Order : 27.03.2018				
	iii. ARR								
	iv. Tariff Order								
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	Yes							
		TSSPDCL	TSNPDCL	TSSPDCL	TSNPDCL	TSSPDCL	TSNPDCL	TSSPDCL	TSNPDCL
1	Annual Revenue Requirement (in Rs. Cr.)	16309.67	7170.48	19144.23	7653.84	18863.32	8433.16	20161.75	9859.82
2	Saleable Energy (in MUs)	30019.45	11582.61	32759.00	12358.00	31757.65	13367.40	34100.59	15620.20

[illegible]

Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow						
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011						
1. For State owned Distribution Utility – DVVNL, Agra						
Note: ARR for FY 2019-20, APR for 2018-19 and True-Up for FY 2017-18						
Sl. No.	Particulars	2015-16 (Trued-Up) Tariff Order dated January 22, 2019	2016-17 (Trued-Up) Tariff Order dated January 22, 2019	2017-18 (Trued Up) Tariff Order dated September 03, 2019	2018-19 (ARR) Tariff Order dated January 22, 2019	2019-20 (ARR) Tariff Order dated September 03, 2019
I. Timeliness of Tariff Determination Process						
A. Tariff Filing						
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?					
	i. APR	No*	No*	Yes (November 1 of each year)	Yes (November 1 of each year )	Yes (November 1 of each year )
	ii. True Up	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 1 of each year)	Yes (November 1 of each year)	Yes (November 1 of each year )
	iii. ARR	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 1 of each year)	Yes (November 1 of each year)	Yes (November 1 of each year )
	iv. Tariff Order	Yes (120 days from the date of Admittance Order)	Yes (120 days from the date of Admittance Order)	Yes (120 days from the date of Admittance Order)	Yes (120 days from the date of Admittance Order)	Yes (120 days from the date of Admittance Order)
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?					
	i. APR	No*	No*	Yes (19 November, 2018)	Yes (March 11, 2019)	Yes (June 30, 2020)
	ii. True Up	Yes (19 November, 2018)	Yes (19 November, 2018)	Yes (March 11, 2019)	Yes (June 30, 2020)	No
	iii. ARR	Yes (8 December, 2014)	Yes (7 December, 2015)	Yes (21 June, 2017)	Yes, (19 November, 2018)	Yes (March 11, 2019)
	iv. Tariff Order (Issued by the Commission)	i. Tariff Order Issued on June 18, 2015. ii. True-Up Order Issued on January 22, 2019.	i. Tariff Order Issued on August 01, 2016. ii. True-Up Order Issued on January 22, 2019.	i. Multi Year Tariff Order Issued on November 30, 2017. ii. APR Order Issued on January 22, 2019. iii. Trued-Up Order issued on Sep. 03, 2019	i. Multi Year Tariff Order Issued on November 30, 2017. ii. ARR Order Issued on January 22, 2019. iii. APR Order issued on Sep. 03, 2019	i. Multi Year Tariff Order Issued on November 30, 2017. ii. ARR Order Issued on September 03, 2019.



Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow						
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011						
1. For State owned Distribution Utility – DVVNL, Agra						
Note: ARR for FY 2019-20, APR for 2018-19 and True-Up for FY 2017-18						
Sl. No.	Particulars	2015-16 (Trued-Up) Tariff Order dated January 22, 2019	2016-17 (Trued-Up) Tariff Order dated January 22, 2019	2017-18 (Trued Up) Tariff Order dated September 03, 2019	2018-19 (ARR) Tariff Order dated January 22, 2019	2019-20 (ARR) Tariff Order dated September 03, 2019
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof					
	i. APR	No	No	Yes, the proceedings for FY 2017-18 (APR) for the determination of ARR for the State owned Distribution Companies and Transmission Licensee were initiated Suo-Motu as there was delay in the filings. (APR of FY 2017-18 done in FY 2018-19 vide T.O. dt. January 22, 2019)	No	Yes, the proceedings for FY 2019-20 (APR) for the determination of ARR for the State owned Distribution Companies were initiated Suo-Motu as there was delay in the filings. (APR of FY 2019-20 is under process in FY 2020-21)
	ii. True Up	Yes, the proceedings for FY 2015-16 (True-Up) for the determination of ARR for the State owned Distribution Companies and the Transmission Company were initiated Suo-Motu as there was delay in the filings.	Yes, the proceedings for FY 2016-17 (True-Up) for the determination of ARR for the State owned Distribution Companies and the Transmission Company were initiated Suo-Motu as there was delay in the filings.	No	Yes, the proceedings for FY 2018-19 (True-Up) for the determination of ARR for the State owned Distribution Companies were initiated Suo-Motu as there was delay in the filings.	NA
	iii. ARR	No	No	No	Yes, the proceedings for FY 2018-19 for the determination of ARR & Tariff for the State owned Distribution Companies and the Transmission Company were initiated Suo-Motu as there was delay in the filings.	No
	iv. Tariff Order					
B. Tariff Order						
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?					
	i. APR	Answer same as in row Nos. 1 & 2	Answer same as in row Nos. 1 & 2	Answer same as in row Nos. 1 & 2	Answer same as in row Nos. 1 & 2	Answer same as in row Nos. 1 & 2
	ii. True Up					
	iii. ARR					
iv. Tariff Order						
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	TO issued on 18th June, 2015, tariffs will continue to be in force till issuance of next Tariff Order.	TO issued on 1st August, 2016, tariffs will continue to be in force till issuance of next Tariff Order.	TO issued on November 30, 2017, tariffs will continue to be in force till issuance of next Tariff Order.	TO issued on January 22, 2019, tariffs will continue to be in force till issuance of next Tariff Order.	TO issued on September 03, 2019, tariffs will continue to be in force till issuance of next Tariff Order.

Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow						
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011						
1. For State owned Distribution Utility – DVVNL, Agra						
Note: ARR for FY 2019-20, APR for 2018-19 and True-Up for FY 2017-18						
Sl. No.	Particulars	2015-16 (Trued-Up) Tariff Order dated January 22, 2019	2016-17 (Trued-Up) Tariff Order dated January 22, 2019	2017-18 (Trued Up) Tariff Order dated September 03, 2019	2018-19 (ARR) Tariff Order dated January 22, 2019	2019-20 (ARR) Tariff Order dated September 03, 2019
II. Adequacy of Tariff						
1	Annual Revenue Requirement (in Rs. Cr.)	10335.70	10,899.74	11,648.37	13,949.73	14,385.35
	GoUP Subsidy (in Rs. Cr.)	1,748.76	1,951.99	1,864.69	1,838.13	2,007.64
2	Saleable Energy (in MUs)	15,435.73	16,811.27	18,735.57	21,494.67	19,861.35
	Revenue (in Rs. Cr.)	7,567.86	7,757.72	8,602.15	12,217.93	11,098.21
3	Average Cost of Supply (Rs./kWh)	6.70	6.48	6.22	6.49	7.24
4	Average Tariff (Including Subsidy) (Rs./kWh)	6.04	5.78	5.59	6.54	6.60
5	Revenue gap between ARR and ACS per unit of only the year in consideration Revenue Gap (in Rs./ kWh.)	0.66	0.71	0.63	(0.05)	0.64
6	Whether Regulatory Assets have been created?*	Yes	Yes	Yes	Yes	No
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?***	Yes	Yes	Yes	Yes	No
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	No (As the same would have caused tariff shock)	No (As the same would have caused tariff shock)	No (As the same would have caused tariff shock)	No (As the same would have caused tariff shock)	NA
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	Yes	Yes	Yes	Yes	No

Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow

Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011

1.	For State owned Distribution Utility – DVVNL, Agra
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Note: ARR for FY 2019-20, APR for 2018-19 and True-Up for FY 2017-18

Sl. No.	Particulars	2015-16 (Trued-Up) Tariff Order dated January 22, 2019	2016-17 (Trued-Up) Tariff Order dated January 22, 2019	2017-18 (Trued Up) Tariff Order dated September 03, 2019	2018-19 (ARR) Tariff Order dated January 22, 2019	2019-20 (ARR) Tariff Order dated September 03, 2019
III. Fuel & Power Purchase Cost Adjustment						
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes	Yes	Yes	Yes	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly	Quarterly	Quarterly	Quarterly	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	No, the Licensee was facing some difficulties in implementing the same. (Provision in Regulations, 2006). Appropriate Orders passed by the Commission.	Yes, as per the Regulations	Yes, as per the Regulations	No, the Licensee was facing some difficulties in implementing the same. (Provision in MYT Regulations, 2014). Appropriate Orders passed by the Commission.	Yes, as per the Regulations

**Important Note:**

\*FY 2015-16 & FY 2016-17 covered by UPERC Distribution Tariff Regulations, 2006 in which there was no provision for APR and FY 2017-18 to FY 2019-20 are covered by UPERC (Multi Year Distribution Tariff) Regulations, 2014, where there was provision for APR.

\*In FY 2018-19, DVVNL, PVVNL and KESCO are having surplus of Rs (-) 261.49 Crore, Rs (-) 1759.09 Crore and (-) 381.66 Crore respectively. MVVNL and PuVVNL have revenue gap of Rs. 266.65 Crore and Rs. 168.92 Crore respectively. However, as per Commission's computation of regulatory assets (including carrying cost) up to FY 2018-19 (not considering FY 2017-18) comes out to be Rs. 40,541.06 Crore (DVVNL is Rs. 12,762.93 Crore, PVVNL is (-) 1086.14 Crore, PuVVNL is Rs. 15,050.91 Crore and KESCO is Rs. 300.09 Crore). These are tentative figures and need to be vetted upon. As it can be seen that, PVVNL is already in surplus, the Licensee would be required to pay carrying cost on the above amount which would be deducted from future ARR or dealt with as per further orders of the Commission. For rest of the four Discoms there is already a positive regulatory asset, hence they will continue to recover regulatory surcharge as approved in its MYT Order dated November 30, 2017. Further, in Petition No. 1295 / 2018, filed by the Licensees the matter of review against the tariff order dated November 30, 2017, on amortization of Regulatory assets is being heard by the Commission whose outcome may alter the regulatory surcharge. The Licensees are directed to depict the Regulatory Surcharge separately and distinctly in the electricity bills of the consumers. The Commission directs the Licensees to maintain separate accounting fields for the regulatory surcharge and capture the amounts billed and collected as Regulatory Surcharge in both of its financial and commercial statements. This would enable the Licensees to correctly report the amounts collected towards Regulatory Surcharge.

