#### FORUM OF REGULATORS (FOR)

C/o CENTRAL ELECTRICITY REGULATORY COMMISSION 3rd & 4th Floor, Chanderlok Building, 36, Janpath, New Delhi-110001 Tel: 011-23353503

No. 15/9(1)/2011/APTEL-TA/FOR/CERC(Vol-VI)

DatedL15th, October, 2020

The Registrar Appellate Tribunal for Electricity 7th Floor, CORE- 4, Scope Complex, Lodhi Road, New Delhi - 110 003

Subject: Compliance of the directions of Hon'ble Appellate Tribunal for Electricity issued vide Order dated 23.09.2019 & 01.11.2019 in OP No.1 of 2011- reg.

Sir/Madam,

This is reference to the orders dated 23.09.2019 and 01.11.2019 of the Hon'ble APTEL on the above subject. In pursuance of the directions, FOR Secretariat sought information for the period FY 2015-16 to FY 2018-19 from the State/Joint Commissions and the summary statement of the responses (including individual submissions) were submitted to APTEL vide letters of even no. dated 30.10.2019 and 05.12.2019.

- 2. Subsequently, FOR Secretariat has sought the information for the FY 2019-20 also, from the State/ Joint Commissions.
- 3. The SERCs/JERCs (Assam, Delhi, Gujarat, Haryana, Himachal Pradesh, Jharkhand, Maharashtra, Nagaland, Punjab, Rajasthan, Sikkim, Tamil Nadu, Telangana, Uttarakhand, Uttar Pradesh, West Bengal, JERC (Manipur and Mizoram), JERC (Goa & UTs)) have provided the requisite information. The summary statement of the responses received from the SERCs/JERCs is enclosed as Annexure-1 along with individual submissions of each SERC/JERC.
- 4. It is requested that this may please be brought to the notice of the Hon'ble APTEL.

Encl: As above

Yours sincerely

(Arun Kumar) Asst. Secretary, FOR

Annexure-I

			tul-itu Da i - t	0							
		Assam El	ectricity Regulatory	Commission (SERC	)						
	Format	for compilation of data regarding	ng the directions give	n by APTEL through it	es judament dated 11 11 2011						
	Format for compilation of data regarding the directions given by APTEL through its judgment dated 11.11.2011										
SI. No.	Particulars	2016-17	2017-18	2018-19	2019-20						
110.	i di tiodidi o	=0.10.11	s of Tariff Determinati		2010 20						
			Tariff Filing								
1		Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)? YES, timelines specified in columns below.									
	i. APR	Information f	for the above years alread	The timelines for filing Petitions have been specified in the AERC (Terms and Conditions for determination of MYT)							
	ii. True Up		•	Regulations, 2018 as follows:							
	iii. ARR			The tariff petitions are to be filed by 30 <sup>th</sup> November of the year preceding the first year of the control period / 30 <sup>th</sup> Nov of each							
	iv. Tariff Order			year of the control period and							
					Orders must be issued within 120 days from the date of admission of the petition						
2		If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)? — YES, timelines were adhered to by the Power Companies.									
	i. APR	Information f	for the above years alread	y submitted.	Assam Power Generation Corporation Limited (APGCL),						
	ii. True Up				Assam Electricity Grid Corporation Limited (AEGCL) &						
	iii. ARR				Assam Power Distribution Company Limited (APGCL) submitted the petitions as specified in the AERC MYT						
	iv. Tariff Order			Regulations on 30 <sup>th</sup> November, 2018.							
3		ormance Review (APR), true up of ission has taken any suo-motu ac			nt (ARR) and Tariff Order is beyond one month, ovide the reasons thereof						
	i. APR				Question does not arise as petitions were submitted on time.						
	ii. True Up	-	-	-							
	iii. ARR										

	iv. Tariff Order		
		B. Tariff Order	
4	Whether Annual Performance Review time specified in accordance with the	v (APR), true up of past expenses, Average Revenue Requirement (ARR) ar Act (please indicate the date of tariff petition and date of tariff order)?	nd Tariff Orders are being issued regularly within the
	i. APR	Information for the above years already submitted.	Petitions were submitted on 30 <sup>th</sup> November, 2018.
	ii. True Up iii. ARR		The MYT Orders for FY 2019-20 to FY 2021-22 for
	iv. Tariff Order		Generation (APGCL), Transmission (AEGCL) and Distribution (APDCL) were Issued on 1st March, 2019 (after 90 days from submission of petitions).
			These MYT Orders include the Orders for APR for FY 2018-19, True Up for FY 2017-18, ARR for FY 2019-20 to FY 2021-22 and Tariff for FY 2019-20.
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	Information for the above years already submitted.	Yes
1	Annual Revenue Requirement (in Rs. Cr.)	Information for the above years already submitted.	ARR for APGCL (FY 2019-20): Rs 557.07 Cr ARR for AEGCL (Including SLDC): Rs 364.69 Cr ARR for APDCL: Rs. 5340.71 Cr.
2	Saleable Energy (in MUs)	-Do -	7930 MU
3	Average Cost of Supply (Rs./kWh)	-Do -	Rs 7.05 / kWh
4	Average Tariff (Rs./kWh)*	-Do -	Rs 7.05/ kWh
5	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs./kWh)	-Do -	There was no revenue gap for FY 2019-20. In fact, there was a surplus of Rs 448.19 Cr at the existing tariff.
6	Whether Regulatory Assets have been created?	-Do -	Does not arise.
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	-Do -	Does not arise

8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	Do -	Does not arise
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	Do -	Does not arise.
		III. Fuel & Power Purchase Cost Adjustment	
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Do -	Yes AERC (Fuel and Power Purchase Price Adjustment Formula) Regulations, 2010.
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bimonthly/quarterly)?	Do -	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Do -	There was no requirement for FPPPA during the year and therefore, not done.

#### **Delhi Electricity Regulatory Commission**

ViniyamakBhavan, C-Block, Shivalik, Malviya Nagar, New Delhi-110017

No. F.9(216)/DERC/JS/FOR/VOL-XXVII/C.F.No.6621/

Dated 21.09.2020

To

Sh. Arun Kumar
Assistant Secretary
Forum of Regulators
C/o Central Electricity Regulatory Commission
3rd& 4th Floor, Chandralok Building, 36, Janpath,
New Delhi- 110001

Sub.: Compliance of the directions of Hon'ble Appellate Tribunal for Electricity issued vide Order dated 3<sup>rd</sup> November 2014 and 23<sup>rd</sup> September 2019 in OP NO.1 of 2011- reg.

Sir,

In continuation of DERC letter no. F.9(216)/DERC/JS/FOR/VOL-XXVII/C.F.No.6621/546 dated 26.08.2020 and further to telephonic conversation on the subject, it is informed that information pertaining to FY 2019-20 as required has been incorporated in the proforma as forwarded by your office and is appended at **Annexure-I.** 

Yours faithfully,

Joint Secretary

Encls. as above



		State Electricity Regu	latory Commission (SERC)			
	Format for compilation of	of data regarding the direction	s given by APTEL through its	judgement dated 11.11	.2011	
	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
		I. Timeline	ss of Tariff Determination P	rocess		
		Α				
Tauff		for Annual Performance Revie (Yes/No and also please ment		enses, Average Revenue	Requirement (ARR) and	
I.	APR		Not conducted	by DERC		
ĬĬ.	True Up	Yes	Yes	Yes	Yes	Yes
ili.	ARR	Yes	Yes	Yes	Yes	Yes
111-						
V-	Tariff Order	Yes	Yes	Yes	Yes	Yes
V- If yes, w	hether Annual Performance per the requirements of regu	Yes Review (APR), true up of past ulation (please also provide the	expenses, Average Revenue date of filing)?	Requirement (ARR) and		Yes
V- If yes, w filed as p	hether Annual Performance per the requirements of regu APR	Review (APR), true up of past ulation (please also provide the	expenses, Average Revenue e date of filing)? No	Requirement (ARR) and	Tariff Order are being	
V- If yes, w filed as p	hether Annual Performance per the requirements of regu	Review (APR), true up of past ulation (please also provide the Yes	expenses, Average Revenue e date of filing)? No Yes	Requirement (ARR) and conducted by DERC Yes	Tariff Order are being  Yes	NA
V- If yes, w filed as p	hether Annual Performance per the requirements of regu APR	Review (APR), true up of past ulation (please also provide the	expenses, Average Revenue e date of filing)? No Yes Yes	Requirement (ARR) and conducted by DERC  Yes  Yes	Tariff Order are being  Yes  Yes	NA NA
V- If yes, w filed as p ii. iii.	thether Annual Performance per the requirements of regu APR True Up ARR Tariff Order	Review (APR), true up of past ulation (please also provide the Yes Yes Yes Yes	expenses, Average Revenue e date of filing)? No Yes Yes Yes	Requirement (ARR) and conducted by DERC Yes Yes Yes	Yes Yes Yes Yes	NA
If yes, we filed as possible iii.	thether Annual Performance per the requirements of regular APR True Up ARR Tariff Order in filing of Annual Performar	Review (APR), true up of past ulation (please also provide the Yes Yes	expenses, Average Revenue e date of filing)?  No  Yes  Yes  Yes  Yes  Ast expenses, Average Revenue	Requirement (ARR) and conducted by DERC Yes Yes Yes Yes ue Requirement (ARR) ar	Yes Yes Yes Yes And Tariff Order is	NA NA
If yes, we filed as position iii.	hether Annual Performance per the requirements of regu  APR  True Up  ARR  Tariff Order in filing of Annual Performance	Yes	expenses, Average Revenue e date of filing)?  No  Yes  Yes  Yes  Yes  Ast expenses, Average Revenue	Requirement (ARR) and conducted by DERC Yes Yes Yes Yes ue Requirement (ARR) ar	Yes Yes Yes Yes And Tariff Order is	NA NA
If yes, w filed as p ii. iii. iv. If delay beyond	hether Annual Performance per the requirements of regu  APR  True Up  ARR  Tariff Order  in filing of Annual Performar one month, whether the Resons thereof	Yes	expenses, Average Revenue e date of filing)? No Yes Yes Yes Yes ast expenses, Average Reven n any suo-motu action for de	Requirement (ARR) and to conducted by DERC Yes Yes Yes Use Requirement (ARR) and termination of tariff? If research	Yes Yes Yes Yes And Tariff Order is not, please provide	NA NA NA
If yes, we filed as position in the reas	hether Annual Performance per the requirements of regu  APR  True Up  ARR  Tariff Order in filing of Annual Performar one month, whether the Resons thereof  APR	Yes	expenses, Average Revenue e date of filing)?  Nor  Yes  Yes  Yes  Yes  ast expenses, Average Revenue	Requirement (ARR) and conducted by DERC Yes Yes Yes ue Requirement (ARR) and conducted by DERC Yes NA	Yes Yes Yes Yes And Tariff Order is Not, please provide	NA NA NA



	В.		Tariff Order					
4	Whether Annual Performance Review (A regularly within the time specified in according to the control of the con	APR), true up of past ex cordance with the Act (	penses, Average Reve please indicate the da	enue Requirement (ARR ate of tariff petition and	) and Tariff Orders are beir date of tariff order)?	ng issued		
	i. APR							
	ii. True Up	18/12/2014 (Date of last Petition		19/04/2016 (Date of last Petition received)	08/12/2017 (Date last Petition received)			
	iii. ARR iv. Tariff Order	received) 29/09/2015 (Date of Tariff Order)	No Tariff Order issued	31/08/2017 (Date of Tariff Order)	28/03/2018 (Date of Tariff Order)	NA		
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	Yes		Yes	Yes	NA		
-								
1	Annual Revenue Requirement (in Rs. Cr.)	18,990		20,336	21,553	21,792		
2	Saleable Energy (in MUs)	25,666		27,385	29,175	30,262		
3	Revenue	19,873		20,765	22,184	21,940		
4	Average Cost of Supply (Rs./kWh)	7.40	No Tariff Order issued	7.43	7.39	7.20		
5	Average Tariff (Rs./kWh)*	7.74		7.58	7.60	7.25		
6	Revenue gap between ABR and ACS per unit of only the year in consideration (in Rs./kWh)	0.34		0.16	0.22	0.05		
7	Whether Regulatory Assets have been created?		\	Yes		NA		
8	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?		Yes					
9	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?		Roadmap has been specified					

10	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?		NA			
		III.	Fuel 8	Power Purchase Cos	st Adjustment	
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes	Yes	Yes	Yes	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?		Quarterly			
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Yes	Yes	Yes	Yes	Yes

## Gujarat Electricity Regulatory Commission Submission of data for the FY 2019-20 & 2020-21 in compliance of directions given by Hon'ble APTEL in OP No. 1 of 2011

SI. No.	Particulars		FY 2019-20			FY 2020-21			
		5							
	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past		Timeline specified in Regulations	A. Tariff Filing  Date of filing petition as per Regulations	Remarks		Timeline specified in Regulations	Date of filing petition as per Regulations	Remarks
1	expenses, Average Revenue	(i) ARR / Tariff Petition	Yes	30 <sup>th</sup> Nov.	-	(i) ARR / Tariff Petition	Yes	30 <sup>th</sup> Nov.	-
	Requirement (ARR) and Tariff Order specified in Tariff Regulations?	(ii) APR	Not Applicable		-	(ii) APR	Not Ap	plicable	-
		(iii) True up	Yes	30 <sup>th</sup> Nov.	-	(iii) True up	Yes	30 <sup>th</sup> Nov.	-
		(iv) Mid-Term Review	Yes	30 <sup>th</sup> Nov.	-	(iv) Mid-Term Review	Not Ap	plicable	-
			Petitions Filing as per Regulations	Actual date of filing petition	-		Petitions Filing as per Regulations	Actual date of filing petition	-
2	Whether petitions for APR, True up of past expenses and ARR / Tariff are	(i) ARR / Tariff for FY 2019- 20	30 <sup>th</sup> Nov.	As per <b>Annexure A</b>	-	(i) ARR / Tariff for FY 2020- 21	30 <sup>th</sup> Nov.	As per <b>Annexure A</b>	-
_	being filed as per the requirements	(ii) APR for FY 2018-19	Not Ap	plicable	-	(ii) APR for FY 2019-20	Not Ap	plicable	-
	of regulation?	(iii) True up for FY 2017-18	30 <sup>th</sup> Nov.	As per <b>Annexure A</b>	-	(iii) True up for FY 2018-19	30 <sup>th</sup> Nov.	As per <b>Annexure A</b>	-
		(iv) Mid-Term Review	30 <sup>th</sup> Nov.	As per <b>Annexure</b> <b>A</b>	-	(iv) Mid-Term Review	Not Ap	plicable	-

SI. No.	Particulars		FY 2019-20				FY 2020-21		
	If delay in filing of APR, True up of past expenses and ARR is beyond one month, whether the Regulatory		Whether Suo Motu action has been initiated	Date of Suo Motu action	Reason for not initiating Suo Motu action		Whether Suo Motu action has been initiated	Date of Suo Motu action	Reason for not initiating Suo Motu action
3	Commission has taken any suo- motu action for determination of	(i) ARR / Tariff for FY 2019- 20	As	per <b>Annexure B</b>		(i) ARR / Tariff for FY 2020- 21	As	per <b>Annexure B</b>	
	tariff? If not, please provide the reasons thereof	(ii) APR for FY 2018-19		Not Applicable		(ii) APR for FY 2019-20		Not Applicable	
		(iii) True up for FY 2017-18	As per <b>Annexure B</b>			(iii) True up for FY 2018-19	As per <b>Annexure B</b>		
		(iv) Mid-Term Review	Not Applicable			(iv) Mid-Term Review	Not Applicable		
				B. Tariff Order					
			Orders issued in time?	Actual date of order	Reason for delay, if any		Orders issued in time?	Actual date of order	Reason for delay, if any
4	Whether orders for APR, True up of past expense and Tariff are being issued regularly within the time	(i) ARR / Tariff for FY 2019- 20		ed within the time ations as at <b>Annex</b>	•	(i) ARR / Tariff for FY 2020- 21	As	per <b>Annexure C</b>	
	specified in the Regulations?	(ii) APR for FY 2018-19		Not Applicable		(ii) APR for FY 2019-20		Not Applicable	
		(iii) True up for FY 2017-18	As per <b>Annexure C</b>			(iii) True up for FY 2018-19	As	per <b>Annexure C</b>	
		(iv) Mid-Term Review	As	per <b>Annexure C</b>		(iv) Mid-Term Review		Not Applicable	
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?		No. The applicability of Tariff Order is until the next Tariff Order is issu				s issued.		

SI. No.	Items	FY 2019-20	FY 2020-21		
	II. Adequacy of Tariff				
1	Annual Revenue Requirement (in Rs. Cr.)				
2	Saleable Energy (in MUs)				
3	Average Cost of Supply (Rs./kWh)	As per <b>A</b> n	inexure D		
4	Average Tariff (Rs./kWh)				
5	Revenue gap between ARR and ACS per unit				
6	Whether Regulatory Assets have been created?	No regulatory assets are built up in the utilities as the Commission is regularly giving the tariff orders and addressing the revenue gap through tariff increase.			
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	Not Applicable	Not Applicable		
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	Not Applicable	Not Applicable		
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	Not Applicable	Not Applicable		
	III. Fuel & Power Purchase Cost Ad	ljustment			
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	<ul> <li>The Fuel Surcharge Adjustment formula / mechanism is not provided in regulation.</li> <li>However, the FPPPA mechanism in already in place in Gujarat.</li> </ul>			
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bimonthly/quarterly)?	Quarterly	Quarterly		
3	Whether Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Yes	Yes		

#### Annexure A

Actual Date of filing of Petitions for true up of past expenses and Average Revenue Requirement (ARR); and date of Tariff Orders

Sr.			FY 2019-20					FY 2020-21			
Sr. No.	Name of Utility	Date of Filing	Date of Registration	Case No.	Date of Tariff Order	Date of Filing	Date of Registration	Case No.	Date of Tariff Order		
					Distribution	n Licensee					
1	Dakshin Gujarat Vij Company Ltd. (DGVCL)	15.01.2018	17.01.2018	1760/2018  Truing up for FY 2017-18, Mid-Term Review of ARR for FY 2019-20 to FY 2020-21 and Determination of Tariff for FY 2019-20	24.04.2019	30.11.2019	11.12.2019	1840/2019 Truing up for FY 2018-19 and Determination of Tariff for FY 2020-21	31.03.2020		
2	Madhya Gujarat Vij Company Ltd. (MGVCL)	15.01.2018	17.01.2018	1761/2018  Truing up for FY 2017-18, Mid-Term Review of ARR for FY 2019-20 to FY 2020-21 and Determination of Tariff for FY 2019-20	24.04.2019	30.11.2019	11.12.2019	1841/2019 Truing up for FY 2018-19 and Determination of Tariff for FY 2020-21	31.03.2020		
3	Paschim Gujarat Vij Company Ltd. (PGVCL)	15.01.2018	17.01.2018	1762/2018 Truing up for FY 2017-18, Mid-Term Review of ARR for FY 2019-20 to FY 2020-21 and Determination of Tariff for FY 2019-20	24.04.2019	30.11.2019	11.12.2019	1842/2019 Truing up for FY 2018-19 and Determination of Tariff for FY 2020-21	31.03.2020		
4	Uttar Gujarat Vij Company Ltd. (UGVCL)	15.01.2018	17.01.2018	1759/2018 Truing up for FY 2017-18, Mid-Term Review of ARR for FY 2019-20 to FY 2020-21 and Determination of Tariff for FY 2019-20	24.04.2019	30.11.2019	11.12.2019	1839/2019 Truing up for FY 2018-19 and Determination of Tariff for FY 2020-21	31.03.2020		
5	Torrent Power Ltd Distribution (Ahmedabad) : TPL-D (A)	30.12.2017	03.01.2018	1764/2018  Truing up for FY 2017-18, Mid-Term Review of ARR for FY 2019-20 to FY 2020-21 and Determination of Tariff for FY 2019-20	24.04.2019	30.11.2019	04.12.2019	1844/2019 Truing up for FY 2018-19 and Determination of Tariff for FY 2020-21	31.03.2020		

Sr.			FY 2019-20					FY 2020-21	
No.	Name of Hillity	Date of Filing	Date of Registration	Case No.	Date of Tariff Order	Date of Filing	Date of Registration	Case No.	Date of Tariff Order
6	Torrent Power Ltd Distribution (Surat) : TPL- D (S)	30.12.2017	03.01.2018	1765/2018  Truing up for FY 2017-18, Mid-Term Review of ARR for FY 2019-20 to FY 2020-21 and Determination of Tariff for FY 2019-20	24.04.2019	30.11.2019	04.12.2019	1845/2019 Truing up for FY 2018-19 and Determination of Tariff for FY 2020-21	31.03.2020
					SEZ Lic	ensee			
7	Torrent Power Limited - Distribution (Dahej)	30.12.2017	03.01.2018	1766/2018  Truing up for FY 2017-18, Mid-Term Review of ARR for FY 2019-20 to FY 2020-21 and Determination of Tariff for FY 2019-20	17.07.2019	30.11.2019	04.12.2019	1846/2019 Truing up for FY 2018-19 and Determination of Tariff for FY 2020-21	31.03.2020
8	MPSEZ Utilities Private Limited (MUPL)	15.12.2017	19.12.2017	1772/2018  Truing up for FY 2017-18, Mid-Term Review of ARR for FY 2019-20 to FY 2020-21 and Determination of Tariff for FY 2019-20	30.07.2019	12.12.2019	18.12.2019	1850/2019 Truing up for FY 2018-19 and Determination of Tariff for FY 2020-21	03.04.2020
9	GIFT Power Company Ltd. (GIFT PCL)	06.03.2018	14.03.2018	1777/2019 Mid-Term Review of ARR for FY 2019-20 to FY 2020-21 and Determination of Tariff for FY 2019-20	31.07.2019	30.11.2019	04.01.2020	1851/2020 Truing up for FY 2018-19 and Determination of Tariff for FY 2020-21	In process
10	Aspen Infrastructures Limited (AIL)	31.01.2018	07.03.2018	1778/2019 Truing up for FY 2017-18, Revised ARR for FY 2019-20 and Determination of Tariff for FY 2019-20	31.07.2019	15.01.2020	18.01.2020	1853/2020 Truing up for FY 2018-19 and Determination of Tariff for FY 2020-21	04.04.2020

#### Annexure B

The State distribution utilities have been filing their tariff petitions every year since 2005-06. The Commission has taken effective steps to ensure that state distribution utilities file their petitions in time for the respective year. In case of delay, the Commission had been asking them to file their petition.

	Nama of Heility	FY 2020-21								
	Name of Utility	Reasons put forward by utilities for delay in the filing of Tariff Petitions for FY 2020-21	Action taken by the Commission							
		Distribution Licensee								
1	DGVCL / MGVCL / PGVCL / UGVCL	Petition was filed on time.								
2	TPL - Distribution (Ahmedabad & Surat)	Petition was filed on time.	No Action Taken.							
		SEZ Licensee								
3	TPL-D(Dahej) and GIFT PCL	Petition was filed on time.	No Action Taken.							
4	MPSEZ Utilities Private Limited (MUPL)	MUPL requested the Commission to grant extension up to 31.12.2019 for filing of their Petition.	• The Commission granted the time limit extension for filing the Petition up to 31.12.2019.  • MUPL filed their petition on 12.12.2019.							
5	Aspen Infrastructures Limited (AIL)	AlL requested the Commission to grant extension up to 15.01.2020 for filing of their Petition.	<ul> <li>The Commission granted the time limit extension for filing the Petition up to 15.01.2020.</li> <li>AlL filed their petition on 15.01.2020.</li> </ul>							

#### Annexure C

Sr.					FY 2019-20
No.	Name of Utility	Date of Filing	Date of Registration	Date of Tariff Order	Reason for delay, if any
1	Dakshin Gujarat Vij Company Ltd. (DGVCL)	30.11.2019	11.12.2019	31.03.2020	
2	Madhya Gujarat Vij Company Ltd. (MGVCL)	30.11.2019	11.12.2019	31.03.2020	
3	Paschim Gujarat Vij Company Ltd. (PGVCL)	30.11.2019	11.12.2019	31.03.2020	Licensees (except MUPL & AIL) filed their petitions for Truing up of FY 2018-19 and
4	Uttar Gujarat Vij Company Ltd. (UGVCL)	30.11.2019	11.12.2019	04 00 0000	Determination of Tariff for FY 2020-21 within the time-limit stipulated in the Regulations. The
5	Torrent Power Ltd. – Distribution (Ahmedabad): TPL-D (A)	30.11.2019	04.12.2019	31.03.2020	Commission issued the Tariff Orders on 31.03.2020 within the time limit as specified in the
6	Torrent Power Ltd. – Distribution (Surat): TPL-D (S)	30.11.2019	04.12.2019		GERC (MYT) Regulations, which is with effective from 01.04.2020. In the case of GIFT PCL, the
7	Torrent Power Ltd. – Distribution (Dahej)	30.11.2019	04.12.2019	31.03.2020	Commission has asked some additional details with related to their tariff petition. After
8	MPSEZ Utilities Private Limited (MUPL)	12.12.2019	18.12.2019	03.04.2020	submission of those details, the Commission will issue the Tariff Order.
9	GIFT Power Company Ltd. (GIFT PCL)	30.11.2019	04.01.2020	In Process	
10	Aspen Infrastructures Limited (AIL)	15.01.2020	18.01.2020	04.04.2020	

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				FY 2019-20				FY 2020-21					
Sr. No.	Name of Utility	ARR (in Rs. Cr.)	Saleable Energy (In MUs)	Avg. Cost of Supply (Rs. / kWh)	Avg. Tariff (Rs. / kWh)	Revenue Gap / (Surplus) between ACS & Avg. Tariff per unit	ARR (in Rs. Cr.)	Saleable Energy (In MUs)	Avg. Cost of Supply (Rs. / kWh)	Avg. Tariff (Rs. / kWh)	Revenue Gap / (Surplus) between ACS & Avg. Tariff per unit		
						State Owne	d DISCOMs						
1	Dakshin Gujarat Vij Company Ltd. (DGVCL)*	12437	19297	6.445	6.574	(0.13)	13906	20305	6.849	6.634	0.21		
2	Madhya Gujarat Vij Company Ltd. (MGVCL)*	6230	10446	5.964	6.060	(0.10)	6825	11076	6.162	6.114	0.05		
3	Paschim Gujarat Vij Company Ltd. (PGVCL)*	16805	29668	5.664	5.659	0.01	18612	31859	5.842	5.774	0.07		
4	Uttar Gujarat Vij Company Ltd. (UGVCL)*	11548	22968	5.028	5.252	(0.22)	13009	24584	5.292	5.318	(0.03)		
							deferred FPPF	A charges of I	as adjusted this FY 2018-19 , wh erefore, to that	ich had been re	ecovered in the		
					Pı	ivate Distrib	ution Licen	see					
5	Torrent Power Limited – Ahd. (TPL-A)	5374	8481	6.337	6.350	(0.01)	5978	8481	7.049	7.044	0.01		
6	Torrent Power Limited – Surat (TPL-S)	2108	3504	6.016	6.312	(0.30)	2249	3504	6.418	6.416	0.00		
						SEZ Lic	ensee						
7	Torrent Power Ltd. – Distribution (Dahej)	169.84	446	3.808	3.788	0.02	200	489	4.091	4.051	0.04		
8	MPSEZ Utilities Private Limited (MUPL)	181.34	366	4.955	5.245	(0.29)	195.20	377	5.184	5.257	(0.07)		
9	Aspen Infrastructures Ltd. (AIL)	7.69	11	6.991	7.136	(0.15)	7.64	11	6.858	7.361	(0.50)		
10	GIFT Power Company Ltd. (GIFT PCL)	28.62	32	8.944	7.394	1.55			Is in Process	S			



## HARYANA ELECTRICITY REGULATORY COMMISSION Bays No. 33 - 36, Sector – 4, Panchkula-134109 Telephone No. 0172-2582531; Fax No. 0172-2572359

Website: - http://herc.gov.in

To

By Email

The Secretary,
Forum of Regulators (FOR)
C/o Central Electricity
Regulatory Commission (CERC)
3<sup>rd</sup> & 4<sup>th</sup> Floor, Chanderlok Building,
36, Janpath, New Delhi-110001

Fax: 011-23753920

Email: asecy.for@gmail.com, ankit.cerc@gmail.com

Memo No. 536 /HERC/Tariff/

Dated:- 16 08 262

Subject:-

Compliance of the directions of Appellate Tribunal for Electricity issued vide Order dated 3rd November 2014 and 23rd September 2019 in OP No.1 of 2011-reg.