\*\*\*The Regulatory Asset has been created and treated as per the prevalent Regulations. Further, in Tariff Order of FY 2019-20 dated September 03, 2019 the Commission has issued the Order by considering the impact of UDAY on Balance Sheets & Regulatory Accounts of the Discoms, which gives the Net Regulatory Assets Surplus of Rs. 13,337.17 Crore till FY 2017-18 (Trued-Up years). In the view of the same the Regulatory Surcharge to recover the Regulatory Asset is being abolished with effect from the implementation of the Tariffs for FY 2019-20 i.e. September 12, 2019. The Commission will use this surplus, of Rs. 13,337.17 Crore as existing at the end of FY 2017-18, to be adjusted in future for the purposes of meeting the gaps of FY 2017-18 & onwards and also if required, for meeting uncertainties. The Commission approves a surplus for FY 2019-20 in its Tariff Order for FY 2019-20 dated September 03, 2019.

Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow						
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011						
2. For State owned Distribution Utility – MVVNL, Lucknow						
Note: ARR for FY 2019-20, APR for 2018-19 and True-Up for FY 2017-18						
Sl. No.	Particulars	2015-16 (Trued-Up) Tariff Order dated January 22, 2019	2016-17 (Trued-Up) Tariff Order dated January 22, 2019	2017-18 (Trued Up) Tariff Order dated September 03, 2019	2018-19 (ARR) Tariff Order dated January 22, 2019	2019-20 (ARR) Tariff Order dated September 03, 2019
I. Timeliness of Tariff Determination Process						
A. Tariff Filing						
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?					
	i. APR	No*	No*	Yes (November 1 of each year)	Yes (November 1 of each year)	Yes (November 1 of each year )
	ii. True Up	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 1 of each year)	Yes (November 1 of each year)	Yes (November 1 of each year )
	iii. ARR	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 1 of each year)	Yes (November 1 of each year)	Yes (November 1 of each year )
	iv. Tariff Order	Yes (120 days from the date of Admittance Order)	Yes (120 days from the date of Admittance Order)	Yes (120 days from the date of Admittance Order)	Yes (120 days from the date of Admittance Order)	Yes (120 days from the date of Admittance Order)
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?					
	i. APR	No*	No*	Yes (19 November, 2018)	Yes (March 11, 2019)	Yes (June 30, 2020)
	ii. True Up	Yes (19 November, 2018)	Yes (19 November, 2018)	Yes (March 11, 2019)	Yes (June 30, 2020)	No
	iii. ARR	Yes (8 December, 2014)	Yes (7 December, 2015)	Yes (21 June, 2017)	Yes (19 November, 2018)	Yes (March 11, 2019)
	iv. Tariff Order (Issued by the Commission)	i. Tariff Order Issued on June 18, 2015. ii. True-Up Order Issued on January 22, 2019.	i. Tariff Order Issued on August 01, 2016. ii. True-Up Order Issued on January 22, 2019.	i. Multi Year Tariff Order Issued on November 30, 2017. ii. APR Order Issued on January 22, 2019. iii. Trued-Up Order issued on Sep. 03, 2019.	i. Multi Year Tariff Order Issued on November 30, 2017. ii. ARR Order Issued on January 22, 2019. iii. APR Order issued on Sep. 03, 2019.	i. Multi Year Tariff Order Issued on November 30, 2017. ii. ARR Order Issued on September 03, 2019.

**Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow**

**Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011**

**2. For State owned Distribution Utility – MVVNL, Lucknow**

Note: ARR for FY 2019-20, APR for 2018-19 and True-Up for FY 2017-18

Sl. No.	Particulars	2015-16 (Trued-Up) Tariff Order dated January 22, 2019	2016-17 (Trued-Up) Tariff Order dated January 22, 2019	2017-18 (Trued Up) Tariff Order dated September 03, 2019	2018-19 (ARR) Tariff Order dated January 22, 2019	2019-20 (ARR) Tariff Order dated September 03, 2019
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof					
	i. APR	No	No	Yes, the proceedings for FY 2017-18 (APR) for the determination of ARR for the State owned Distribution Companies were initiated Suo-Motu as there was delay in the filings. (APR of FY 2017-18 done in FY 2018-19 vide T.O. dt. January 22, 2019)	No	Yes, the proceedings for FY 2019-20 (APR) for the determination of ARR for the State owned Distribution Companies were initiated Suo-Motu as there was delay in the filings. (APR of FY 2019-20 is under process in FY 2020-21)
	ii. True Up	Yes, the proceedings for FY 2015-16 (True-Up) for the determination of ARR for the State owned Distribution Companies and the Transmission Company were initiated Suo-Motu as there was delay in the filings.	Yes, the proceedings for FY 2016-17 (True-Up) for the determination of ARR for the State owned Distribution Companies and the Transmission Company were initiated Suo-Motu as there was delay in the filings.	No	Yes, the proceedings for FY 2018-19 (True-Up) for the determination of ARR for the State owned Distribution Companies were initiated Suo-Motu as there was delay in the filings.	NA
	iii. ARR	No	No	No	Yes, the proceedings for FY 2018-19 for the determination of ARR & Tariff for the State owned Distribution Companies and the Transmission Company were initiated Suo-Motu as there was delay in the filings.	No
	iv. Tariff Order					

Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow						
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011						
2. For State owned Distribution Utility – MVVNL, Lucknow						
Note: ARR for FY 2019-20, APR for 2018-19 and True-Up for FY 2017-18						
Sl. No.	Particulars	2015-16 (Trued-Up) Tariff Order dated January 22, 2019	2016-17 (Trued-Up) Tariff Order dated January 22, 2019	2017-18 (Trued Up) Tariff Order dated September 03, 2019	2018-19 (ARR) Tariff Order dated January 22, 2019	2019-20 (ARR) Tariff Order dated September 03, 2019
B. Tariff Order						
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?					
	i. APR	Answer same as in row Nos. 1 & 2	Answer same as in row Nos. 1 & 2	Answer same as in row Nos. 1 & 2	Answer same as in row Nos. 1 & 2	Answer same as in row Nos. 1 & 2
	ii. True Up					
	iii. ARR					
	iv. Tariff Order					
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	TO issued on 18th June, 2015, tariffs will continue to be in force till issuance of next Tariff Order.	TO issued on 1st August, 2016, tariffs will continue to be in force till issuance of next Tariff Order.	TO issued on November 30, 2017, tariffs will continue to be in force till issuance of next Tariff Order.	TO issued on January 22, 2019, tariffs will continue to be in force till issuance of next Tariff Order.	TO issued on September 03, 2019, tariffs will continue to be in force till issuance of next Tariff Order.
II. Adequacy of Tariff						
1	Annual Revenue Requirement (in Rs. Cr.)	7,519.87	9,275.99	10,005.70	15,126.01	14,589.50
	GoUP Subsidy (in Rs. Cr.)	1,011.91	670.75	1342.50	1350.73	2,414.14
2	Saleable Energy (in MUs)	12,722.47	14,759.01	17,007.33	21,038.64	18,893.24
	Revenue (in Rs. Cr.)*	6,636.92	7,977.77	8,997.21	12,970.32	12,685.82
3	Average Cost of Supply (Rs./kWh)	5.91	6.28	5.88	7.19	7.72
4	Average Tariff(Including Subsidy) (Rs./kWh)	6.01	5.86	6.08	6.81	7.99
5	Revenue gap between ARR and ACS per unit of only the year in consideration Revenue Gap (in Rs./ kWh.)	(0.10)	0.43	(0.20)	0.38	(0.27)
6	Whether Regulatory Assets have been created?***	Yes	Yes	Yes	Yes	No
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?***	Yes	Yes	Yes	Yes	No
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	No ( As the same would have caused tariff shock)	No ( As the same would have caused tariff shock)	No ( As the same would have caused tariff shock)	No ( As the same would have caused tariff shock)	NA
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	Yes	Yes	Yes	Yes	No



Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow						
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011						
3. For State owned Distribution Utility – PVVNL, Meerut						
Note: ARR for FY 2019-20, APR for FY 2018-19 and True-Up for FY 2017-18						
Sl. No.	Particulars	2015-16 (Trued-Up) Tariff Order dated January 22, 2019	2016-17 (Trued-Up) Tariff Order dated January 22, 2019	2017-18 (Trued Up) Tariff Order dated September 03, 2019	2018-19 (ARR) Tariff Order dated January 22, 2019	2019-20 (ARR) Tariff Order dated September 03, 2019
I. Timeliness of Tariff Determination Process						
A. Tariff Filing						
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?					
	i. APR	No*	No*	Yes (November 1 of each year)	Yes (November 1 of each year)	Yes (November 1 of each year )
	ii. True Up	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 1 of each year)	Yes (November 1 of each year)	Yes (November 1 of each year )
	iii. ARR	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 1 of each year)	Yes (November 1 of each year)	Yes (November 1 of each year )
	iv. Tariff Order	Yes (120 days from the date of Admittance Order)	Yes (120 days from the date of Admittance Order)	Yes (120 days from the date of Admittance Order)	Yes (120 days from the date of Admittance Order)	Yes (120 days from the date of Admittance Order)
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?					
	i. APR	No*	No*	Yes (19 November, 2018)	Yes (March 11, 2019)	Yes (July 01, 2020)
	ii. True Up	Yes (19 November, 2018)	Yes (19 November, 2018)	Yes (March 11, 2019)	Yes (July 01, 2020)	No
	iii. ARR	Yes (8 December, 2014)	Yes (7 December, 2015)	Yes (21 June, 2017)	Yes (19 November, 2018)	Yes (March 11, 2019)
	iv. Tariff Order (Issued by the Commission)	i. Tariff Order Issued on June 18, 2015. ii. True-Up Order Issued on January 22, 2019.	i. Tariff Order Issued on August 01, 2016. ii. True-Up Order Issued on January 22, 2019.	i. Multi Year Tariff Order Issued on November 30, 2017. ii. APR Order Issued on January 22, 2019. iii. Trued-Up Order issued on Sep. 03, 2019.	i. Multi Year Tariff Order Issued on November 30, 2017. ii. ARR Order Issued on January 22, 2019. iii. APR Order issued on Sep. 03, 2019.	i. Multi Year Tariff Order Issued on November 30, 2017. ii. ARR Order Issued on September 03, 2019.



Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow						
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011						
3. For State owned Distribution Utility – PVVNL, Meerut						
Note: ARR for FY 2019-20, APR for FY 2018-19 and True-Up for FY 2017-18						
Sl. No.	Particulars	2015-16 (Trued-Up) Tariff Order dated January 22, 2019	2016-17 (Trued-Up) Tariff Order dated January 22, 2019	2017-18 (Trued Up) Tariff Order dated September 03, 2019	2018-19 (ARR) Tariff Order dated January 22, 2019	2019-20 (ARR) Tariff Order dated September 03, 2019
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof					
	i. APR	No	No	Yes, the proceedings for FY 2017-18 (APR) for the determination of ARR for the State owned Distribution Companies were initiated Suo-Motu as there was delay in the filings. (APR of FY 2017-18 done in FY 2018-19 vide T.O. dt. January 22, 2019)	No	Yes, the proceedings for FY 2019-20 (APR) for the determination of ARR for the State owned Distribution Companies were initiated Suo-Motu as there was delay in the filings. (APR of FY 2019-20 is under process in FY 2020-21)
	ii. True Up	Yes, the proceedings for FY 2015-16 (True-Up) for the determination of ARR for the State owned Distribution Companies and the Transmission Company were initiated Suo-Motu as there was delay in the filings.	Yes, the proceedings for FY 2016-17 (True-Up) for the determination of ARR for the State owned Distribution Companies and the Transmission Company were initiated Suo-Motu as there was delay in the filings.	No	Yes, the proceedings for FY 2018-19 (True-Up) for the determination of ARR for the State owned Distribution Companies were initiated Suo-Motu as there was delay in the filings.	NA
	iii. ARR	No	No	No	Yes, the proceedings for FY 2018-19 for the determination of ARR & Tariff for the State owned Distribution Companies and the Transmission Company were initiated Suo-Motu as there was delay in the filings.	No
	iv. Tariff Order					

Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow						
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011						
3. For State owned Distribution Utility – PVVNL, Meerut						
Note: ARR for FY 2019-20, APR for FY 2018-19 and True-Up for FY 2017-18						
Sl. No.	Particulars	2015-16 (Trued-Up) Tariff Order dated January 22, 2019	2016-17 (Trued-Up) Tariff Order dated January 22, 2019	2017-18 (Trued Up) Tariff Order dated September 03, 2019	2018-19 (ARR) Tariff Order dated January 22, 2019	2019-20 (ARR) Tariff Order dated September 03, 2019
B. Tariff Order						
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?					
	i. APR	Answer same as in row Nos. 1 & 2	Answer same as in row Nos. 1 & 2	Answer same as in row Nos. 1 & 2	Answer same as in row Nos. 1 & 2	Answer same as in row Nos. 1 & 2
	ii. True Up					
	iii. ARR					
	iv. Tariff Order					
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	TO issued on 18th June, 2015, tariffs will continue to be in force till issuance of next Tariff Order.	TO issued on 1st August, 2016, tariffs will continue to be in force till issuance of next Tariff Order.	TO issued on November 30, 2017, tariffs will continue to be in force till issuance of next Tariff Order.	TO issued on January 22, 2019, tariffs will continue to be in force till issuance of next Tariff Order.	TO issued on September 03, 2019, tariffs will continue to be in force till issuance of next Tariff Order.
II. Adequacy of Tariff						
1	Annual Revenue Requirement (in Rs. Cr.)	13,504.68	15,636.70	16,903.64	21,371.16	20,849.61
	GoUP Subsidy (in Rs. Cr.)	775.17	1,414.19	911.84	3,043.84	1,723.81
2	Saleable Energy (in MUs)	21,905.13	25,334.79	28,437.30	33,508.59	29,825.58
	Revenue (in Rs. Cr.)*	12,019.12	13,177.38	15,040.57	18,822.97	19,992.10
3	Average Cost of Supply (Rs./kWh)	6.17	6.17	5.94	6.38	6.99
4	Average Tariff (Including Subsidy) (Rs./kWh)	5.84	5.76	5.61	6.53	7.28
5	Revenue gap between ARR and ACS per unit of only the year in consideration Revenue Gap (in Rs./ kWh.)	0.32	0.41	0.33	(0.15)	(0.29)
6	Whether Regulatory Assets have been created?*	Yes	Yes	Yes	Yes	No
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?***	Yes	Yes	Yes	Yes	No
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	No ( As the same would have caused tariff shock)	No ( As the same would have caused tariff shock)	No ( As the same would have caused tariff shock)	No ( As the same would have caused tariff shock)	NA
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	Yes	Yes	Yes	Yes	No

Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow						
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011						
3. For State owned Distribution Utility – PUVNL, Meerut						
Note: ARR for FY 2019-20, APR for FY 2018-19 and True-Up for FY 2017-18						
Sl. No.	Particulars	2015-16 (Trued-Up) Tariff Order dated January 22, 2019	2016-17 (Trued-Up) Tariff Order dated January 22, 2019	2017-18 (Trued Up) Tariff Order dated September 03, 2019	2018-19 (ARR) Tariff Order dated January 22, 2019	2019-20 (ARR) Tariff Order dated September 03, 2019
III. Fuel & Power Purchase Cost Adjustment						
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes	Yes	Yes	Yes	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly	Quarterly	Quarterly	Quarterly	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	No, the Licensee was facing some difficulties in implementing the same. (Provision in Regulations, 2006). Appropriate Orders passed by the Commission.	Yes, as per the Regulations	Yes, as per the Regulations	No, the Licensee was facing some difficulties in implementing the same. (Provision in MYT Regulations, 2014). Appropriate Orders passed by the Commission.	Yes, as per the Regulations

**Important Note:**

\*FY 2015-16 & FY 2016-17 covered by UPERC DistributionTariff Regulations, 2006 in which there was no provision for APR and FY 2017-18 & FY 2018-19 covered by UPERC Multi Year Distribution Tariff Regulations, 2014, where there was no provision for APR.

\*\*In FY 2018-19, DVVNL, PUVNL and KESCO are having surplus of Rs (-) 261.49 Crore, Rs (-) 1759.09 Crore and (-) 381.66 Crore respectively. MVVNL and PuVNL have revenue gap of Rs. 266.65 Crore and Rs. 168.92 Crore respectively. However, as per Commission's computation of regulatory assets (including carrying cost) up to FY 2018-19 (not considering FY 2017-18) comes out to be Rs. 40,541.06 Crore (DVVNL is Rs. 13,513.27 Crore, MVVNL is Rs. 12,762.93 Crore, PUVNL is (-) 1086.14 Crore, PuVNL is Rs. 15,050.91 Crore and KESCO is Rs. 300.09 Crore). These are tentative figures and need to be vetted upon. As it can be seen that, PUVNL is already in surplus, the Licensee would be required to pay carrying cost on the above amount which would be deducted from future ARR or dealt with as per further orders of the Commission. For rest of the four Discoms there is already a positive regulatory asset, hence they will continue to recover regulatory surcharge as approved in its MYT Order dated November 30, 2017. Further in Petition No. 1295 / 2018, filed by the Licensees the matter of review against the tariff order dated November 30, 2017, on amortization of Regulatory assets is being heard by the Commission whose outcome may alter the regulatory surcharge. The Licensees are directed to depict the Regulatory Surcharge separately and distinctly in the electricity bills of the consumers. The Commission directs the Licensees to maintain separate accounting fields for the regulatory surcharge and capture the amounts billed and collected as Regulatory Surcharge in both of its financial and commercial statements. This would enable the Licensees to correctly report the amounts collected towards Regulatory Surcharge.

\*\*\*The Regulatory Asset has been created and treated as per the prevalent Regulations. Further, in Tariff Order of FY 2019-20 dated September 03, 2019 the Commission has issued the Order by considering the impact of UDAY on Balance sheets & Regulatory Accounts of the Discoms, which gives the Net Regulatory Assets Surplus of Rs. 13,337.17 Crore till FY 2017-18 (Trued-Up years). In the view of the same the Regulatory Surcharge to recover the Regulatory Asset is being abolished with effect from the implementation of the Tariffs for FY 2019-20 i.e. September 12, 2019. The Commission will use this surplus, of Rs. 13,337.17 Crore as existing at the end of FY 2017-18, to be adjusted in future for the purposes of meeting the gaps of FY 2017-18 & onwards and also if required, for meeting uncertainties. The Commission approves a surplus for FY 2019-20 in its Tariff Order for FY 2019-20 dated September 03, 2019.

Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow						
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011						
4. For State owned Distribution Utility – PuVVNL, Varanasi						
Note: ARR for FY 2019-20, APR for 2018-19 and True-Up for FY 2017-18						
Sl. No.	Particulars	2015-16 (Trued-Up) Tariff Order dated January 22, 2019	2016-17 (Trued-Up) Tariff Order dated January 22, 2019	2017-18 (Trued Up) Tariff Order dated September 03, 2019	2018-19 (ARR) Tariff Order dated January 22, 2019	2019-20 (ARR) Tariff Order dated September 03, 2019
I. Timeliness of Tariff Determination Process						
A. Tariff Filing						
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?					
	i. APR	No*	No*	Yes (November 1 of each year)	Yes (November 1 of each year)	Yes (November 1 of each year )
	ii. True Up	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 1 of each year)	Yes (November 1 of each year)	Yes (November 1 of each year )
	iii. ARR	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 1 of each year)	Yes (November 1 of each year)	Yes (November 1 of each year )
	iv. Tariff Order	Yes (120 days from the date of Admittance Order)	Yes (120 days from the date of Admittance Order)	Yes (120 days from the date of Admittance Order)	Yes (120 days from the date of Admittance Order)	Yes (120 days from the date of Admittance Order)
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?					
	i. APR	No*	No*	Yes (19 November, 2018)	Yes (March 11, 2019)	Yes (June 30, 2020)
	ii. True Up	Yes (19 November, 2018)	Yes (19 November, 2018)	Yes (March 11, 2019)	Yes (June 30, 2020)	No
	iii. ARR	Yes (8 December, 2014)	Yes (7 December, 2015)	Yes (21 June, 2017)	Yes (19 November, 2018)	Yes (March 11, 2019)
	iv. Tariff Order (Issued by the Commission)	i. Tariff Order Issued on June 18, 2015. ii. True-Up Order Issued on January 22, 2019.	i. Tariff Order Issued on August 01, 2016. ii. True-Up Order Issued on January 22, 2019.	i. Multi Year Tariff Order Issued on November 30, 2017. ii. APR Order Issued on January 22, 2019. iii. Trued-Up Order issued on Sep. 03, 2019.	i. Multi Year Tariff Order Issued on November 30, 2017. ii. ARR Order Issued on January 22, 2019. iii. APR Order issued on Sep. 03, 2019.	i. Multi Year Tariff Order Issued on November 30, 2017. ii. ARR Order Issued on September 03, 2019.

Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow						
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011						
4. For State owned Distribution Utility – PuVVNL, Varanasi						
Note: ARR for FY 2019-20, APR for 2018-19 and True-Up for FY 2017-18						
Sl. No.	Particulars	2015-16 (Trued-Up) Tariff Order dated January 22, 2019	2016-17 (Trued-Up) Tariff Order dated January 22, 2019	2017-18 (Trued Up) Tariff Order dated September 03, 2019	2018-19 (ARR) Tariff Order dated January 22, 2019	2019-20 (ARR) Tariff Order dated September 03, 2019
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof					
	i. APR	No	No	Yes, the proceedings for FY 2017-18 (APR) for the determination of ARR for the State owned Distribution Companies were initiated Suo-Motu as there was delay in the filings. (APR of FY 2017-18 done in FY 2018-19 vide T.O. dt. January 22, 2019)	No	Yes, the proceedings for FY 2019-20 (APR) for the determination of ARR for the State owned Distribution Companies were initiated Suo-Motu as there was delay in the filings. (APR of FY 2019-20 is under process in FY 2020-21)
	ii. True Up	Yes, the proceedings for FY 2015-16 (True-Up) for the determination of ARR for the State owned Distribution Companies and the Transmission Company were initiated Suo-Motu as there was delay in the filings.	Yes, the proceedings for FY 2016-17 (True-Up) for the determination of ARR for the State owned Distribution Companies and the Transmission Company were initiated Suo-Motu as there was delay in the filings.	No	Yes, the proceedings for FY 2018-19 (True-Up) for the determination of ARR for the State owned Distribution Companies were initiated Suo-Motu as there was delay in the filings.	NA
	iii. ARR	No	No	No	Yes, the proceedings for FY 2018-19 for the determination of ARR & Tariff for the State owned Distribution Companies and the Transmission Company were initiated Suo-Motu as there was delay in the filings.	No
	iv. Tariff Order					

Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow						
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011						
4. For State owned Distribution Utility – PuVVNL, Varanasi						
Note: ARR for FY 2019-20, APR for 2018-19 and True-Up for FY 2017-18						
Sl. No.	Particulars	2015-16 (Trued-Up) Tariff Order dated January 22, 2019	2016-17 (Trued-Up) Tariff Order dated January 22, 2019	2017-18 (Trued Up) Tariff Order dated September 03, 2019	2018-19 (ARR) Tariff Order dated January 22, 2019	2019-20 (ARR) Tariff Order dated September 03, 2019
B. Tariff Order						
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?					
	i. APR	Answer same as in row Nos. 1 & 2	Answer same as in row Nos. 1 & 2	Answer same as in row Nos. 1 & 2	Answer same as in row Nos. 1 & 2	Answer same as in row Nos. 1 & 2
	ii. True Up					
	iii. ARR					
	iv. Tariff Order					
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	TO issued on 18th June, 2015, tariffs will continue to be in force till issuance of next Tariff Order.	TO issued on 1st August, 2016, tariffs will continue to be in force till issuance of next Tariff Order.	TO issued on November 30, 2017, tariffs will continue to be in force till issuance of next Tariff Order.	TO issued on January 22, 2019, tariffs will continue to be in force till issuance of next Tariff Order.	TO issued on September 03, 2019, tariffs will continue to be in force till issuance of next Tariff Order.
II. Adequacy of Tariff						
1	Annual Revenue Requirement (in Rs. Cr.)	10,176.96	12,284.48	13,367.30	17,499.38	17,337.11
	GoUP Subsidy (in Rs. Cr.)	1,904.16	1,944.94	1,680.79	2,667.29	2,958.41
2	Saleable Energy (in MUs)	15,887.86	18,291.43	20,758.60	24,942.39	22,647.91
	Revenue (in Rs. Cr.)*	7,755.12	9,334.32	10,141.16	13,355.14	14,201.20
3	Average Cost of Supply (Rs./kWh)	6.41	6.72	6.44	7.02	7.66
4	Average Tariff (Including Subsidy) (Rs./kWh)	6.08	6.17	5.69	6.42	7.58
5	Revenue gap between ARR and ACS per unit of only the year in consideration Revenue Gap (in Rs./ kWh.)	0.33	0.55	0.74	0.59	0.08
6	Whether Regulatory Assets have been created?**	Yes	Yes	Yes	Yes	No
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?***	Yes	Yes	Yes	Yes	No
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	No ( As the same would have caused tariff shock)	No ( As the same would have caused tariff shock)	No ( As the same would have caused tariff shock)	No ( As the same would have caused tariff shock)	NA
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	Yes	Yes	Yes	Yes	No

Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow

Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011

4.	For State owned Distribution Utility – PuVVNL, Varanasi
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Note: ARR for FY 2019-20, APR for 2018-19 and True-Up for FY 2017-18

Sl. No.	Particulars	2015-16 (Trued-Up) Tariff Order dated January 22, 2019	2016-17 (Trued-Up) Tariff Order dated January 22, 2019	2017-18 (Trued Up) Tariff Order dated September 03, 2019	2018-19 (ARR) Tariff Order dated January 22, 2019	2019-20 (ARR) Tariff Order dated September 03, 2019
<b>III. Fuel &amp; Power Purchase Cost Adjustment</b>						
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes	Yes	Yes	Yes	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly	Quarterly	Quarterly	Quarterly	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	No, the Licensee was facing some difficulties in implementing the same. (Provision in Regulations, 2006). Appropriate Orders passed by the Commission.	Yes, as per the Regulations	Yes, as per the Regulations	No, the Licensee was facing some difficulties in implementing the same. (Provision in MYT Regulations, 2014). Appropriate Orders passed by the Commission.	Yes, as per the Regulations

**Important Note:**

\*FY 2015-16 & FY 2016-17 covered by UPERC Distribution Tariff Regulations, 2006 in which there was no provision for APR and FY 2017-18 & FY 2018-19 covered by UPERC Multi Year Distribution Tariff Regulations, 2014, where there was no provision for APR.

\*In FY 2018-19, DVVNL, PUVNL and KESCO are having surplus of Rs (-) 261.49 Crore, Rs (-) 1759.09 Crore and (-) 381.66 Crore respectively. MVVNL and PuVVNL have revenue gap of Rs. 266.65 Crore and Rs. 168.92 Crore respectively. However, as per Commission's computation of regulatory assets (including carrying cost) up to FY 2018-19 (not considering FY 2017-18) comes out to be Rs. 40,541.06 Crore (DVVNL is Rs. 13,513.27 Crore, MVVNL is Rs. 12,762.93 Crore, PUVNL is (-) 1086.14 Crore, PuVVNL is Rs. 15,050.91 Crore and KESCO is Rs. 300.09 Crore). These are tentative figures and need to be vetted upon. As it can be seen that, PUVNL is already in surplus, the Licensee would be required to pay carrying cost on the above amount which would be deducted from future ARR or dealt with as per further orders of the Commission. For rest of the four Discoms there is already a positive regulatory asset, hence they will continue to recover regulatory surcharge as approved in its MYT Order dated November 30, 2017. Further, in Petition No. 1295 / 2018, filed by the Licensees the matter of review against the tariff order dated November 30, 2017, on amortization of Regulatory assets is being heard by the Commission whose outcome may alter the regulatory surcharge. The Licensees are directed to depict the Regulatory Surcharge separately and distinctly in the electricity bills of the consumers. The Commission directs the Licensees to maintain separate accounting fields for the regulatory surcharge and capture the amounts billed and collected as Regulatory Surcharge in both of its financial and commercial statements. This would enable the Licensees to correctly report the amounts collected towards Regulatory Surcharge.