Please refer to your email no. 15/9(1)/2011/APTEL-TA/FOR/CERC/Vol-VI dated 05th August, 2020, seeking report for the FY 2019-20 in the specified format, as per the Order no. Op 1 of 2011 of Hon'ble APTEL for onward submission to APTEL before 13.08.2020.

Accordingly, the information desired in the prescribed format is enclosed, for further necessary action.

Director (Tariff) HERC, Panchkula

		ana Electricity Regulatory Commission (HERC)
SI.		garding the directions given by APTEL through its judgement dated 11.11.2011
No.		FY 2019-20
	l.	Timeliness of Tariff Determination Process
	Mark to the first the second	A. Tariff Filing
1		Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff in Tariff Regulations (Yes/No and also please mention the timelines)?
	i. APR	Yes (November 30 of each year of the relevant year of the controlling period)
	ii. True Up	Along with the ARR Petition
	III. ARR	Yes (November 30 of each year proedeing the first year of the relevant year of the control period)
	iv. Tariff Order	Yes (120 days from the date of Acceptance of filing but by 1st April of the 1st year of the control period in any case)
2	If yes, whether Annual Performance Review (APR), true up of pa-	t expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?
	L APR	Yes (30.11.2018)
	ii. True Up	Yes (30.11.2018)
	iii. ARR	Yes (30.11.2018)
_	lv. Tariff Order	Yes (07.03.2019)
3	Commission has taken any su	past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory -motu action for determination of tariff? If not, please provide the reasons thereof
	i. APR	Utilities have filed ARR Petitions in time, therefore there was no need of the Commission to initiate suo-motu proceeding
	ii. True Up	for tariff determination in accordance with Sec 64 of the Act.
	iii. ARR iv. Tariff Order	
	iv. Tariff Order	B. Tariff Order
		D. Lette Order
4		penses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in e Act (please indicate the date of tariff petition and date of tariff order)?
	i. APR	Date of Tariff Petition: 30.11.2018 Date of Tariff Order: 07.03.2019
	ii. True Up	Date of Tariff Petition: 30.11.2018 Date of Tariff Order: 07.03.2019
	iii. ARR	Date of Tariff Petition: 30.11.2018 Date of Tariff Order: 07.03.2019
	iv. Tariff Order	Date of Tariff Petition: 30.11.2018 Date of Tariff Order: 07.03.2019
	Reasons for delay (if any)	Nil
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	Tariff Orders of the Commission remain effective until these are revised/amended by the Commission by next Order.
6	Others	
a	Annual Revenue Requirement (in Rs. Cr.)	ARR for the FY 2019-20: Rs. 28805.08 Crore
b	Saleable Energy (in MUs)	41786.45
c	Average Cost of Supply (Rs./kWh) a/b*10	6.89
	Projected Revenue (Rs in crore)	29,451.05
.ii	Average Tariff (Rs./kWh) d.i/b*10	7.05
е	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs./kWh)	-0.15
f	Whether Regulatory Assets have been created?	No
g=	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	Not Applicable
h	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	Not Applicable
ī	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NII.
-		II. Fuel & Power Purchase Cost Adiustment
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Formulae/mechanism for determining fuel and power purchase cost has been provided in Regulation Clause No. 56 of HERC (Terms and Conditions for determination of Tariff for generation, transmission, wheeling and Distribution 8
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Retail Supply under Multi Year Tariff Framework), Regulations 2019.  Quarterly
	Fuel Surcharge Adjustment being done as per the	Yes, it is being done as per Regulations.

			Himach	al Pradesh Elect	ricity Regulatory	/ Commission (HPE	RC)	
						,		
			Format for comp		garding the directed the directed the dated 11.11.2	tions given by APTEL	through its	
SI.				juugiii	dated 11:11:			
No.	Particu	lars	2015-16	2016-17	2017-18	2018-19	2019-20	2020-21
				l.	Timeliness of 1	ariff Determination	Process	
					A. Ta	riff Filing		
1			• .		•	R), true up of past ex ease mention the tim		enue Requirement
	i.	APR	Yes (on or before November 30	Yes (on or before November	Yes (on or before November	Yes (on or before November 30	Yes (on or before November 30	Yes (on or before November 30
			of each year)	30 of each year)	30 of each year)	of each year)	of each year)	of each year)
	ii. Up	True	Along with the APR Petition	Along with the APR Petition	Along with the APR Petition	Along with the APR Petition	Along with the APR Petition	Along with the APR Petition
	iii.	ARR	Yes (on or before November 30 of each year)	Yes (on or before November 30 of each year)	Yes (on or before November 30 of each year)	Yes (on or before November 30 of each year)	Yes (on or before November 30 of each year)	Yes (on or before November 30 of each year)
	iv. Order	Tariff	Yes (120 days from the date of Acceptance of filing)	Yes (120 days from the date of Acceptance of filing)	Yes (120 days from the date of Acceptance of filing)	Yes (120 days from the date of Acceptance of filing)	Yes (120 days from the date of Acceptance of filing	Yes (120 days from the date of Acceptance of filing
2				Review (APR), true	up of past expen	ses, Average Revenue the date of filing)?	e Requirement (ARR)	and Tariff Order
	i.		28 November 2014	· ·	30 November, 2016	30 November, 2017	30 November, 2018	30 November, 2019
	ii. Up	True	30 November 2017	30 November, 2018	30 November, 2019	Not yet filed	Not yet filed	Not Applicable
	iii.	ARR	28 November	28 November,	30 November,	30 November, 2017	30 November, 2018	30 November, 2019

		•	2014	2015	2016								
	iv.	Tariff	10 April, 2015	25 May, 2016	17 April, 2017	04 May, 2018	29 June, 2019	06 June, 2020					
	Order												
3	If delay in	filing of	Annual Performand	ce Review (APR), t	rue up of past exp	enses, Average Reve	nue Requirement (AF	RR) and Tariff					
	Order is b	eyond or	ne month, whether	the Regulatory C	ommission has tak	en any suo- motu ac	tion for determinatio	n of tariff? If					
	not, pleas	not, please provide the reasons thereof											
	i.	APR											
	ii.	True	NO	NO	NO	NO	NO	NO					
	Up												
	iii.	ARR											
	iv.	Tariff	1										
	Order												

#### B. Tariff Order

Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?

1	tariii order):						
	i. APR	Date of Issue of	Date of	Date of Issue	Date of Issue of	Date of Issue of	Date of Issue of
	ii. True	<u>Tariff Order:</u>	<u>Issue of</u>	of Tariff Order	<u>Tariff</u> Order	<u>Tariff</u> <u>Order</u>	<u>Tariff</u> Order
	Up	10.04.2015	<u>Tariff Order:</u>				
	iii. ARR	True Up Order:	25.05.2016	17.04.2017	04.05.2018	29.06.2019	06.06.2020
	iv. Tariff	04.05.2018	True Up Order:	True Up Order:			
	Order		29.06.2019	06.06.2020			
5	Whether the	March 31,	March 31,	March 31,	March 31, 2019	March 31, 2020	March 31, 2021
	applicability of	2016 until	2017 until	2018 until	•	until allowed to	until allowed to
	Tariff is till the	allowed to be	allowed to be	allowed to be		be continued by	be continued by
	end of the	continued by	continued by	continued by	•	the Commission	the Commission
	financial year	the	the	the	the Commission	the Commission	the Commission
	(Yes/No)?	Commission	Commission	Commission			
	(res/No):	Commission	Commission	Commission	T	T	
					True up not done	True up not done	
	REMARKS				as the DISCOM's	as the DISCOM's	
					Audited Accounts	<b>Audited Accounts</b>	
					are not available	are not available	
1	Annual Revenue	4279.39	4657.73	4573.01	4829.8	4976.5	4804.6
	Requirement (in						
	Rs.						
	Cr.)						
2	Saleable Energy (in	8438	8650	8436	8638	9101	8663
	MUs)						
3	Average Cost of	5.07	5.39	5.42	5.59	5.47	5.54
	Supply (Rs./kWh)						
4	Average Tariff	5.17	5.39	5.42	5.59	5.47	5.54
	(Rs./kWh)*						
5	Revenue gap	None	None	None	None	None	None
	between ARR and						
	ACS per unit						
	of only						
<u> </u>	OI OIIIY						

	the year in consideration (in Rs./kWh)						
6	Whether Regula tory Assets	<u>NO</u>	<u>NO</u>	<u>NO</u>	<u>NO</u>	<u>NO</u>	<u>NO</u>
7	If yes, whether the creation of Regulatory Assets is in line with the	NA	NA	NA	NA	NA	NA

	National Tariff Policy?						
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA	NA	NA	NA	NA	NA
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NA	NA	NA	NA	NA	NA
III. Fuel &	Power Purchase Cost	Adjustment					
1	Whether Fuel Surcharge Adjustment formula/mecha nism provided in regulation (Yes/No)?	Yes	Yes	Yes	Yes	Yes	Yes
2	Frequency of adjustment of Fuel Surcharge as per the	Quarterly	Quarterly	Quarterly	Quarterly	Quarterly	Quarterly

	regulations (monthly/ bi- monthly/qua rterly)?						
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Need not arose					



# संयुक्त विद्युत विनियामक आयोग

(गोवा राज्य और संघ राज्य क्षेत्र)

#### JOINT ELECTRICITY REGULATORY COMMISSION

(For the State of Goa and Union Territories)

File No.: JERC/RA-1/1 / 136

Dated: 25.08.2020

To,

The Assistant Secretary (FOR)
3rd & 4th Floor
Chanderlok Building
36, Janpath
New Delhi-110001

Sub.: Compliance of the directions of Appellate Tribunal for Electricity issued vide Order dated 3<sup>rd</sup> November, 2014 and 23<sup>rd</sup> September, 2019 in OP No.1 of 2011- Reg.

Sir,

Please refer your E-mail dated 05<sup>th</sup> August, 2020 on subject cited above.

The duly filled format for FY 2015-16 to FY 2019-20 are attached herewith as Annexure -1 for your information and necessary action please.

Yours sincerely,

(Rajesh Dangi)
Director (Engineering)

Encl.:- As above.

## JOINT ELECTRICITY REGULATORY COMMISSION

Compiled data regarding the directions given by APTEL through its judgement dated 11.11.2011

SL. No.	Territory	Page No.
1	GOA	2
2	ANDAMAN & NICOBAR ISLANDS	5
3	LAKSHADWEEP	8
4	CHANDIGARH	11
5	PUDUCHERRY	14
6	DAMAN & DIU	17
7	DNHPDCL	20

Goa

SI.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20							
No.													
		I. Timelir	ess of Tariff Determinat	ion Process									
			A. Tariff Filing										
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order												
	specified in Tariff Regulations (Yes/No and also please mention the timelines)?												
	i. APR	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018							
	ii. True Up	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018							
	iii. ARR		<u> </u>	· ·	· ·	•							
		Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018							
	iv. Tariff Order	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018							
_	Tre transfer												
2		formance Review (APR), true up of		Revenue Requirement (A	RR) and Tariff Order are	being filed as per the							
		n (please also provide the date of fil		T	1	T							
	i. APR	Yes, 14.01.2015*	Yes, 18.02.2016*	Yes, 27.12.2016*	Yes, 07.12.2017*	Yes, 30.11.2018							
	ii. True Up	Yes, 14.01.2015**	Yes, 18.02.2016***	Yes, 27.12.2016***	Yes, 07.12.2017***	Yes, 30.11.2018***							
	iii. ARR	Yes, 14.01.2015*	Yes, 18.02.2016*	Yes, 27.12.2016*	Yes, 07.12.2017*	Yes, 30.11.2018							
	iv. Tariff Order	Yes, 14.01.2015*	Yes, 18.02.2016*	Yes, 27.12.2016*	Yes, 07.12.2017*	Yes, 30.11.2018							
ì													
3	If delay in filing of Annual	Performance Review (APR), true up	of past expenses, Averag	ge Revenue Requirement	t (ARR) and Tariff Order i	s beyond one month,							
	whether the Regulatory Co	ommission has taken any Suo-motu	action for determination	of tariff? If not, please p	provide the reasons ther	eof							
	i. APR	The Commission has	The Commission has	The Commission has	The Commission has	The Commission has							
		not taken any Suo-	not taken any Suo-	not taken any Suo-	not taken any Suo-	not taken any Suo-							
		motu action	motu action	motu action	motu action	motu action							
			i	1.	1.								
		however, the	however, the	however, the	however, the	however, the							
		however, the Commission gave	· ·	however, the Commission gave	however, the Commission gave	however, the Commission gave							
		,	Commission gave	•	· ·	· · · · · · · · · · · · · · · · · · ·							

	ii. True Up  iii. ARR  iv. Tariff Order	The Commission has not taken any Suomotu action however, the Commission gave Directive to file in time.	The Commission has not taken any Suomotu action however, the Commission gave Directive to file in time.	The Commission has not taken any Suomotu action however, the Commission gave Directive to file in time.	The Commission has not taken any Suomotu action however, the Commission gave Directive to file in time.	The Commission has not taken any Suomotu action however, the Commission gave Directive to file in time.
			B. Tariff Order			
4	Whether Annual Performance Review					g issued regularly
	within the time specified in accordance	e with the Act (please inc	licate the date of tariff p	etition and date of tarif	f order)?	
	i. APR	APR Order not issued				
		since data was not				
		found Correct.				
	ii. True Up	The True Up Petition				
		was not filed due to				
		unavailability of				
		audited accounts.				
	iii. ARR	06.04.2015	18.04.2016	23.05.2017	28.03.2018	20.05.2019
	iv. Tariff Order	06.04.2015	18.04.2016	23.05.2017	28.03.2018	20.05.2019
			T	T	I	I
5	Whether the applicability of Tariff is	Yes	Yes	Yes	Yes	Yes
	till the end of the financial year					
	(Yes/No)?					
1	Annual Revenue Requirement (in Rs.	1323.73	1694.13	1845.32	1952.54	2212.23
1	Cr.)	1525./5	1054.15	1045.52	1552.54	2212.23
2	Saleable Energy (in MUs)	3233	3352.16	3494.71	3644.93	3979.76
3	Average Cost of Supply (Rs./kWh)	4.09	5.05	5.28	5.36	5.56
4	Average Tariff (Rs. /kWh)	3.52	4.51	4.47	4.49	4.73
5	Revenue gap between ARR and ACS	0.57	0.54	0.81	0.87	0.83

	per unit of only the year in consideration (in Rs. /kWh)					
6	Whether Regulatory Assets have been created?	No, Budgetary Support was available.				
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA	NA	NA	NA	NA
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA	NA	NA	NA	NA
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NA	NA	NA	NA	NA
		III. Fuel &	Power Purchase Cost A	djustment	<u> </u>	
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes	Yes	Yes	Yes	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly	Quarterly	Quarterly	Quarterly	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Yes	Yes	Yes	Yes	Yes

<sup>\*</sup> Tariff Petition was filed as per regulation, but timeline was slightly slipped.

<sup>\*\*</sup> True Up Petition of FY 2015-16 was filed on 11<sup>th</sup> December, 2019.

<sup>\*\*\*</sup> True Up Petition is not yet filed by the Petitioner.

#### **A&N** Islands

SI.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20		
No.								
		I. Timelin	ess of Tariff Determinat	ion Process				
			A. Tariff Filing					
1	_	g petitions for Annual Performance I		past expenses, Average	Revenue Requirement (	ARR) and Tariff Order		
	specified in Tariff Regulation	ons (Yes/No and also please mentio	n the timelines)?					
	i. APR	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018		
	ii. True Up	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018		
	iii. ARR	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018		
	iv. Tariff Order	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018		
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the							
		n (please also provide the date of fil		<del></del>	T	1		
	i. APR	Yes, 17.11.2014	Yes,06.02.2016*	Yes,17.12.2016*	Yes,30.11.2017	Yes,30.01.2019*		
	ii. True Up	Yes, 17.11.2014**	Yes,06.02.2016***	Yes,17.12.2016****	Yes,30.11.2017****	Yes,30.01.2019****		
	iii. ARR	Yes, 17.11.2014	Yes,06.02.2016*	Yes,17.12.2016*	Yes,30.11.2017	Yes,30.01.2019*		
	iv. Tariff Order	Yes, 17.11.2014	Yes,06.02.2016*	Yes,17.12.2016*	Yes,30.11.2017	Yes,30.01.2019*		
3		Performance Review (APR), true up						
	whether the Regulatory Co	ommission has taken any Suo-motu	action for determinatio	n of tariff? If not, please	provide the reasons the	reof		
	i. APR	-	-	-	-	-		
	ii. True Up	The Commission has	The Commission has	The Commission has	The Commission has	The Commission		
		not taken any Suo-	not taken any Suo-	not taken any Suo-	not taken any Suo-	has not taken any		
		motu action	motu action	motu action	motu action	Suo-motu action		
		however, the	however, the	however, the	however, the	however, the		

	Commission gave Directive to file in	Commission gave			
	time.	time.	time.	time.	time.
iii. ARR	-	-	-	-	-
iv. Tariff Order	-	-	-	-	_
		B. Tariff Order			
Whether Annual Performance Review		-			g issued regularly
within the time specified in accordance i. APR	31.03.2015	06.04.2016	29.03.2017	26.02.2018	20.05.2019
ii. True Up	The True Up Petition	The True Up			
	was not filed due to	Petition was not			
	unavailability of	unavailability of	unavailability of	unavailability of	filed due to
	audited accounts.	audited accounts.	audited accounts.	audited accounts.	unavailability of
					audited accounts
iii. ARR	31.03.2015	06.04.2016	29.03.2017	26.02.2018	20.05.2019
iv. Tariff Order	31.03.2015	06.04.2016	29.03.2017	26.02.2018	20.05.2019
Whether the applicability of Tariff is	Yes	Yes	Yes	Yes	Yes
till the end of the financial year					
(Yes/No)?					
, , , ,					
Annual Revenue Requirement (in Rs.	652.32	476.80	489.92	502.25	747.85
Cr.)					
Saleable Energy (in MUs)	234.20	252.60	265.32	278.87	295.05
Average Cost of Supply (Rs./kWh)	28.02	18.88	18.47	18.01	25.35
Average Tariff (Rs. /kWh)	5.40	5.48	5.72	6.15	6.89
Revenue gap between ARR and ACS	22.63	13.40	12.75	11.86	18.46
per unit of only the year in					
consideration (in Rs. /kWh)					
Whether Regulatory Assets have	No, Budgetary	No, Budgetary	No, Budgetary	No, Budgetary	No, Budgetary
been created?	Support was	Support was	Support was	Support was	Support was
	available.	available.	available.	available.	available.

7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA	NA	NA	NA	NA		
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA	NA	NA	NA	NA		
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NA	NA	NA	NA	NA		
		III. Fuel &	uel & Power Purchase Cost Adjustment					
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes	Yes	Yes	Yes	Yes		
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly	Quarterly	Quarterly	Quarterly	Quarterly		
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	No, Not applicable due to Diesel Generation.						

<sup>\*</sup> Tariff Petition was filed as per regulation, but timeline was slightly slipped.

<sup>\*\*</sup> True Up Petition of FY 2015-16 was filed on  $30^{th}$  January, 2019.

<sup>\*\*\*</sup> True Up Petition of FY 2016-17 was filed on 12<sup>th</sup> December, 2019.

<sup>\*\*\*\*</sup> True Up Petition is not yet filed by the Petitioner.

## Lakshadweep

	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
No.						
		I. Timeline	ess of Tariff Determination	on Process		
			A. Tariff Filing			
1		g petitions for Annual Performance F		past expenses, Average	Revenue Requirement (	ARR) and Tariff Order
	specified in Tariff Regulati	ions (Yes/No and also please mentio	n the timelines)?			
	i. APR	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018
			·		•	<u> </u>
	ii. True Up	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018
	iii. ARR	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018
	iv. Tariff Order	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018
	i. APR	1				
	·	ation (please also provide the date of		T	_	
	I. APK	Not Filed	Not Filed	Not Filed	Not Filed	Not Filed
	ii. True Up	Filed on 31	Filed on 31	Not Filed Not Filed	Not Filed Not Filed	Not Filed Not Filed
				11111111111		
		Filed on 31	Filed on 31	11111111111		
	ii. True Up	Filed on 31 December 2019	Filed on 31 December 2019	Not Filed	Not Filed	Not Filed
	ii. True Up iii. ARR iv. Tariff Order	Filed on 31 December 2019 10 Feb 2015	Filed on 31 December 2019 15 Jan 2016	Not Filed  28 Nov 2016	Not Filed  16 <sup>th</sup> Jan 2018	Not Filed  28 <sup>th</sup> Dec 2018
3	ii. True Up iii. ARR iv. Tariff Order	Filed on 31 December 2019	Filed on 31 December 2019 15 Jan 2016	Not Filed  28 Nov 2016	Not Filed  16 <sup>th</sup> Jan 2018	Not Filed  28 <sup>th</sup> Dec 2018
3	ii. True Up  iii. ARR  iv. Tariff Order  If delay in filing of Annual	Filed on 31 December 2019 10 Feb 2015	Filed on 31 December 2019 15 Jan 2016 of past expenses, Average	Not Filed  28 Nov 2016  e Revenue Requiremen	Not Filed  16 <sup>th</sup> Jan 2018  t (ARR) and Tariff Order	Not Filed  28 <sup>th</sup> Dec 2018  is beyond one month,
3	ii. True Up  iii. ARR  iv. Tariff Order  If delay in filing of Annual	Filed on 31 December 2019 10 Feb 2015  Performance Review (APR), true up	Filed on 31 December 2019 15 Jan 2016 of past expenses, Average	Not Filed  28 Nov 2016  e Revenue Requiremen	Not Filed  16 <sup>th</sup> Jan 2018  t (ARR) and Tariff Order	Not Filed  28 <sup>th</sup> Dec 2018  is beyond one month,
3	ii. True Up  iii. ARR iv. Tariff Order  If delay in filing of Annual whether the Regulatory C	Filed on 31 December 2019 10 Feb 2015  Performance Review (APR), true up commission has taken any Suo-motu	Filed on 31 December 2019 15 Jan 2016 of past expenses, Averagaction for determination	Not Filed  28 Nov 2016  e Revenue Requiremen of tariff? If not, please	Not Filed  16 <sup>th</sup> Jan 2018  t (ARR) and Tariff Order provide the reasons the	Not Filed  28 <sup>th</sup> Dec 2018  is beyond one month,
3	ii. True Up  iii. ARR iv. Tariff Order  If delay in filing of Annual whether the Regulatory C	Filed on 31 December 2019 10 Feb 2015  Performance Review (APR), true up ommission has taken any Suo-motu The Commission has	Filed on 31 December 2019 15 Jan 2016  of past expenses, Averag action for determination The Commission has	Not Filed  28 Nov 2016  Re Revenue Requirement of tariff? If not, please The Commission has	Not Filed  16 <sup>th</sup> Jan 2018  t (ARR) and Tariff Order provide the reasons the The Commission has	Not Filed  28 <sup>th</sup> Dec 2018  is beyond one month,
3	ii. True Up  iii. ARR iv. Tariff Order  If delay in filing of Annual whether the Regulatory C	Filed on 31 December 2019 10 Feb 2015  Performance Review (APR), true up commission has taken any Suo-motu The Commission has not taken any Suo-	Filed on 31 December 2019 15 Jan 2016  of past expenses, Averag action for determination The Commission has not taken any Suo-	Not Filed  28 Nov 2016  e Revenue Requiremen of tariff? If not, please The Commission has not taken any Suo-	t (ARR) and Tariff Order provide the reasons the The Commission has not taken any Suo-	Not Filed  28 <sup>th</sup> Dec 2018  is beyond one month,

		Directive to file in				
		time.	time.	time.	time.	
	ii. True Up	The Commission has	The Commission has	The Commission has	The Commission has	The Commission
		not taken any Suo-	has not taken any			
		motu action	motu action	motu action	motu action	Suo-motu action
		however, the				
		Commission gave				
		Directive to file in				
		time.	time.	time.	time.	time.
	iii. ARR	-	-	-	-	-
	iv. Tariff Order	-	-	-	-	-
			D. T. W. O. de			
	Tage of the second	(400)	B. Tariff Order	2	1 T 1 (C ) 1 1 1	
ļ	Whether Annual Performance Review		-			g issued regularly
	within the time specified in accordance					T
	i. APR	17 April 2015	31 March 2016	05 April 2017	19 March 2018	20 <sup>th</sup> May 2019
	ii. True Up					
	iii. ARR					
	iv. Tariff Order					
			II.		•	
		Vos	Voc	Vos	Vos	Vos
<u> </u>	Whether the applicability of Tariff is	Yes	Yes	Yes	Yes	Yes
	Whether the applicability of Tariff is till the end of the financial year	Yes	Yes	Yes	Yes	Yes
;	Whether the applicability of Tariff is	Yes	Yes	Yes	Yes	Yes
	Whether the applicability of Tariff is till the end of the financial year	Yes 106.81	Yes 109.31	Yes 120.77	Yes 133.04	Yes 135.71
	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?					
-	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?  Annual Revenue Requirement (in Rs.					
L 2	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?  Annual Revenue Requirement (in Rs. Cr.)	106.81	109.31	120.77	133.04	135.71
L 2 3	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?  Annual Revenue Requirement (in Rs. Cr.) Saleable Energy (in MUs)	106.81	109.31	120.77	133.04	135.71
L 2 3	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?  Annual Revenue Requirement (in Rs. Cr.) Saleable Energy (in MUs) Average Cost of Supply (Rs./kWh)	106.81 50.31 21.23	109.31 54.54 20.04	120.77 60.66 19.91	133.04 67.50 19.71	135.71 53.45 25.39
1 2 3 4	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?  Annual Revenue Requirement (in Rs. Cr.) Saleable Energy (in MUs) Average Cost of Supply (Rs./kWh) Average Tariff (Rs. /kWh)	106.81 50.31 21.23 2.63	109.31 54.54 20.04 4.62	120.77 60.66 19.91 5.12	133.04 67.50 19.71 5.62	135.71 53.45 25.39 4.76

6	Whether Regulatory Assets have	No, Budgetary				
	been created?	Support was				
		available.	available.	available.	available.	available.
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA	NA	NA	NA	NA
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA	NA	NA	NA	NA
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NA	NA	NA	NA	NA
		III. Fuel & Power Pur	chase Cost Adjustment			
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes	Yes	Yes	Yes	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly	Quarterly	Quarterly	Quarterly	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	No, Not applicable due to Diesel Generation.				