\*\*\*The Regulatory Asset has been created and treated as per the prevalent Regulations. Further, in Tariff Order of FY 2019-20 dated September 03, 2019 the Commission has issued the Order by considering the impact of UDAY on Balance Sheets & Regulatory Accounts of the Discoms, which gives the Net Regulatory Assets Surplus of Rs. 13,337.17 Crore till FY 2017-18 (Trued-Up years). In the view of the same the Regulatory Surcharge to recover the Regulatory Asset is being abolished with effect from the implementation of the Tariffs for FY 2019-20 i.e. September 12, 2019. The Commission will use this surplus, of Rs. 13,337.17 Crore as existing at the end of FY 2017-18, to be adjusted in future for the purposes of meeting the gaps of FY 2017-18 & onwards and also if required, for meeting uncertainties. The Commission approves a surplus for FY 2019-20 in its Tariff Order for FY 2019-20 dated September 03, 2019.

Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow						
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011						
5. For State owned Distribution Utility – KESCO, Kanpur						
Note: ARR for FY 2019-20, APR for 2018-19 and True-Up for FY 2017-18						
Sl. No.	Particulars	2015-16 (Trued-Up) Tariff Order dated January 22, 2019	2016-17 (Trued-Up) Tariff Order dated January 22, 2019	2017-18 (Trued Up) Tariff Order dated September 03, 2019	2018-19 (ARR) Tariff Order dated January 22, 2019	2019-20 (ARR) Tariff Order dated September 03, 2019
I. Timeliness of Tariff Determination Process						
A. Tariff Filing						
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?					
	i. APR	No*	No*	Yes (November 1 of each year)	Yes (November 1 of each year)	Yes (November 1 of each year )
	ii. True Up	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 1 of each year)	Yes (November 1 of each year)	Yes (November 1 of each year )
	iii. ARR	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 1 of each year)	Yes (November 1 of each year)	Yes (November 1 of each year )
	iv. Tariff Order	Yes (120 days from the date of Admittance Order)	Yes (120 days from the date of Admittance Order)	Yes (120 days from the date of Admittance Order)	Yes (120 days from the date of Admittance Order)	Yes (November 1 of each year )
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?					
	i. APR	No*	No*	Yes (19 November, 2018)	Yes (March 11, 2019)	Yes (June 29, 2020)
	ii. True Up	Yes (19 November, 2018)	Yes (19 November, 2018)	Yes (March 11, 2019)	Yes (June 29, 2020)	No
	iii. ARR	Yes (8 December, 2014)	Yes (15 December, 2015)	Yes (23 June, 2017)	Yes (19 November, 2018)	Yes (March 11, 2019)
	iv. Tariff Order (Issued by the Commission)	i. Tariff Order Issued on June 18, 2015. ii. True-Up Order Issued on January 22, 2019.	i. Tariff Order Issued on August 01, 2016. ii. True-Up Order Issued on January 22, 2019.	i. Multi Year Tariff Order Issued on November 30, 2017. ii. APR Order Issued on January 22, 2019. iii. Trued-Up Order issued on Sep. 03, 2019.	i. Multi Year Tariff Order Issued on November 30, 2017. ii. ARR Order Issued on January 22, 2019. iii. APR Order issued on Sep. 03, 2019.	i. Multi Year Tariff Order Issued on November 30, 2017. ii. ARR Order Issued on September 03, 2019.



Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow						
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011						
5. For State owned Distribution Utility – KESCO, Kanpur						
Note: ARR for FY 2019-20, APR for 2018-19 and True-Up for FY 2017-18						
Sl. No.	Particulars	2015-16 (Trued-Up) Tariff Order dated January 22, 2019	2016-17 (Trued-Up) Tariff Order dated January 22, 2019	2017-18 (Trued Up) Tariff Order dated September 03, 2019	2018-19 (ARR) Tariff Order dated January 22, 2019	2019-20 (ARR) Tariff Order dated September 03, 2019
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof					
	i. APR	No	No	Yes, the proceedings for FY 2017-18 (APR) for the determination of ARR for the State owned Distribution Companies were initiated Suo-Motu as there was delay in the filings. (APR of FY 2017-18 done in FY 2018-19 vide T.O. dt. January 22, 2019)	No	Yes, the proceedings for FY 2019-20 (APR) for the determination of ARR for the State owned Distribution Companies were initiated Suo-Motu as there was delay in the filings. (APR of FY 2019-20 is under process in FY 2020-21)
	ii. True Up	Yes, the proceedings for FY 2015-16 (True-Up) for the determination of ARR for the State owned Distribution Companies and the Transmission Company were initiated Suo-Motu as there was delay in the filings.	Yes, the proceedings for FY 2016-17 (True-Up) for the determination of ARR for the State owned Distribution Companies and the Transmission Company were initiated Suo-Motu as there was delay in the filings.	No	Yes, the proceedings for FY 2018-19 (True-Up) for the determination of ARR for the State owned Distribution Companies were initiated Suo-Motu as there was delay in the filings.	NA
	iii. ARR	No	No	No	Yes, the proceedings for FY 2018-19 for the determination of ARR & Tariff for the State owned Distribution Companies and the Transmission Company were initiated Suo-Motu as there was delay in the filings.	No
	iv. Tariff Order					

Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow						
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011						
5. For State owned Distribution Utility – KESCO, Kanpur						
Note: ARR for FY 2019-20, APR for 2018-19 and True-Up for FY 2017-18						
Sl. No.	Particulars	2015-16 (Trued-Up) Tariff Order dated January 22, 2019	2016-17 (Trued-Up) Tariff Order dated January 22, 2019	2017-18 (Trued Up) Tariff Order dated September 03, 2019	2018-19 (ARR) Tariff Order dated January 22, 2019	2019-20 (ARR) Tariff Order dated September 03, 2019
<b>B. Tariff Order</b>						
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?	Answer same as in row Nos. 1 & 2	Answer same as in row Nos. 1 & 2	Answer same as in row Nos. 1 & 2	Answer same as in row Nos. 1 & 2	Answer same as in row Nos. 1 & 2
	i. APR					
	ii. True Up					
	iii. ARR					
	iv. Tariff Order					
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	TO issued on 18th June, 2015, tariffs will continue to be in force till issuance of next Tariff Order.	TO issued on 1st August, 2016, tariffs will continue to be in force till issuance of next Tariff Order.	TO issued on November 30, 2017, tariffs will continue to be in force till issuance of next Tariff Order.	TO issued on January 22, 2019, tariffs will continue to be in force till issuance of next Tariff Order.	TO issued on September 03, 2019, tariffs will continue to be in force till issuance of next Tariff Order.
<b>II. Adequacy of Tariff</b>						
1	Annual Revenue Requirement (in Rs. Cr.)	1,911.32	1,996.41	1,879.60	2,257.04	2,327.21
	GoUP Subsidy (in Rs. Cr.)	-	-	-	-	-
2	Saleable Energy (in MUs)	2,935.25	3,089.16	3,199.74	3,395.53	3,289.58
	Revenue (in Rs. Cr.)	2,104.17	2,324.47	2,537.30	2,638.70	2,686.05
3	Average Cost of Supply (Rs./kWh)	6.51	6.46	5.87	6.65	7.07
4	Average Tariff (Rs./kWh)	7.17	7.52	7.93	7.77	8.17
5	Revenue gap between ARR and ACS per unit of only the year in consideration Revenue Gap (in Rs./ kWh.)	(0.66)	(1.06)	(2.06)	(1.12)	(1.09)
6	Whether Regulatory Assets have been created?*	Yes	Yes	Yes	Yes	No
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?***	Yes	Yes	Yes	Yes	No
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	No (As the same would have caused tariff shock)	No (As the same would have caused tariff shock)	No (As the same would have caused tariff shock)	No (As the same would have caused tariff shock)	NA
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	Yes	Yes	Yes	Yes	No

Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow						
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011						
5. For State owned Distribution Utility – KESCO, Kanpur						
Note: ARR for FY 2019-20, APR for 2018-19 and True-Up for FY 2017-18						
Sl. No.	Particulars	2015-16 (Trued-Up) Tariff Order dated January 22, 2019	2016-17 (Trued-Up) Tariff Order dated January 22, 2019	2017-18 (Trued Up) Tariff Order dated September 03, 2019	2018-19 (ARR) Tariff Order dated January 22, 2019	2019-20 (ARR) Tariff Order dated September 03, 2019
III. Fuel & Power Purchase Cost Adjustment						
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes	Yes	Yes	Yes	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly	Quarterly	Quarterly	Quarterly	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	No, the Licensee was facing some difficulties in implementing the same. (Provision in Regulations, 2006). Appropriate Orders passed by the Commission.	Yes, as per the Regulations	Yes, as per the Regulations	No, the Licensee was facing some difficulties in implementing the same. (Provision in MYT Regulations, 2014). Appropriate Orders passed by the Commission.	Yes, as per the Regulations
<b>Important Note:</b> *FY 2015-16 & FY 2016-17 covered by UPERC Distribution Tariff Regulations, 2006 in which there was no provision for APR and FY 2017-18 & FY 2018-19 covered by UPERC Multi Year Distribution Tariff Regulations, 2014, where there was no provision for APR. **In FY 2018-19, DVVNL, PVVNL and KESCO are having surplus of Rs (-) 261.49 Crore, Rs (-) 1759.09 Crore and (-) 381.66 Crore respectively. MVVNL and PuVvNL have revenue gap of Rs. 266.65 Crore and Rs. 168.92 Crore respectively. However, as per Commission's computation of regulatory assets (including carrying cost) up to FY 2018-19 (not considering FY 2017-18) comes out to be Rs. 40,541.06 Crore (DVVNL is Rs. 13,513.27 Crore, MVVNL is Rs. 12,762.93 Crore, PVVNL is (-) 1086.14 Crore, PuVvNL is Rs. 15,050.91 Crore and KESCO is Rs. 300.09 Crore). These are tentative figures and need to be vetted upon. As it can be seen that, PVVNL is already in surplus, the Licensee would be required to pay carrying cost on the above amount which would be deducted from future ARR or dealt with as per further orders of the Commission. For rest of the four Discoms there is already a positive regulatory asset, hence they will continue to recover regulatory surcharge as approved in its MYT Order dated November 30, 2017. Further, in Petition No. 1295 / 2018, filed by the Licensees the matter of review against the tariff order dated November 30, 2017, on amortization of Regulatory assets is being heard by the Commission whose outcome may alter the regulatory surcharge. The Licensees are directed to depict the Regulatory Surcharge separately and distinctly in the electricity bills of the consumers. The Commission directs the Licensees to maintain separate accounting fields for the regulatory surcharge and capture the amounts billed and collected as Regulatory Surcharge in both of its financial and commercial statements. This would enable the Licensees to correctly report the amounts collected towards Regulatory Surcharge. ***The Regulatory Asset has been created and treated as per the prevalent Regulations. Further, in Tariff Order of FY 2019-20 dated September 03, 2019 the Commission has issued the Order by considering the impact of UDAY on Balance sheets & Regulatory Accounts of the Discoms, which gives the Net Regulatory Assets Surplus of Rs. 13,337.17 Crore till FY 2017-18 (Trued-Up years). In the view of the same the Regulatory Surcharge to recover the Regulatory Asset is being abolished with effect from the implementation of the Tariffs for FY 2019-20 i.e. September 12, 2019. The Commission will use this surplus, of Rs. 13,337.17 Crore as existing at the end of FY 2017-18, to be adjusted in future for the purposes of meeting the gaps of FY 2017-18 & onwards and also if required, for meeting uncertainties. The Commission approves a surplus for FY 2019-20 in its Tariff Order for FY 2019-20 dated September 03, 2019.						

Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow						
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011						
6. For Private owned Distribution Utility – NPCL, Greater Noida						
Note: ARR for FY 2019-20, APR for 2018-19 and True-Up for FY 2017-18						
Sl. No.	Particulars	2015-16 (Trued-Up) Tariff Order dated November 30, 2019	2016-17 (Trued-Up) Tariff Order dated January 22, 2019	2017-18 (Trued Up) Tariff Order dated September 03, 2019	2018-19 (ARR) Tariff Order dated January 22, 2019	2019-20 (ARR) Tariff Order dated September 03, 2019
I. Timeliness of Tariff Determination Process						
A. Tariff Filing						
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?					
	i. APR	No*	No*	Yes (November 1 of each year)	Yes (November 1 of each year)	Yes (November 1 of each year)
	ii. True Up	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 1 of each year)	Yes (November 1 of each year)	Yes (November 1 of each year)
	iii. ARR	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 1 of each year)	Yes (November 1 of each year)	Yes (November 1 of each year)
	iv. Tariff Order	Yes (120 days from the date of Admittance Order)	Yes (120 days from the date of Admittance Order)	Yes (120 days from the date of Admittance Order)	Yes (120 days from the date of Admittance Order)	Yes (120 days from the date of Admittance Order)
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also mention the date of filing)					
	i. APR	No*	No*	Yes (20th July, 2018)	Yes (29th October, 2018)	Yes (December 27, 2019)
	ii. True Up	Yes (27 October, 2016)	Yes (20th July, 2018)	Yes (29th October, 2018)	Yes (December 27, 2019)	No
	iii. ARR	Yes (28th November, 2014)	Yes (26th November, 2015)	Yes (27 October, 2016)	Yes (20th July, 2018)	Yes (29th October, 2018)
	iv. Tariff Order	i. Tariff Order Issued on June 18, 2015. ii. True-Up Order Issued on November 30, 2017.	i. Tariff Order Issued on August 01, 2016. ii. True-Up Order Issued on January 22, 2019.	i. Multi Year Tariff Order Issued on 30th November 2017. ii. APR order issued on January 22, 2019. iii. Trued-Up Order Issued on Sep. 03, 2019.	i. Multi Year Tariff Order Issued on November 30, 2017. ii. ARR Order Issued on January 22, 2019. iii. APR Order Issued on 03 September, 2019.	i. Multi Year Tariff Order Issued on November 30, 2017. ii. ARR Order Issued on September 03, 2019.
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof					
	i. APR	No	No	No	No	No
	ii. True Up					
	iii. ARR					
	iv. Tariff Order					

Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow						
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011						
6. For Private owned Distribution Utility – NPCL, Greater Noida						
Note: ARR for FY 2019-20, APR for 2018-19 and True-Up for FY 2017-18						
Sl. No.	Particulars	2015-16 (Trued-Up) Tariff Order dated November 30, 2019	2016-17 (Trued-Up) Tariff Order dated January 22, 2019	2017-18 (Trued Up) Tariff Order dated September 03, 2019	2018-19 (ARR) Tariff Order dated January 22, 2019	2019-20 (ARR) Tariff Order dated September 03, 2019
<b>B. Tariff Order</b>						
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?					
	i. APR					
	ii. True Up	Answer same as in row Nos. 1 & 2	Answer same as in row Nos. 1 & 2	Answer same as in row Nos. 1 & 2	Answer same as in row Nos. 1 & 2	Answer same as in row Nos. 1 & 2
	iii. ARR					
	iv. Tariff Order					
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	TO issued on 18th June, 2015 tariffs will be continue to be in force till issuance of next Tariff Order.	TO issued on 1st August, 2016 tariffs will be continue to be in force till issuance of next Tariff Order.	TO issued on November 30, 2017, tariffs will continue to be in force till issuance of next Tariff Order.	TO issued on January 22, 2019, tariffs will continue to be in force till issuance of next Tariff Order.	TO issued on September 03, 2019, tariffs will continue to be in force till issuance of next Tariff Order.
<b>II. Adequacy of Tariff</b>						
1	Annual Revenue Requirement (in Rs. Cr.)	903.66	926.57	1,235.31	1,356.35	1419.49
	GoUP Subsidy (in Rs. Cr.)	-				
2	Saleable Energy (in MUs)	1,377.16	1,500.40	1,667.62	1,853.81	2108.87
	Revenue (in Rs. Cr.)	1,039.22	1,171.67	1,334.36	1,462.89	1692.83
3	Average Cost of Supply (Rs./kWh)	6.56	6.18	7.41	7.32	6.73
4	Average Tariff (Rs./kWh)	7.55	7.81	8.00	7.89	8.03
5	Revenue gap between ARR and ACS per unit of only the year in consideration Revenue Gap (in Rs./ kWh.)	(0.98)	(1.63)	(0.59)	(0.57)	(1.30)
6	Whether Regulatory Assets have been created?	Yes	Yes	Yes	Yes	No
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?*	Yes	Yes	Yes	Yes	No
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	No (As the same would have caused tariff shock)	No (As the same would have caused tariff shock)	No (As the same would have caused tariff shock)	No (As the same would have caused tariff shock)	NA
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	Yes	Yes	Yes	Yes	No
<b>III. Fuel &amp; Power Purchase Cost Adjustment</b>						
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes	Yes	Yes	Yes	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly	Quarterly	Quarterly	Quarterly	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Yes, as per the Regulations	Yes, as per the Regulations	Yes, as per the Regulations	Yes, as per the Regulations	Yes, as per the Regulations



**Uttarakhand Electricity Regulatory Commission**  
**Vidyut Niyamak Bhawan',**  
**Near ISBT, PO- Majra, Dehradun-248171**

PH. 0135-2641115 FAX- 2641314 Website www.uerc.gov.in E-mail- secy.uerc@gov.in

No. UERC/6/TF-124/2020-21/2020/460

Date: 11-Aug, 2020

To,

Sh. Arun Kumar,  
Assistant Secretary,  
Forum of Regulators (FOR)  
C/o Central Electricity Regulatory Commission,  
3rd & 4th Floors, Chanderlok Building,  
36, Janpath, New Delhi-110001

**Sub.: Compliance of the directions given by the Hon'ble Appellate Tribunal for Electricity issued vide Order dated 3rd November, 2014 and 23rd September, 2019 in OP No. 1 of 2011.**

Sir,

This has reference to your e-mail dated 05.08.2020 on the above-mentioned subject. In this regard, please find enclosed the requisite information as **Annexure-A** in the prescribed format. Further, the formats for FY 2019-20 have already been forwarded to you vide Commission's letter no. UERC/6/TF-3(F)/2018-19/2019/1700 dated 28.02.2019, a copy of the same is enclosed herewith for your reference at **Annexure-B**.

Encl. as above

Yours sincerely,

(Neeraj Sati)  
Secretary



# Uttarakhand Electricity Regulatory Commission

'Vidyut Niyamak Bhawan',  
Near ISBT, PO- Majra, Dehradun-248171

PH. 0135-2641115 FAX- 2641314 Website www.uerc.gov.in E-mail- secy.uerc@gov.in

Annexure - B

No. UERC/6/TF-3(F)/2018-19/2019/1700

Date: 28-February, 2019

To,

Secretary,  
Forum of Regulators (FOR)  
C/o Central Electricity Regulatory Commission,  
3rd & 4th Floors, Chanderlok Building,  
36, Janpath, New Delhi -110001


Sub.: Compliance of the directions given by the Hon'ble Appellate Tribunal for Electricity in OP No. 1 of 2011.