## Chandigarh

SI.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20			
No.									
		I. Timeline	ss of Tariff Determination	on Process					
			A. Tariff Filing						
1	_	g petitions for Annual Performance R ons (Yes/No and also please mentior		past expenses, Average	Revenue Requirement (	ARR) and Tariff Order			
	i. APR	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018			
	ii. True Up	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018			
	iii. ARR	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018			
	iv. Tariff Order	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018			
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per								
	the requirements of regulation (please also provide the date of filing)?								
	i. APR	APR of FY 2015-16	APR of FY 2016-17	APR of FY 2017-18	APR of FY 2018-19	APR of FY 2019-20			
		was filed on: 29 Feb	was filed on: 18 Jan	was filed on: 12 Jan	was filed on: 30 Nov	was filed on: 04			
		2016	2017	2018	2018	Dec 2019			
	ii. True Up	True Up of FY 2015-	True Up of FY 2016-	True Up of FY 2017-	True Up of FY 2018-	True Up of FY 2018-			
		16 was filed on: 18	17 was filed on: 12	18 was filed on: 30	19 was filed on: 04	19 is not yet filed			
		Jan 2017	Jan 2018	Nov 2018	December 2019				
	iii. ARR	10 March 2015	29 <sup>th</sup> Feb 2016	18 Jan 2017	12 Jan 2018	20 May 2019			
	iv. Tariff Order								
3	If delay in filing of Annual	Performance Review (APR), true up o	of past expenses, Averag	ge Revenue Requiremen	t (ARR) and Tariff Order	is beyond one month,			
	whether the Regulatory Co	ommission has taken any Suo-motu a	action for determination	of tariff? If not, please	provide the reasons the	reof			
	i. APR	NA	NA	NA	NA	NA			
	ii. True Up	NA	NA	NA	NA	NA			
	iii. ARR	NA	NA	NA	NA	NA			
	iv. Tariff Order	NA	NA	NA	NA	NA			

			B. Tariff Order					
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?							
	i. APR	10 April 2015	28 April 2016	04 May 2017	28 March 2018	20 May 2019		
	ii. True Up							
	iii. ARR							
	iv. Tariff Order							
'	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	Yes	Yes	Yes	Yes	Yes		
	Annual Revenue Requirement (in Rs. Cr.)	722.52	827.66	747.51	845.35	863.02		
	Saleable Energy (in MUs)	1555.75	1617.41	1715.93	1654.68	1685.30		
	Average Cost of Supply (Rs./kWh)	4.64	5.12	4.36	5.11	5.12		
	Average Tariff (Rs. /kWh)	4.72	5.12	5.13	5.14	5.44		
	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs. /kWh)	0.08	0.00	0.77	0.03			
	Whether Regulatory Assets have been created?	No	Yes	No	No	No		
	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA	Yes	NA	NA	NA		
	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA	Yes	NA	NA	NA		
	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in	NA	Yes	NA	NA	NA		

	which the Regulatory Assets are created?					
		III. Fuel & I	Power Purchase Cost Ad	justment		
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes	Yes	Yes	Yes	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly	Quarterly	Quarterly	Quarterly	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Yes	Yes	Yes	Yes	Yes

Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011

### **PUDUCHERRY**

SI. No.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20			
	I. Timeliness of Tariff Determination Process								
			A. Tariff Filing						
1.	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?					(ARR) and Tariff			
	i. APR	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018			
	ii. True Up	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018			
	iii. ARR	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018			
	iv. Tariff Order	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018			
	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?								
	i. APR	2 <sup>nd</sup> January 2015	Yes, 4 <sup>th</sup> Jan 2016 (The	Yes, 30 <sup>th</sup> November	Yes, 29 <sup>th</sup> November	3 <sup>rd</sup> December 2018			
	ii. True Up		Commission had	2016	2017				
	iii. ARR		directed the Petitioner						
	iv. Tariff Order		to submit the duly						
			approved MYT Petition						
			for the Control Period						
			from FY 2016-17 to FY						
			2018-19 within 30 days of the issuance of the						
			Business Plan Order						
			(issued in Petition no.						
			181/2015 Order dated						
			4th December 2015).						
3.	If delay in filing of Annual Perf	ormance Review (APR). tru	· · · · · · · · · · · · · · · · · · ·	verage Revenue Requiren	nent (ARR) and Tariff Orde	r is beyond one			
- '	month, whether the Regulator								

	i. APR	No	No	No	No	No
	ii. True Up					
	iii. ARR					
	iv. Tariff Order					
		В	. Tariff Order			
4.	Whether Annual Performance within the time specified in acc			·	•	ing issued regularly
	i. APR	10 <sup>th</sup> April 2015	24 <sup>th</sup> May 2016	16 <sup>th</sup> May 2017	28 <sup>th</sup> March 2018	20 <sup>th</sup> May 2019
	ii. True Up					
	iii. ARR					
	iv. Tariff Order					
5.	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	Yes	Yes	Yes	Yes	Yes
1.	Annual Revenue Requirement (in Rs. Cr.)	1253.05	1306.23	1413.48	1374.75	1508.9
2.	Saleable Energy (in MUs)	2700	2797.07	2980.60	2606.31	2649.10
3.	Average Cost of Supply (Rs. /kWh)	4.64	4.67	4.74	5.27	5.68
4.	Average Tariff (Rs. /kWh)	4.42	5.07	5.02	5.26	5.69
5.	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs. /kWh)	(0.22)	0.40	0.28	.01	.01
6.	Whether Regulatory Assets have been created?	No	No	No	Yes	Yes
7.	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA	NA	NA	Yes	Yes
8.	Whether a roadmap (in terms of timeline not	NA	NA	NA	Yes	Yes

9.	exceeding 3 years) for the recovery of such Regulatory Assets been specified?  Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory	NA	NA	NA	Yes	Yes	
	Assets are created?						
	III. Fuel & Power Purchase Cost Adjustment						
1.	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes	Yes	Yes	Yes	Yes	
2.	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bimonthly/quarterly)?	Quarterly	Quarterly	Quarterly	Quarterly	Quarterly	
3.	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof	No (Quarterly FPPCA report not submitted by the utility)	No (Quarterly FPPCA report not submitted by the utility)	No (Quarterly FPPCA report not submitted by the utility)	No (Quarterly FPPCA report not submitted by the utility)	No (Quarterly FPPCA report not submitted by the utility)	

## ANNEXURE-I

Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011

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SI. No.		Particulars	2015- 16	2016-17	2017-18	2018-19	2018-19
			l.	Timeliness of Tariff De			
	1441 .1			A. Tariff Filin	•		. (4.2.2)
1						rage Revenue Requiremen	t (ARR) and Tariff
		ecified in Tariff Regulation				V . 20 44 2047	V. 20 11 2010
	i.	APR Ye	es, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018
	ii.	True Up Ye	es, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018
	iii.	ARR Ye	es, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018
	iv.	Tariff Order Ye	es, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018
2		rements of Regulations (p	lease also provide the o	date of filing)?	age Revenue Requiremo	ent (ARR) and Tariff Order	are being filed as per
	ii.	True Up					
	iii.	ARR					
	iv.	Tariff Order					
3	•	_	• • • •			ement (ARR) and Tariff Ord f not, please provide the re	
	i.	APR -		Business Plan Order	-	-	_
	ii.	True Up		was issued on			
	iii.	ARR		09.12.2015 &			
				subsequently the			
				Petition was filed			

	iv. Tariff Order	_	-	-	-	-
SI. No.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
4	Whether Annual Performance Revi	ew (APR) true un of nast	B. Tariff Order	nue Requirement (ARR) ar	nd Tariff Orders are bei	ng issued regularly
	within the time specified in accord		-			ng issued regularly
		31.03.2015		29.05.2017	13.03.2018	20.05.2019
	ii. True Up					
	iii. ARR					
	iv. Tariff Order					
5	Whether the applicability of Tariff	Yes	Yes	Yes	Yes	Yes
	is till the end of the financial year					
	(Yes/No)?					
1	Annual Revenue Requirement (in	829.93	990.46	839.58	979.23	1,227.60
	Rs. Cr.)					
2	Saleable Energy (in MUs)	1744.74	1800.47	1843.86	2318.05	2,627.16
3	Average Cost of Supply (Rs./kWh)	4.76	5.50	4.55	4.22	4.67
4	Average Tariff (Rs./kWh)	4.81	5.07	4.54	4.22	4.18
5	6-p	0.05	-0.43	-0.01	0.00	-0.49
	ACS per unit of only the					
	year in consideration (in Rs./kWh)					
6	,	No,	No,	No,	No,	No,
	have been created?	,	,		,	
7	If yes, whether the creation of	NA	NA	NA	NA	NA
	Regulatory Assets is in line with					
	the National Tariff Policy?					

SI.		2015 17	2017.18	2015 10	2010 10	2010.20
No.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA	NA	NA	NA	NA
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NA	NA	NA	NA	NA
		III. Fu	uel & Power Purchase Cost	Adjustment		
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes	Yes	Yes	Yes	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bimonthly/quarterly)?	Quarterly	Quarterly	Quarterly	Quarterly	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Yes	Yes	Yes	Yes	Yes

Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011

## **DNHPDCL**

SI.						
No.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
		l.	Timeliness of Tariff Deter	mination Process		
			A. Tariff Filing			
1	Whether timeline for filing pe			-	e Revenue Requirement (	ARR) and Tariff
	Order specified in Tariff Regul				h	
	i. APR	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018
	ii. True Up	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018
	iii. ARR	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018
	iv. Tariff Order	Yes, 30.11.2014	Yes, 30.11.2015	Yes, 30.11.2016	Yes, 30.11.2017	Yes, 30.11.2018
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as petthe requirements of Regulations (please also provide the date of filing)?					e being filed as per
	i. APR	02.12.2014	14.01.2016	01.12.2016	18.09.2017	07.12.2018
	ii. True Up					
	iii. ARR					
	iv. Tariff Order					
3	If delay in filing of Annual Permonth, whether the Regulato			-		-
	i. APR	-	Business Plan Order was	-	-	-
	ii. True Up		issued on 15.12.2015 &			
	iii. ARR		subsequently the Petition was filed			

	iv. Tariff Order					
SI. No.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
			<b>B.</b> Tariff Order			
4	Whether Annual Performance Rev regularly within the time specified					g issued
			07.04.2016		30.01.2018	20.05.2019
	ii. True Up					
	iii. ARR					
	iv. Tariff Order					
5	Whether the applicability of	Yes	Yes	Yes	Yes	Yes
	Tariff is till the end of the					
	financial year (Yes/No)?					
4			2 404 02	b 100 77	2601.20	2 105 06
1	Annual Revenue Requirement (in	2322.54	2,404.82	2,129.77	2601.20	3,105.86
	Rs. Cr.)					
2	,	5578.81	5,812.58	4,228.16	5,940.79	6,296.89
			,		,	·
3	Average Cost of Supply (Rs./kWh)	4.15	4.14	5.04	4.38	4.93
4	Average Tariff (Rs./kWh)	4.33	4.44	4.20	4.26	4.69
5	Revenue gap between ARR and	0.18	0.20	-0.84	-0.12	-0.23
	ACS per unit of only the					
	year in					
	consideration (in Rs./kWh)					
6	Whether Regulatory Assets	No	No	No	No	Yes
	have been created?					
7	If yes, whether the creation of	NA	NA	NA	NA	Yes
	Regulatory Assets is in line with					
	the National Tariff Policy?					

SI.						
No.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory	NA	NA	NA	NA	Yes
9	Assets been specified?  Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NA	NA	NA	NA	Yes
		III.	Fuel & Power Purchase	e Cost Adjustment		
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes	Yes	Yes	Yes	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi- monthly/quarterly)?	Quarterly	Quarterly	Quarterly	Quarterly	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Yes	Yes	Yes	Yes	Yes

# JOINT ELECTRICITY REGULATORY COMMISSION FOR MANIPUR AND MIZORAM

**AIZAWL:::MIZORAM** 

TBL Bhawan 2<sup>nd</sup> – 5<sup>th</sup> floor, E-18, Peter's Street, Khatla, Aizawl-796001, Mizoram Fax: 0389-2335523/2336299, Tel. No.: 0389-2335625/2333625

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No. H. 13011/29/16-JERC/184

To

The Assistant Secretary

Forum of Regulators (FOR), C/o Central Electricity Regulatory Commission,

3<sup>rd</sup> & 4<sup>th</sup> Floor, Chanderlok Building, 36, Janpath, New Delhi - 110 001.

Compliance of the directions of Appellate Tribunal for Electricity issued vide Subject:

Order dated 3<sup>rd</sup> Nov, 2014 and 23<sup>rd</sup> Sept, 2019 in OP No.1 of 2011 –reg.

1. Your letter No.15/9(1)/2011/APTEL-TA/FOR/CERC(Vol-VI) dt. 5.08.2020. Reference:

2. Commission's letter No.H.13011/29/16-JERC/183 dt. 14.08.2020.

Sir,

With reference to your letter on the above subject and in cancellation of Commission's previous letter above, I am directed to furnish herewith the desired information as per the prescribed format. For favour of kind information and necessary action.

Enclosed: As above.

Yours faithfully

Dated: Aizawl, the 25th August, 2020

(RICHARD ZOTHANKIMA)

**Assistant Secretary** 

	Joint E	lectricity Regulatory Commission for Manipur and Mizoram				
	Format for compilation of dat	ta regarding the directions given by APTEL through its judgement dated 11.11.2011				
SI. No.	Particulars	2019-2020				
IVO.	<u> </u>	. Timeliness of Tariff Determination Process				
		A. Tariff Filing				
1	Whether timeline for filing netitions fo	r Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement				
_	<u>.</u>	ff Regulations (Yes/No and also please mention the timelines)?				
	i. APR	Yes (30.11.2018)				
	ii. True Up	-do-				
	iii. ARR	-do-				
	iv. Tariff Order	Within 120 days of receipt of application.				
2	If yes, whether Annual Performance Re	eview (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are				
	being filed as per the requirements of	regulation (please also provide the date of filing)?				
	i. APR	15.01.2019				
	ii. True Up	-do-				
	iii. ARR	-do-				
	iv. Tariff Order	Yes				
3						
	1	he Regulatory Commission has taken any suo-motu action for determination of tariff? If not,				
	please provide the reasons thereof					
	i. APR	Not Applicable				
	ii. True Up	-do-				
	iii. ARR	-do-				
	iv. Tariff Order	-do-				
	What has Assaul Barfassas Barfa	B. Tariff Order				
4		(APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being ed in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?				
	i. APR	Yes (18.01.2019)				
	ii. True Up	-do-				
	iii. ARR	-do-				
	iv. Tariff Order	22.03.2019				
5	Whether the applicability of Tariff					
	is till the end of the financial					
	year(Yes/No)?	Yes				

		II. Adequacy of Tariff
1	Annual Revenue Requirement (in Rs.Cr.)	375.03
2	Saleable Energy (in MUs)	426.59
3	Average Cost of Supply (Rs./kWh)	7.77
4	Average Tariff (Rs./kWh)*	4.96
5	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs./kWh)	2.81
6	Whether Regulatory Assets have been created?	No
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NA
		II. Fuel & Power Purchase Cost Adjustment
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Monthly/Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	No. The need for fuel surcharge adjustment did not arise during these years in the State.

<sup>\*</sup> After subsidy payment to meet the gap by the State Government is considered.

	Joint E	lectricity Regulatory Commission for Manipur and Mizoram
	Format for compilation of da	ta regarding the directions given by APTEL through its judgement dated 11.11.2011
SI.	Particulars	2019-2020
No.		
	I,	
	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	A. Tariff Filing
1	<u></u>	r Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement
		ff Regulations (Yes/No and also please mention the timelines)?
	i. APR	Yes (30.11.2018)
	ii. True Up	-do-
	iii. ARR	-do-
	iv. Tariff Order	Within 120 days of receipt of application.
2		eview (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are
		regulation (please also provide the date of filing)?
	i. APR	24.12.2018
	ii. True Up	-do-
	iii. ARR	-do-
	iv. Tariff Order	Yes
3	, ,	Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff
	•	he Regulatory Commission has taken any suo-motu action for determination of tariff? If not,
	please provide the reasons thereof	Nat Applicable
	i. APR	Not Applicable
	ii. True Up	-do-
	iii. ARR	-do-
	iv. Tariff Order	-do-
		B. Tariff Order
4		(APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being
	. 400	ed in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?  Yes (09.01.2019)
	ii. APR	-do-
	<u>'</u>	-do-
5	iv. Tariff Order  Whether the applicability of Tariff	26.03.2019
)	is till the end of the financial	
	year(Yes/No)?	Yes
	year(res/140):	163

		II. Adequacy of Tariff
1	Annual Revenue Requirement (in Rs.Cr.)	587.67
2	Saleable Energy (in MUs)	631.44
3	Average Cost of Supply (Rs./kWh)	9.32
4	Average Tariff (Rs./kWh)*	5.83
5	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs./kWh)	3.49
6	Whether Regulatory Assets have been created?	No
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NA
	ı	II. Fuel & Power Purchase Cost Adjustment
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Monthly/Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	No. The need for fuel surcharge adjustment did not arise during these years in the State.

<sup>\*</sup> After subsidy payment to meet the gap by the State Government is considered.

	Joint El	ectricity Regulatory Commission for Manipur and Mizoram
	Format for compilation of dat	a regarding the directions given by APTEL through its judgement dated 11.11.2011
SI. No.	Particulars	2019-2020
	l.	Timeliness of Tariff Determination Process
		A. Tariff Filing
1	Whether timeline for filing petitions for	Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement
	(ARR) and Tariff Order specified in Tarif	f Regulations (Yes/No and also please mention the timelines)?
	i. APR	Yes (30.11.2018)
	ii. True Up	-do-
	iii. ARR	-do-
	iv. Tariff Order	Within 120 days of receipt of application.
2	•	view (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are
		egulation (please also provide the date of filing)?
	i. APR	24.12.2018
	ii. True Up	-do-
	iii. ARR	-do-
	iv. Tariff Order	Yes
3	Order is beyond one month, whether t	Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff ne Regulatory Commission has taken any suo-motu action for determination of tariff? If not,
	please provide the reasons thereof i. APR	Not Applicable
	ii. True Up	-do-
	iii. ARR	-do-
	iv. Tariff Order	-do-
	iv. Tariii Oraci	B. Tariff Order
4	Whether Annual Performance Review	APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being
7		ed in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?
	i. APR	Yes (09.01.2019)
	ii. True Up	-do-
	iii. ARR	-do-
	iv. Tariff Order	26.03.2019
5	Whether the applicability of Tariff	
	is till the end of the financial year(Yes/No)?	Yes

		II. Adequacy of Tariff
1	Annual Revenue Requirement (in	85.68
	Rs.Cr.)	
2	Saleable Energy (in MUs)	
3	Average Cost of Supply (Rs./kWh)	
4	Average Tariff (Rs./kWh)*	0.34
5	Revenue gap between ARR and	
	ACS per unit of only the year in consideration (in Rs./kWh)	Nil
6	Whether Regulatory Assets	No
	have been created?	
7	If yes, whether the creation	NA
	of Regulatory Assets is in line with	
8	the National Tariff Policy?  Whether a roadmap (in terms	NA
0	of timeline not exceeding 3 years)	NA NA
	for the recovery of such	
	Regulatory Assets been specified?	
9	Whether carrying cost of	NA
	the Regulatory Asset allowed to the	
	utilities in the ARR of the year in	
	which the Regulatory Assets are	
	created?	
		II. Fuel & Power Purchase Cost Adjustment
1	Whether Fuel Surcharge Adjustment	Yes
	formula/mechanism provided	
	in regulation (Yes/No)?	
2	Frequency of adjustment of Fuel	Monthly/Quarterly
	Surcharge as per the regulations	
<u> </u>	(monthly/ bi-monthly/quarterly)?	
3	Fuel Surcharge Adjustment	No. The need for fuel surcharge adjustment did not arise during these years in the State.
	being done as per the regulations?	
	If not, please provide the reasons	
	thereof.	

<sup>\*</sup> After subsidy payment to meet the gap by the State Government is considered.

# Jharkhand State Electricity Regulatory Commission (JSERC), Ranchi

Dated: August 13, 2020

	I DINI YU. DI LA					
	d Bijli Vitran Nigam Limited (JBVNL) T 1st Control Period from FY 2010-11 to FY 2015-	16 and 2nd Control David from I	EV 2016 17 to EV 2020 2	1		
Sl. No.	Particulars	2015-16	2016-17 2016-17	2017-18	2018-19	2019-20
<b>DI.</b> 110.	T ut ticulars		s of Tariff Determination		2010 17	2017 20
			Tariff Filing	TT GCCSS		
1	Whether timeline for filing petitions for Ann	ual Performance Review (APR),	<u> </u>	-	nent (ARR) and Tariff Ord	ler specified in Tariff
	i. APR	Yes (November 30 of	Yes (November 30 of	Yes (November 30 of	Yes (November 30 of	Yes (November 30 of
		each year)	each year)	each year)	each year)	each year)
	ii. True Up	Along with the APR	Along with the APR	Along with the APR	Along with the APR	Along with the APR
	_	Petition	Petition	Petition	Petition	Petition
	iii. ARR	Yes (November 30 of	Yes (November 30 of	Yes (November 30 of	Yes (November 30 of	Yes (November 30 of
		each year)	each year)	each year)	each year)	each year)
	iv. Tariff Order	Yes (120 days from the	Yes (120 days from the	Yes (120 days from the	Yes (120 days from the	Yes (120 days from the
		date of Acceptance of	date of Acceptance of	date of Acceptance of	date of Acceptance of	date of Acceptance of
		filing)	filing)	filing)	filing)	filing)
2	If yes, whether Annual Performance Review (AP		rage Revenue Requiremer also provide the date of fili	, ,	are being filed as per the re	equirements of regulation
	i. APR	No	29.11.2017	No	28.11.2018	30.12.2019
	ii. True Up	20.09.2017	28.11.2018	28.11.2018	30.12.2019	NA
	iii. ARR	26.02.2015	28.07.2016	29.11.2017	29.11.2017	28.11.2018
	iv. Tariff Order	26.02.2015	28.07.2016	28.07.2016	29.11.2017	28.11.2018
3	If delay in filing of Annual Performance Review Commission	v (APR), true up of past expenses n has taken any suo-motu action f				, whether the Regulatory
	i. APR	No, delay in filing the	No, delay in filing the	No, delay in filing the	No, delay in filing the	No, delay in filing the
	ii. True Up	Petition	Petition	Petition	Petition	Petition
	iii. ARR					

4	Whether Annual Performance Review (APR), true up accordar		Revenue Requirement (A			hin the time specified in
	i. APR ii. True Up	Answer same as in Sl. Nos. 1 & 2	Answer same as in S1. Nos. 1 & 2	Answer same as in Sl. Nos. 1 & 2	Answer same as in Sl. Nos. 1 & 2	Answer same as in Sl. Nos. 1 & 2
	iii. ARR iv. Tariff Order					
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	The Tariff Order will be applicable till the issuance of the next Tariff Order	The Tariff Order will be applicable till the issuance of the next Tariff Order	The Tariff Order will be applicable till the issuance of the next Tariff Order	The Tariff Order will be applicable till the issuance of the next Tariff Order	The Tariff Order will be applicable till the issuance of the next Tariff Order
			T	T		
1	Annual Revenue Requirement (in Rs. Cr.)	5,535.91	5,803.10	6,047.36	6,252.44	7,164.22
	GoJ Subsidy (in Rs. Cr.)	1,151.31	1,200.00	3,000.00	-	-
2	Saleable Energy (in MUs)	9,058.92	8,721.07	9,613.73	10,285.46	11010.88
	Revenue (in Rs. Cr.)	2,866.65	2,715.73	3,160.22	4,953.25	7,164.22
3	Average Cost of Supply (Rs./kWh)	6.11	6.65	6.29	6.08	6.51
4	Average Tariff (Rs./kWh)*	4.44	4.49	6.41	4.82	6.51
5	Revenue gap between ABR and ACS per unit of only the year in consideration (in Rs./kWh)	(1.68)	(2.16)	0.12	(1.26)	-
6	Whether Regulatory Assets have been created?	No	No	No	No	No
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA	NA	NA	NA	NA
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA	NA	NA	NA	NA
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NA	NA	NA	NA	NA
		III. Fuel &	Power Purchase Cost Adju	ıstment		
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes	Yes	Yes	Yes	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly	Quarterly	Quarterly	Quarterly	Quarterly

3	Fuel Surcharge Adjustment being done as per the	No. Done in the True-up				
	regulations? If not, please provide the reasons thereof.	Order for the Year as				
		Quarterly submission	Quarterly submission	Quarterly submission	Quarterly submission	Quarterly submission was
		was not made.	was not made.	was not made.	was not made.	not made.