Sir,

This has reference to the Order of Hon'ble ATE dated 11.11.2011 in OP No. 1 of 2011. In this regard, please find enclosed the requisite information as Annexure-A in the prescribed format.

Encl. as above

Yours sincerely,

  
(Neeraj Sati)  
Secretary  
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Format for submission of data for the year 2019-20 in compliance of directions given by APTEL in OP No. 1 of 2011

Sl. No.	Particulars	2019-20			
I. Timeliness of Tariff Determination Process					
A. Tariff Filing					
1	Whether timeline for filing petitions for Annual Performance Review (APR), True up of past expenses and Average Revenue Requirement (ARR)/Tariff specified in Tariff Regulations ?		Timeline specified in regulations	Date of filing petition as per regulations	Remarks
		(i) ARR/Tariff Petition	Yes	30.11.2018	MYT Regulation, 2018 specify that the DISCOM would submit each year latest by 30 <sup>th</sup> November, their ARR and Tariff Petitions for the ensuing financial year alongwith Petition for Annual Performance Review and Tariff determination.
		(ii) APR	Yes	30.11.2018	
		(iii) True Up	Yes	30.11.2018	MYT Regulations, 2018 specify that truing up exercise for a financial year shall normally be carried out alongwith Annual Performance Review & Tariff determination exercise.
2	Whether petitions for APR, True up of past expenses and ARR/Tariff are being filed as per the requirements of regulation?		Petition Filing as per regulations	Actual date of filing petition	
		(i) ARR/Tariff for FY 2019-20	Yes	30.11.2018	Uttarakhand Power Corporation Ltd. (UPCL), the distribution licensee filed the consolidated Petitions seeking APR of FY 2018-19, MYT Petition for the third Control Period from FY 2019-20 to FY 2021-22, alongwith truing up for FY 2017-18 on 30.11.2018.
		(ii) APR for FY 2018-19	Yes	30.11.2018	
		(iii) True Up for FY 2017-18	Yes	30.11.2018	
3	If delay in filing of petition of APR, True up of past expenses		Whether Suo moto action has been	Date of Suo-moto action	Reason for not initiating suo-motu actions

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*Uttarakhand Electricity Regulatory Commission*

Sl. No.	Particulars	2019-20			
	and ARR is beyond one month, whether the Regulatory Commission has taken any suo-moto action for determination of tariff? If not, please provide the reasons thereof	(i) ARR/Tariff for FY 2019-20	initiated No	N.A.	The consolidated Petitions were filed within the time specified in the Regulations.
		(ii) APR for FY 2018-19	No	N.A.	
		(iii) True Up for FY 2017-18	No	N.A.	
B. Tariff Order					
4	Whether orders for APR, True up of past expenses and Tariff are being issued regularly within the time specified in the regulations?	(i) ARR/Tariff for FY 2019-20	Orders issued in time? Yes	Actual date of order Order issued on 27.02.2019	Reason for delay, if any Since, the Petition was admitted on 17.12.2018 and the Commission issued the Tariff Order on 27.02.2019, the same is within the period of 120 days.
		(ii) APR for FY 2018-19	Yes		
		(iii) True Up for FY 2017-18	Yes		
5	Whether the applicability of Tariff is till the end of the financial year?	Yes, Tariff Order shall be applicable till the end of the financial year.			

*M*

## II. Adequacy of Tariff for FY 2019-20

Sl. No.	Items		Remarks
1.	Annual Revenue Requirement (Rs. Crore)	Rs. 6549.39 Crore	
2.	Saleable Energy (MU) *	12397.83 MUs	
3.	Average Cost of Supply (Rs./Unit)	Rs. 5.28/Unit	
4.	Average Tariff (Rs./Unit) *	Rs. 5.32/Unit	
5.	Revenue gap between ARR and ACS per unit (Rs./Unit)	The estimated revenue for FY 2019-20 at approved tariffs works out to Rs. 6592.52 Crore, as against the net ARR of Rs. 6549.39 Crore worked out after adjusting tried-up surplus/gaps of previous years leaving a surplus of Rs. 43.13 Crore with UPCL.	
6.	Whether Regulatory Assets have been created in 2019-20?	No	
7.	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	N. A.	
8.	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	N.A.	
9.	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	No Regulatory Asset has been created so far by the Commission.	

\* *Category wise Average Tariff and Saleable energy given in Annexure-B enclosed.*

*M*

### III. Fuel & Power Purchase Cost Adjustment for FY 2019-20

Sl. No.	Items		Remarks
1.	Whether Fuel Surcharge Adjustment formula/ mechanism provided in regulation?	Yes	
2.	Frequency of adjustment of Fuel Surcharge as per the regulations?	Quarterly	
3.	Whether Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Yes, Fuel Surcharge Adjustment is being done as per the Regulations notified by the Commission.	Order for adjustment of Fuel Surcharge is being issued regularly by the Commission based on the submissions of licensee in the matter.

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Annexure-B

Category-wise Saleable Energy & Average Tariff for FY 2019-20

S. No.	Category	Sales MU	Average Billing Rate (ABR) Rs./Unit
1.	RTS-1: Domestic	3137.38	4.23
2.	RTS-2: Non Domestic	1325.79	6.10
3.	RTS-3: Govt. Public Utilities	639.13	5.45
4.	RTS-4: Private Tube Wells	282.91	1.95
5.	RTS-5: Industry		
	LT Industry	315.03	5.76
	HT Industry	6445.84	5.79
6.	RTS-6: Mixed Load	185.46	5.35
7.	RTS-7: Railway Traction	30.08	5.65



## WEST BENGAL ELECTRICITY REGULATORY COMMISSION



Ref No : WBERC/A-14/6/34/ 6045

Dated, Kolkata, the 23<sup>rd</sup> September, 2020

From :  
Shri Tarun Kumar Mukherjee,  
Secretary, WBERC

To  
Shri Arun Kumar,  
Assistant Secretary (FOR)  
C/o Central Electricity Regulatory Commission (CERC),  
3<sup>rd</sup> & 4<sup>th</sup> Floor, Chanderlok Building,  
36, Janpath, New Delhi – 110 001  
Fax No : 011-23753920/ 23752958  
E-mail : asecy.for@gmail.com/cerc.ra@gmail.com

**Sub : Compliance of the directions of Appellate Tribunal for Electricity issued  
vide Order dated 3<sup>rd</sup> November 2014 and 23<sup>rd</sup> September 2019  
in OP No.1 of 2011 - reg.**

Ref : FOR Letter No.15/9(1)/2011/APTEL-TA/FOR-CERC(Vol-VI) dated 18.08.2020

Sir,

In inviting a reference to the above, I am directed to send herewith the Compliance Reports for the FY 2019-20 "Format for Compilation of data regarding the directions given by APTEL through its judgment dated 11.11.2011" as per the format given for further necessary action at your end.

This is for your kind information.

Encl : As above

Yours faithfully,

Secretary

West Bengal Electricity Regulatory Commission						
Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011						
SL NO	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
I. Timelines of Tariff Determination Process						
A. Tariff Filing						
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/ No and also please mention the timelines)?					
	i. APR	YES (by 30.11.2016)	YES (by 30.11.2017)	YES (by 30.11.2018)	YES	YES
	ii. True Up	YES (True up of annual fixed charges, fixed cost and incentives are done in APR and fuel and power purchase cost in FPPCA mechanism)				
	iii. ARR	YES (Composite application with projection of ARR and ERC for each ensuing year of the control period)				
	iv. Tariff Petition	YES (120 days before start of control period)				
	Remarks	Under Multi Year Tariff (MYT) Framework licensee shall file a composite application with the projection of ARR and ERC and proposal of tariff including principles of tariff structure for each ensuing year of the control period separately on the basis of ARR projected. The composite application for the entire control period shall be submitted 120 days in advance of the effective date of the start of control period. [Ref: Regulation 2.5.1 and 2.7.1 of WBERC Tariff Regulations, 2011, as amended] A generating company/ licensee shall make an application seeking an annual performance review for fixed cost, incentives and effects of gain sharing for the concerned period by November of immediate next ensuing year of each such ensuing year or base year as the case may be. [Ref: Regulation 2.6.1 of WBERC Tariff Regulations, 2011, as amended] A generating company/ licensee shall submit its FPPCA claim for any year within forty five days of the completion of its accounts for that year with necessary statutory audited data and a copy of statutorily audited Annual Accounts for that year. [Ref: Regulation 2.8.7.2 of WBERC Tariff Regulations, 2011, as amended]				
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Petitions are being filed as per requirements of regulation (please also provide the actual date of filing)?					
	i. APR	Filing of APR Petitions: WBSEDCL: 25.11.2016 WBPDCCL: 29.11.2016 WBSETCL: 30.11.2016 CESC: 30.11.2016 HEL: 30.04.2019 IPCL: 01.02.2018 DVC: *	Filing of APR Petitions: WBSEDCL: 30.11.2017 WBPDCCL: 29.11.2017 WBSETCL: 30.11.2017 CESC: 30.11.2017 HEL: 30.04.2019 IPCL: Not yet submitted DVC: *	Filing of APR Petitions: WBSEDCL: 07.12.2018 WBPDCCL: 05.02.2019 WBSETCL: 11.12.2018 CESC: 28.02.2019 HEL: 30.04.2019 IPCL: Not yet submitted DVC: Not yet submitted	APR Petitions are due to be filed by the generating companies/ licensees within 3months from the date of respective tariff order for 2018-19.	APR Petitions are due to be filed by the generating companies/ licensees within 3months from the date of respective tariff order for 2019-20.
	ii. True Up	Along with APR Petitions	Along with APR Petitions	Along with APR Petitions	Along with APR Petitions	Along with APR Petitions
	iii. ARR	Composite Application with projection of ARR filed by the generating companies and licensees for the 4th Control Period i.e. for 2014-15 to 2016-17	Application for ARR filed by the generating companies and licensees for the 5th Control Period i.e. for 2017-18 in Tariff Petition		Composite Application filed by the generating companies and licensees for the 6th Control Period i.e. for 2018-19 and 2019-20	



Sl NO	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
	iv. Tariff Order	<u>Filing of Tariff Petitions:</u> Tariff Petitions have been filed under MYT Framework for 4th Control Period i.e. for 2014-15 to 2016-17 WBSEDCL: 24.02.2014 WBPDC: 28.01.2014 WBSETCL: 30.01.2014 CESC: 31.12.2013 HEL: 21.08.2014 IPCL: 16.01.2014 DVC: 15.01.2014 DPL: 30.12.2013	<u>Filing of Tariff Petitions:</u> Tariff Petitions have been filed under MYT Framework for 4th Control Period i.e. for 2014-15 to 2016-17 WBSEDCL: 24.02.2014 WBPDC: 28.01.2014 WBSETCL: 30.01.2014 CESC: 31.12.2013 HEL: 21.08.2014 IPCL: 16.01.2014 DVC: 15.01.2014 DPL: 30.12.2013	<u>Filing of Tariff Petitions:</u> Tariff Petitions have been filed under MYT Framework for 5th Control Period i.e. for 2017-18 WBSEDCL: 22.12.2016 WBPDC: 30.12.2016 WBSETCL: 26.12.2016 CESC: 30.12.2016 HEL: 28.12.2016 IPCL: 23.03.2017 DVC: ** DPL: 30.12.2016	<u>Filing of Tariff Petitions:</u> Tariff Petitions have been filed under MYT Framework for 6th Control Period i.e. for 2018-19 to 2019-20 WBSEDCL: 31.07.2019 WBPDC: 31.07.2019 WBSETCL: 31.07.2019 CESC: 29.06.2018 HEL: 18.06.2018 IPCL: 31.08.2018 DVC: 04.01.2019 HMEL: 01.07.2019 DPL: 31.07.2019	<u>Filing of Tariff Petitions:</u> Tariff Petitions have been filed under MYT Framework for 6th Control Period i.e. for 2018-19 to 2019-20 WBSEDCL: 31.07.2019 WBPDC: 31.07.2019 WBSETCL: 31.07.2019 CESC: 29.06.2018 HEL: 18.06.2018 IPCL: 31.08.2018 DVC: 04.01.2019 HMEL: 01.07.2019 DPL: 31.07.2019
	Remarks	* APR Petition of DVC for 2015-16 and 2016-17: Revised petition to be submitted by DVC based on CERC's Final Order on composite Transmission Tariff for the year 2014-19 ** Tariff Petition of DVC for 2017-18: DVC is yet to submit the revised tariff petition in compliance of order of the Hon'ble High Court at Calcutta.				
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof					
	i. APR	N.A	N.A	N.A	N.A	N.A
	ii. True Up	N.A	N.A	N.A	N.A	N.A
	iii. ARR	N.A	N.A	N.A	N.A	N.A
	iv. Tariff Order	N.A	N.A	N.A	N.A	N.A
	Remarks					
B. Tariff Order						
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being issued regularly within the time specified in accordance with the Act? (Please indicate the date of tariff petition and date of tariff order)					
	i. APR	Date of Filing APR Petitions given in Sl No. 2 above. APR order for all the generating companies and licensees are under process.	Date of Filing APR Petitions given in Sl No. 2 above. APR order for all the generating companies and licensees are under process.	Date of Filing APR Petitions given in Sl No. 2 above. APR order for all the generating companies and licensees are under process.	Yet to be submitted	Yet to be submitted
	ii. True Up	Same as in 4 (i) above.	Same as in 4 (i) above.	Same as in 4 (i) above.	Same as in 4 (i) above.	Same as in 4 (i) above.

Sl. NO	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
	iii. ARR	Date of Filing Tariff Petitions given in SI No. 2 above. ARR has been determined by the Commission in MYT Order for the 4th Control Period i.e. for 2014-15 to 2016-17. Date of issue of such order is given below: WBSEDCL: 04.03.2015 WBPDC: 04.03.2015 WBSETCL: 04.03.2015 CESC: 04.03.2015 HEL: 29.01.2016 IPCL: 21.07.2016 DVC: 25.05.2015	Date of Filing Tariff Petitions given in SI No. 2 above. ARR has been determined by the Commission in MYT Order for the 4th Control Period i.e. for 2014-15 to 2016-17. Date of issue of such order is given below: WBSEDCL: 04.03.2015 WBPDC: 04.03.2015 WBSETCL: 04.03.2015 CESC: 04.03.2015 HEL: 29.01.2016 IPCL: 21.07.2016 DVC: 25.05.2015	Date of Filing Tariff Petitions given in SI No. 2 above. ARR has been determined by the Commission in Tariff Order for the 5th Control Period i.e. for 2017-18. Date of issuance of such order is given in SI 4 (iv)	Tariff Order is under process.	Tariff Order is under process.
	iv. Tariff Order	Date of Filing Tariff Petitions given in SI No. 2 above. Date of issuance of Tariff Order is given below: WBSEDCL: 10.08.2015 WBPDC: 19.06.2015 WBSETCL: 22.06.2015 CESC: 10.08.2015 HEL: 29.01.2016 IPCL: 21.07.2016 DVC: 25.05.2015 DPL: 23.06.2015	Date of Filing Tariff Petitions given in SI No. 2 above. Date of issuance of Tariff Order is given below: WBSEDCL: 28.10.2016 WBPDC: 28.10.2016 WBSETCL: 28.10.2016 CESC: 28.10.2016 HEL: 29.01.2016 IPCL: 21.07.2016 DVC: 03.03.2017 DPL: 28.10.2016	Date of Filing Tariff Petitions given in SI No. 2 above. Date of issuance of Tariff Order is given below: WBSEDCL: 04.07.2018 WBPDC: 13.09.2018 WBSETCL: 04.07.2018 CESC: 04.07.2018 HEL: 27.11.2017 DPL: 03.08.2020 IPCL: Under Process	Tariff Order is under process.	Tariff Order is under process.
	Remarks	The Commission has undertaken expeditious disposal of APR and FPPCA petitions and all such petitions are expected to be disposed off within three months.	The Commission has undertaken expeditious disposal of APR and FPPCA petitions and all such petitions are expected to be disposed off within next three months.	The Commission has undertaken expeditious disposal of APR and FPPCA petitions and all such petitions are expected to be disposed off within next three months.	Tariff Order is under process. Utilities will submit their APR petition within 3 months from the date of respective tariff orders	Tariff Order is under process. Utilities will submit their APR petition within 3 months from the date of respective tariff orders
5	Whether the applicability of Tariff is till the end of the financial year (Yes/ No)?	The tariff is to be continued till further order of the Commission	The tariff is to be continued till further order of the Commission	The tariff is to be continued till further order of the Commission	The tariff is to be continued till further order of the Commission	The tariff is to be continued till further order of the Commission
1	Annual Revenue Requirement (in Rs. Cr)	Licensee wise ARR, Saleable Energy, ACoS and Average Tariff for each year is given in Appendix-A.			Tariff Order is under process.	Tariff Order is under process.
2	Saleable Energy (in MUs)					
3	Average Cost of Supply (Rs./kWh)					
4	Average Tariff (Rs./kWh)					
5	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs./kWh)	No revenue gap between ARR and ACS per unit has been created.	No revenue gap between ARR and ACS per unit has been created.	No revenue gap between ARR and ACS per unit has been created.	Tariff Order is under process.	Tariff Order is under process.



Sl. NO	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
6	Whether Regulatory Assets have been created?	No	No	No	N.A	N.A
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	N.A	N.A	N.A	N.A	N.A
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for recovery of such Regulatory Assets been specified?	N.A	N.A	N.A	N.A	N.A
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Asset are created?	N.A	N.A	N.A	N.A	N.A
<b>III. Fuel and Power Purchase Cost Adjustment</b>						
1	Whether Fuel Surcharge Adjustment formula/ mechanism provided in regulation (Yes/ No)?	<p>Yes.</p> <p>The Commission in terms of Regulation 2.8.7.3 of Tariff Regulations have already introduced Fuel Surcharge Mechanism through monthly adjustment of fuel cost and power purchase cost or variable cost which has been termed as 'Monthly Fuel Cost Adjustment' (MFCA) or 'Monthly Variable Cost Adjustment' (MVCA) to a generating company or to a distribution licensee on the basis of fuel surcharge formula.</p>				
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/ quarterly)?	Monthly				
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof	Yes, Fuel Surcharge is being done as per Formula Specified in Schedule 7B of WBERC Tariff Regulations, 2011, as amended				

Appendix -A

Sl. No	Particulars	WBSedCL			CESC			IPCL		DVC		DPL		
		2015-16	2016-17	2017-18	2015-16	2016-17	2017-18	2015-16	2016-17	2015-16	2016-17	2015-16	2016-17	2017-18
1	Revenue Recoverable from sale to Consumer (in Rs Cr)	17840.46	16888.44	17450.77	6577.51	6593.80	6799.87	476.11	519.71	4326.16	4823.40	1320.93	1335.13	1075.75
2	Saleable Energy (in MUs)	27232.10	24500.00	25324.00	9424.00	9387.00	9680.00	810.00	820.00	9127.11	9845.47	2940.11	2958.41	2048.85
3	Average Cost of Supply (Rs./kWh)	655.13	689.32	689.10	697.95	702.44	702.47	587.78	633.79	473.99	489.91	449.28	451.30	525.05
4	Average Tariff (Rs./kWh)	655.13	689.32	689.10	697.95	702.44	702.47	587.78	633.79	473.99	489.91	449.28	451.30	525.05