<sup>\*</sup> Average Tariff including GoJ Subsidy

## Jharkhand State Electricity Regulatory Commission (JSERC), Ranchi

	Format for co	ompilation of data regarding the o	directions given by APTEL	through its judgement da	ted 11.11.2011	_
	de l'Aller Commentier (DVC)					-
	dar Valley Corporation (DVC) MYT 1st Control Period from FY 2010-11 to FY 2	2015 1C and 2nd Control Davied for	om FV 2016 17 to FV 2020	21		-
. <b>No.</b>		2015-16 and 2nd Control Period in	2016-17	2017-18	2018-19	2019-20
. NO.	Particulars		Determination Process	2017-16	2010-19	2019-20
		i. Timeliness of farili	A. Tariff Filing			
1	Whether timeline for filing petitions for Annu-	al Parformance Review (ADR) true		rage Revenue Requiremen	t (ARR) and Tariff Order	
1	i. APR	Yes (November 30 of	Yes (November 30 of	Yes (November 30 of	Yes (November 30 of	Yes (November 30 of each
	i. Arn	each year)	each year)	each year)	each year)	vear)
	ii. True Up	Along with the APR	Along with the APR	Along with the APR	Along with the APR	Along with the APR Petition
	ii. True op	Petition	Petition	Petition	Petition	Along with the Arit retition
	iii. ARR	Yes (November 30 of	Yes (November 30 of	Yes (November 30 of	Yes (November 30 of	Yes (November 30 of each
	III. AM	each year)	each year)	each year)	each year)	year)
	iv. Tariff Order	Yes (120 days from the	Yes (120 days from the	Yes (120 days from the	Yes (120 days from the	Yes (120 days from the dat
	iv. Tariii Ordei	date of Acceptance of	date of Acceptance of	date of Acceptance of	date of Acceptance of	of Acceptance of filing)
		filing)	filing)	filing)	filing)	of Acceptance of filling)
2	If yes, whether Annual Performance Review (	G.	O,	O/	<u> </u>	
_	In yes, whether Annual Ferrormance Neview (	Arity, true up or past expenses, Av	erage neveriue nequireme	int (Altit) and Tarin Order a	are being med as per the	
	requirements of regulation (please also provide	de the date of filing)?				
	requirements of regulation (please also provide i. APR	<del>-</del> -	No	No	11.01.2019	02.01.2020
	i. APR	No	No 22,02,2017	No 27.06.2019	11.01.2019 14.01.2020	02.01.2020 NA
		No 04.01.2016	22.02.2017	27.06.2019	14.01.2020	NA
	i. APR ii. True Up	No				NA 11.01.2019
3	i. APR ii. True Up iii. ARR iv. Tariff Order	No 04.01.2016 05.03.2014 05.03.2014	22.02.2017 11.10.2018 11.10.2018	27.06.2019 11.10.2018 11.10.2018	14.01.2020 11.10.2018 11.10.2018	NA
	i. APR ii. True Up iii. ARR iv. Tariff Order  If delay in filing of Annual Performance Review	No 04.01.2016 05.03.2014 05.03.2014 v (APR), true up of past expenses,	22.02.2017 11.10.2018 11.10.2018 Average Revenue Require	27.06.2019 11.10.2018 11.10.2018 ment (ARR) and Tariff Ord	14.01.2020 11.10.2018 11.10.2018 er is beyond one month,	NA 11.01.2019
	i. APR ii. True Up iii. ARR iv. Tariff Order  If delay in filing of Annual Performance Review whether the Regulatory Commission has take	No 04.01.2016 05.03.2014 05.03.2014 v (APR), true up of past expenses, n any suo-motu action for determinant	22.02.2017 11.10.2018 11.10.2018 Average Revenue Require ination of tariff? If not, ple	27.06.2019 11.10.2018 11.10.2018 ment (ARR) and Tariff Ordase provide the reasons the	14.01.2020 11.10.2018 11.10.2018 er is beyond one month, pereof	NA 11.01.2019 11.01.2019
	i. APR ii. True Up iii. ARR iv. Tariff Order  If delay in filing of Annual Performance Review	No 04.01.2016 05.03.2014 05.03.2014 v (APR), true up of past expenses,	22.02.2017 11.10.2018 11.10.2018 Average Revenue Require	27.06.2019 11.10.2018 11.10.2018 ment (ARR) and Tariff Ord	14.01.2020 11.10.2018 11.10.2018 er is beyond one month,	NA 11.01.2019
	i. APR ii. True Up iii. ARR iv. Tariff Order  If delay in filing of Annual Performance Review whether the Regulatory Commission has take i. APR	No 04.01.2016 05.03.2014 05.03.2014 v (APR), true up of past expenses, n any suo-motu action for determinant	22.02.2017 11.10.2018 11.10.2018 Average Revenue Require ination of tariff? If not, ple	27.06.2019 11.10.2018 11.10.2018 ment (ARR) and Tariff Ordase provide the reasons the Suo-motu order issued	14.01.2020 11.10.2018 11.10.2018 er is beyond one month, pereof	NA 11.01.2019 11.01.2019
	i. APR ii. True Up iii. ARR iv. Tariff Order  If delay in filing of Annual Performance Review whether the Regulatory Commission has take i. APR  ii. True Up	No 04.01.2016 05.03.2014 05.03.2014 v (APR), true up of past expenses, n any suo-motu action for determinant	22.02.2017 11.10.2018 11.10.2018 Average Revenue Require ination of tariff? If not, ple	27.06.2019 11.10.2018 11.10.2018 ment (ARR) and Tariff Ordase provide the reasons the	14.01.2020 11.10.2018 11.10.2018 er is beyond one month, pereof	NA 11.01.2019 11.01.2019
	i. APR ii. True Up iii. ARR iv. Tariff Order  If delay in filing of Annual Performance Review whether the Regulatory Commission has take i. APR ii. True Up iii. ARR	No 04.01.2016 05.03.2014 05.03.2014 v (APR), true up of past expenses, n any suo-motu action for determinant	22.02.2017 11.10.2018 11.10.2018 Average Revenue Require ination of tariff? If not, ple	27.06.2019 11.10.2018 11.10.2018 ment (ARR) and Tariff Ordase provide the reasons the Suo-motu order issued	14.01.2020 11.10.2018 11.10.2018 er is beyond one month, pereof	NA 11.01.2019 11.01.2019
	i. APR ii. True Up iii. ARR iv. Tariff Order  If delay in filing of Annual Performance Review whether the Regulatory Commission has take i. APR  ii. True Up	No 04.01.2016 05.03.2014 05.03.2014 v (APR), true up of past expenses, n any suo-motu action for determinant	22.02.2017 11.10.2018 11.10.2018 Average Revenue Require ination of tariff? If not, ple	27.06.2019 11.10.2018 11.10.2018 ment (ARR) and Tariff Ordase provide the reasons the Suo-motu order issued	14.01.2020 11.10.2018 11.10.2018 er is beyond one month, pereof	NA 11.01.2019 11.01.2019
	i. APR ii. True Up iii. ARR iv. Tariff Order  If delay in filing of Annual Performance Reviewhether the Regulatory Commission has take i. APR  ii. True Up iii. ARR iv. Tariff Order	No 04.01.2016 05.03.2014 05.03.2014 v (APR), true up of past expenses, n any suo-motu action for determine No	22.02.2017 11.10.2018 11.10.2018 Average Revenue Require ination of tariff? If not, ple	27.06.2019 11.10.2018 11.10.2018 ment (ARR) and Tariff Ordase provide the reasons the Suo-motu order issued No	14.01.2020 11.10.2018 11.10.2018 er is beyond one month, hereof	NA 11.01.2019 11.01.2019
3	i. APR ii. True Up iii. ARR iv. Tariff Order  If delay in filing of Annual Performance Review whether the Regulatory Commission has take i. APR ii. True Up iii. ARR	No 04.01.2016 05.03.2014 05.03.2014 v (APR), true up of past expenses, n any suo-motu action for determine No	22.02.2017 11.10.2018 11.10.2018 Average Revenue Require ination of tariff? If not, ple No  B. Tariff Order age Revenue Requirement	27.06.2019 11.10.2018 11.10.2018 ment (ARR) and Tariff Ordase provide the reasons the Suo-motu order issued No  (ARR) and Tariff Orders ar	14.01.2020 11.10.2018 11.10.2018 er is beyond one month, iereof No	NA 11.01.2019 11.01.2019

	ii. True Up	Nos. 1 & 2	Nos. 1 & 2	Nos. 1 & 2	Nos. 1 & 2	& 2
	iii. ARR					
	iv. Tariff Order					
5	Whether the applicability of Tariff is till the end of	The Tariff Order will be	The Tariff Order will be	The Tariff Order will be	The Tariff Order will be	The Tariff Order will be
	the financial year (Yes/No)?	applicable till the	applicable till the	applicable till the	applicable till the	applicable till the issuance
		issuance of the next	issuance of the next	issuance of the next	issuance of the next	of the next Tariff Order
		Tariff Order	Tariff Order	Tariff Order	Tariff Order	
1	Annual Revenue Requirement (in Rs. Cr.)	4,472.14	4,705.79	4,858.00	4,791.80	3,545.77
	GoJ Subsidy (in Rs. Cr.)	-	-	-	-	-
2	Saleable Energy (in MUs)	10,252.94	10,361.15	10,984.10	10,259.27	7,188.05
	Revenue (in Rs. Cr.)	4,956.42	5,017.09	5,285.18	4,305.11	3,545.77
3	Average Cost of Supply (Rs./kWh)	4.36	4.54	4.42	4.67	4.93
4	Average Tariff (Rs./kWh)	4.83	4.84	4.81	4.20	4.93
5	Revenue gap between ABR and ACS per unit of only	0.47	0.30	0.39	(0.47)	-
	the year in consideration (in Rs./kWh)					
6	Whether Regulatory Assets have been created?	No	No	No	No	No
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA	NA	NA	NA	NA
8	Whether a roadmap (in terms of timeline not	NA	NA	NA	NA	NA
"	exceeding 3 years) for the recovery of such	1471	1471	14/1	TW C	14/1
	Regulatory Assets been specified?					
9	Whether carrying cost of the Regulatory Asset	NA	NA	NA	NA	NA
	allowed to the utilities in the ARR of the year in					
	which the Regulatory Assets are created?					
		<b>III.</b> Fue	I & Power Purchase Cost A	diustment		
	Whether Fuel Surcharge Adjustment	Yes	Yes	Yes	Yes	Yes
	formula/mechanism provided in regulation					
1	(Yes/No)?					
		Quarterly	Quarterly	Quarterly	Quarterly	Quarterly
	Frequency of adjustment of Fuel Surcharge as per the	,	,	,	,	,
2	regulations (monthly/ bi-monthly/quarterly)?					

	3	Fuel Surcharge Adjustment being done as per the	No. Done in the True-up	No. Done in the True-up			
		regulations? If not, please provide the reasons	Order for the Year as	Order for the Year as			
		thereof.	Quarterly submission	Quarterly submission	Quarterly submission	Quarterly submission was	Quarterly submission was
			was not made.	was not made.	was not made.	not made.	not made.
<u>.</u>							

		Dated: August :	13, 2020			
	Forn	nat for compilation of data regarding the	directions given by APTEL	through its iudgement da	ted 11.11.2011	
			<u> </u>			]
	dpur Utilities and Service Compan	• •				
		0-11 to FY 2015-16 and 2nd Control Period		-		
. No.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
		I. Timeliness of Tariff	Determination Process			
1	M/b ath ar time aline for filing not	itions for Annual Performance Review (APF	A. Tariff Filing	a Average Devenue Degu	iromant (ADD) and Tariff (	Order specified in Tariff
1	whether timeline for filing per	•	/No and also please ment		irement (AKK) and Tarin C	order specified in Tariff
		Regulations (Tes	/ No and also please ment	on the timelines):		
ŀ	i. APR	Yes (November 30 of	Yes (November 30 of	Yes (November 30 of	Yes (November 30 of	Yes (November 30 of each
		each year)	each year)	each year)	each year)	year)
Ī	ii. True Up	Along with the APR	Along with the APR	Along with the APR	Along with the APR	Along with the APR
		Petition	Petition	Petition	Petition	Petition
	iii. ARR	Yes (November 30 of	Yes (November 30 of	Yes (November 30 of	Yes (November 30 of	Yes (November 30 of each
		each year)	each year)	each year)	each year)	year)
	iv. Tariff Order	Yes (120 days from the	Yes (120 days from the	Yes (120 days from the	Yes (120 days from the	Yes (120 days from the
		date of Acceptance of	date of Acceptance of	date of Acceptance of	date of Acceptance of	date of Acceptance of
		filing)	filing)	filing)	filing)	filing)
2	If yes, whether Annual Perforn	nance Review (APR), true up of past expens		· ·	Order are being filed as p	er the requirements of
		regulation	(please also provide the d	ate of filing)?		
	i. APR	11.12.2015	28.08.2017	No	07.03.2019	26.12.2019
	ii. True Up	28.08.2017	07.03.2019	07.03.2019	26.12.2019	NA
Ī	iii. ARR	03.11.2014	06.10.2016	28.08.2017	NA	07.03.2019
Ī	iv. Tariff Order	03.11.2014	06.10.2016	28.08.2017	NA	07.03.2019
3	If delay in filing of Annual Perform	ance Review (APR), true up of past expense	es, Average Revenue Requ	irement (ARR) and Tariff (	Order is beyond one mont	h, whether the Regulatory
	(	Commission has taken any suo-motu actior	n for determination of tari	f? If not, please provide tl	ne reasons thereof	
Ī	i. APR	No	No	No	No	No
j	ii. True Up					
Ī	iii. ARR					
j	iv. Tariff Order					
			B. Tariff Order			
4	Whether Annual Performance Rev	view (APR), true up of past expenses, Avera	ge Revenue Requirement	(ARR) and Tariff Orders ar	e being issued regularly w	vithin the time specified in
		accordance with the Act (please	indicate the date of tariff	petition and date of tariff	order)?	
ļ.	i. APR	Answer same as in SI.	Answer same as in Sl.	Answer same as in Sl.		Answer same as in Sl. Nos.

	ii. True Up iii. ARR	Nos. 1 & 2	Nos. 1 & 2	Nos. 1 & 2	Nos. 1 & 2	1 & 2
	iv. Tariff Order					
5	Whether the applicability of Tariff is till the end of	The Tariff Order will be	The Tariff Order will be	The Tariff Order will be	The Tariff Order will be	The Tariff Order will be
	the financial year (Yes/No)?	applicable till the	applicable till the	applicable till the	applicable till the	applicable till the issuance
		issuance of the next	issuance of the next	issuance of the next	issuance of the next	of the next Tariff Order
		Tariff Order	Tariff Order	Tariff Order	Tariff Order	
1	Annual Revenue Requirement (in Rs. Cr.)	239.79	248.17	302.65	283.07	308.82
	GoJ Subsidy (in Rs. Cr.)	-	-	-	-	-
2	Saleable Energy (in MUs)	465.75	481.48	583.08	540.74	584.03
	Revenue (in Rs. Cr.)	244.10	260.32	317.91	-	-
3	Average Cost of Supply (Rs./kWh)	5.15	5.15	5.19	5.23	5.29
4	Average Tariff (Rs./kWh)	5.24	5.41	5.45	-	-
5	Revenue gap between ABR and ACS per unit of only the year in consideration (in Rs./kWh)	0.09	0.25	0.26	-	-
6	Whether Regulatory Assets have been created?	No	No	No	-	-
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA	NA	NA	-	-
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?		NA	NA	-	-
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?		NA	NA	-	-
		III. Fue	l & Power Purchase Cost A	Adjustment		
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?		Yes	Yes	Yes	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly	Quarterly	Quarterly	Quarterly	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.		Yes	Yes	Yes	Yes

#### Jharkhand State Electricity Regulatory Commission (JSERC), Ranchi Dated: August 13, 2020 Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011 Tata Steel Limited (TSL) Note: MYT 1st Control Period from FY 2010-11 to FY 2015-16 and 2nd Control Period from FY 2016-17 to FY 2020-21 SI. No. **Particulars** 2015-16 2016-17 2017-18 2018-19 2019-20 ı. **Timeliness of Tariff Determination Process** A. Tariff Filing Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)? Yes (November 30 of i. APR Yes (November 30 of Yes (November 30 of Yes (November 30 of Yes (November 30 of each year) each year) each year) each year) each year) ii. True Up Along with the APR Petition Petition Petition Petition Petition iii. ARR Yes (November 30 of each year) each year) each year) each year) each year) Tariff Order Yes (120 days from the iv. date of Acceptance of filing) filing) filing) filing) filing) If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of 2 regulation (please also provide the date of filing)? 30.12.2015 24.10.2017 29.05.2019 26.12.2019 APR No True Up 29.05.2019 29.05.2019 26.12.2019 ii. 24.10.2017 NA iii. ARR 03.11.2014 28.07.2016 24.10.2017 NA 29.05.2019 iv. Tariff Order 03.11.2014 28.07.2016 24.10.2017 NA 29.05.2019 If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the 3 Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof APR No No No No No True Up ii. iii. ARR iv. Tariff Order **B.** Tariff Order

Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?

	i. APR ii. True Up iii. ARR iv. Tariff Order	Answer same as in SI. Nos. 1 & 2	Answer same as in SI.  Nos. 1 & 2	Answer same as in SI.  Nos. 1 & 2	Answer same as in SI.  Nos. 1 & 2	Answer same as in SI.  Nos. 1 & 2
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	The Tariff Order will be applicable till the issuance of the next Tariff Order	The Tariff Order will be applicable till the issuance of the next Tariff Order	The Tariff Order will be applicable till the issuance of the next Tariff Order	The Tariff Order will be applicable till the issuance of the next Tariff Order	The Tariff Order will be applicable till the issuance of the next Tariff Order
1	Annual Revenue Requirement (in Rs. Cr.)	1,439.80	1,390.64	1,491.78	1,559.75	1,620.01
	GoJ Subsidy (in Rs. Cr.)	-	-	-	=	-
2	Saleable Energy (in MUs)	2,886.93	2,877.34	3,003.04	3,202.17	3,282.85
	Revenue (in Rs. Cr.)	1,466.65	1,502.50	1,813.43	NA	NA
3	Average Cost of Supply (Rs./kWh)	4.99	4.83	4.97	4.87	4.93
4	Average Tariff (Rs./kWh)	5.08	5.22	6.04	-	-
5	Revenue gap between ABR and ACS per unit of only the year in consideration (in Rs./kWh)	0.09	0.39	1.07	-	-
6	Whether Regulatory Assets have been created?	No	No	No	-	-
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA	NA	NA	-	-
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA	NA	NA	NA -	
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NA	NA	NA	-	-
		III. Fue	el & Power Purchase Cost	Adjustment		
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes	Yes	Yes	Yes	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bimonthly/quarterly)?	Quarterly	Quarterly	Quarterly	Quarterly	Quarterly

3	Fuel Surcharge Adjustment being done as per	Yes	Yes	Yes	Yes	Yes
	the regulations? If not, please provide the					
	reasons thereof.					

	Jhar	khand State Electricity Regulato	ory Commission (JSERC),	, Ranchi						
		Dated: August	: 13, 2020							
	,									
	Format for compilation of	of data regarding the directions giv	en by APTEL through its j	udgement dated 11.11.20	<u>11</u>					
AIL, Bo	okaro IYT 1st Control Period from FY 2010-11 to	a FV 2015 1C and 2nd Control David	d from EV 2016 17 to EV 2	020.21						
SI. No.					2019 10	2010 20				
ol. NO.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20				
		I. Timeliness of Tari	ff Determination Process							
			A. Tariff Filing							
1	Whether timeline for filing petitions f	-		<u> </u>	irement (ARR) and Tariff C	order specified in Tariff				
-			/No and also please ment							
	i. APR	Yes (November 30 of	Yes (November 30 of	Yes (November 30 of	Yes (November 30 of	Yes (November 30 of				
		each year)	each year)	each year)	each year)	each year)				
	ii. True Up	Along with the APR	Along with the APR	Along with the APR	Along with the APR	Along with the APR				
		Petition	Petition	Petition	Petition	Petition				
	iii. ARR	Yes (November 30 of	Yes (November 30 of	Yes (November 30 of	Yes (November 30 of	Yes (November 30 of				
		each year)	each year)	each year)	each year)	each year)				
	iv. Tariff Order	Yes (120 days from the	Yes (120 days from the	Yes (120 days from the	Yes (120 days from the	Yes (120 days from the				
		date of Acceptance of	date of Acceptance of	date of Acceptance of	date of Acceptance of	date of Acceptance of				
		filing)	filing)	filing)	filing)	filing)				
2	If yes, whether Annual Performance F	Review (APR), true up of past expen	ses, Average Revenue Rec	uirement (ARR) and Tariff	Order are being filed as po	er the requirements of				
		regulation	(please also provide the d	ate of filing)?						
-	i. APR	NA	NA	NA	NA	NA				
-	ii. True Up	21.07.2017	01.10.2019	01.10.2019	NA	NA				
	iii. ARR	13.05.2013	21.07.2017	21.07.2017	21.07.2017	21.07.2017				
	iv. Tariff Order	13.05.2013	21.07.2017	21.07.2017	21.07.2017	21.07.2017				
3	If delay in filing of Annual Performa									
		ommission has taken any suo-motu	• •	. , ,	•	,				
-	i. APR	Yes	Yes	Yes	Yes	Yes				
}	ii. True Up	103	1.03	1.03	1.03	163				
-	iii. ARR									
}	iv. Tariff Order									
	iv. Tailii Oruci					<u> </u>				

.aritt Order D. 

		rdance with the Act (pleas			-	
	i. APR	Answer same as in SI.	Answer same as in Sl.	Answer same as in SI.	Answer same as in Sl.	Answer same as in SI.
	ii. True Up	Nos. 1 & 2	Nos. 1 & 2	Nos. 1 & 2	Nos. 1 & 2	Nos. 1 & 2
	iii. ARR					
	iv. Tariff Order					
5	Whether the applicability of Tariff is till the end		The Tariff Order will be			
	of the financial year (Yes/No)?	applicable till the	applicable till the	applicable till the	applicable till the	applicable till the
		issuance of the next	issuance of the next	issuance of the next	issuance of the next	issuance of the next
		Tariff Order	Tariff Order	Tariff Order	Tariff Order	Tariff Order
1	Annual Revenue Requirement (in Rs. Cr.)	335.39	408.44	405.40	411.01	422.42
	GoJ Subsidy (in Rs. Cr.)	-	-	-	-	-
2	Saleable Energy (in MUs)	643.65	655.45	672.54	694.13	722.54
	Revenue (in Rs. Cr.)	290.82	NA	NA	NA	NA
3	Average Cost of Supply (Rs./kWh)	5.21	6.23	6.03	5.92	5.85
4	Average Tariff (Rs./kWh)	4.52				
5	Revenue gap between ABR and ACS per unit of only the year in consideration (in Rs./kWh)	(0.69)				
6	Whether Regulatory Assets have been created?	No	No	No	No	No
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA	NA	NA	NA	NA
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA	NA	NA	NA	NA
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NA	NA	NA	NA	NA

	Whether Fuel Surcharge Adjustment	Yes	Yes	Yes	Yes	Yes
	formula/mechanism provided in regulation					
1	(Yes/No)?					
	Frequency of adjustment of Fuel Surcharge as	Quarterly	Quarterly	Quarterly	Quarterly	Quarterly
	per the regulations (monthly/ bi-					
2	monthly/quarterly)?					
3	Fuel Surcharge Adjustment being done as per	No. Done in the True-up				
	the regulations? If not, please provide the	Order for the Year as				
	reasons thereof.	Quarterly submission				
		was not made.				

No. MERC/FoR/OP No. 1/

**26 September 2020** 

The Secretary,

Forum of Regulators, C/O Central Electricity Regulatory Commission (CERC) 3<sup>rd</sup> Floor & 4<sup>th</sup> floor, Chanderlok Building 36, Janpath, New Delhi 110 001

Subject: Compliance of APTEL Direction in Order dated 30.06.2014 in OP. No. 1 of 2011.

Sir,

With reference FoR's email dated 5 August 2020 regarding submission of compliance of APTEL Order in OP No. 1 of 2011, information pertaining to Maharashtra Electricity Regulatory Commission (MERC) in prescribed format is enclosed herewith.

Kindly note that in compliance of mandate under the Electricity Act, 2003 and the Tariff Policy framed there under, the MERC has adopted Multi Year Tariff framework for State of Maharashtra. Under this MYT framework, tariff for each year of the Control Period is decided upfront at the start of Control Period. Thus, once a Financial Year ends, new Tariff as already approved in MYT Order become applicable from 1 April of next financial year. Therefore, even if there is some delay in issuance of MYT Order due to some unavoidable circumstance, such delay is restricted only to the first year and for remaining years of the Control Period, tariff approved in MYT Order becomes applicable from 1 April itself without any delay.

Further, MYT frameworks also have provision of Mid Term Review, wherein variation from projections approved in MYT Order can be scrutinized and appropriate correction in approved tariff for remaining period of Control Period can be undertaken. This process has enable utilities to pass on variation at mid of the Control Period without waiting till end of the Control Period.

The State of Maharashtra has four major electricity Distribution Licensees, namely the Maharashtra State Electricity Distribution Company Limited (MSEDCL), the Brihanmumbai Electric Supply and Transport Undertaking (BEST), Adani Electricity Maharashtra Ltd.-Distribution(AEML-D) [Previously Reliance Infrastructure Limited-Distribution (RInfra-D)] and The Tata Power Company Limited-Distribution (TPC-D).

Detailed compliance status of these Distribution Licensees with respect of APTEL Judgment in OP No.1 in prescribed format is enclosed herewith.

Yours faithfully,

Sd/(Rajendra Ambekar)
Executive Director, MERC

Encl: Information in prescribed format

# **Maharashtra Electricity Regulatory Commission (MERC)**

## <u>Discom – Maharashtra State Electricity Distribution Co. Ltd.</u>

Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011

S. No.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20				
	I. Timelines	of Tariff Deteri	nination Proces	S						
		A. Tariff Fili	ng							
1	Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)?									
	i. APR	Not Applicable	Not Applicable	30 Nov 2017	Not Applicable	30 Nov 2019				
	ii. True Up	30 Nov 2017	30 Nov 2017	30 Nov 2019	30 Nov 2019	30 Nov 2022				
	iii. ARR iv. Tariff Order	31 May 2014	15 Jar	n 2016	30 Nov 2017	30 Nov 2017				
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?									
	i. APR	Not Applicable	Not Applicable	21 Dec 2017	Not Applicable	27 Nov 2019				
	ii. True Up	21 Dec 2017	21 Dec 2017	27 Nov 2019	27 Nov 2019	Not Applicable				
	iii. ARR	7 Jun 2014 /	4 Mar 2016/	4 Mar 2016/ 10 Jun 2016*		21 Dec 2017/				
	iv. Tariff Order	3 Feb 2015*	4 Wiai 2010/	10 Juli 2010	4 Jul 2018*	4 Jul 2018*				
		* two dates ind	icate initial and r	evised Petition fi	ling dates.					
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff C is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, provide the reasons thereof									
	i. APR	Not Applicable	Not Applicable	21 days delay	Not Applicable	No Delay				
	ii. True Up	21 days delay	21 days delay	No Delay	No Delay	Not Applicable				

# **Maharashtra Electricity Regulatory Commission (MERC)**

# <u>Discom – Maharashtra State Electricity Distribution Co. Ltd.</u>

Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011

S. No.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20	
	iii. ARR	7 days delay	action taken.	No Suo-motu Being MYT r delay does not	21 days delay	21 days delay	
	iv. Tariff Order		have any impac	et on subsequent ars.		, ,	
	F	B. Tariff Order					
4	Whether Annual Performance Review, true up of pasissues regularly within the time specified in accordance						
	i. APR	Not Applicable	Not Applicable	RP – 4/7/2018 Order- 12/9/18 Time – 70 days	Not Applicable	RP – 13/1/20 Order- 30/3/20 Time – 77 days	
	ii. True Up	RP – 4/7/18 Order- 12/9/18 Time – 70 days	RP – 4/7/18 Order- 12/9/18 Time – 70 days	RP – 13/1/20 Order- 30/3/20 Time – 77 days	RP – 13/1/20 Order- 30/3/20 Time – 77 days	Not Applicable	
	iii. ARR	RP – 3/2/15 Order-	RP – 10 June 20		RP – 4/7/18 Order- 12/9/18	RP – 4/7/18 Order-	
	iv. Tariff Order	26/6/15 Time – 143 days	Order – 3 November 2016 Time taken – 146 days  Time – 70 days  s extra due to 6 Public Hearings at different local			12/9/18 Time – 70 days	
		Maharashtra. T		in year 2015 and	2016. In the year		
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	Yes	Yes	Yes	Yes	Yes	
	Note RP=Revised Petition						

## <u>Discom – Maharashtra State Electricity Distribution Co. Ltd.</u>

S. No.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
				1		
1	Annual Revenue Requirement (Rs. CR)	55602	57990	64891	75157	80217
2	Saleable Energy (MUs)	92216	91229	96210	103713	108369
3	Average Cost of Supply (Rs/kWh)	6.03	6.36	6.74	7.25	7.40
4	Average tariff (Rs/kWh)*	6.03	6.36	6.74	6.63	6.85
5	Revenue gap between ARR and ACS per unit of only the year in consideration (Rs/kWh)	Nil	Nil	Nil	0.60	0.55
6	Whether Regulatory Assets have been created?	No	No	No	Yes	Yes
7	If yes, whether the creation of Regulatory Asset is in line with the National Tariff Policy?	Not Applicable	Not Applicable	Not Applicable	Yes	Yes
8	Whether a road map (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	Not Applicable	Not Applicable	Not Applicable	Yes	Yes
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	Not Applicable	Not Applicable	Not Applicable	Yes	Yes
	III. Fuel & Pow	er Purchase Cos	t Adjustment			
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes	Yes	Yes	Yes	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/bi-monthly/quarterly)?	Yes	Yes	Yes	Yes	Yes
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Yes	Yes	Yes	Yes	Yes

# <u>Discom – Brihanmumbai Electric Supply and Transport Undertaking.</u>

S. No.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
	I. Timelines	of Tariff Deter	mination Process	S		
		A. Tariff Fili	ng			
1	Whether timeline for filing petitions for Annual Per (ARR) and tariff Order specified in Tariff Regulation				•	ue Requirement
	i. APR	Not Applicable	Not Applicable	30 Nov 2017	Not Applicable	30 Nov 2019
	ii. True Up	30 Nov 2017	30 Nov 2017	30 Nov 2019	30 Nov 2019	30 Nov 2022
	iii. ARR iv. Tariff Order	15 March 2013	15 Jar	ı 2016	30 Nov 2017	30 Nov 2017
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?				nd Tariff Order	
	i. APR	Not Applicable	Not Applicable	21 Dec 2017	Not Applicable	29 Nov 2019
	ii. True Up	21 Dec 2017	21 Dec 2017	29 Nov 2019	29 Nov 2019	Not Applicable
	iii. ARR	14 Feb 2013 /	15 Fab 2016/	26 Apr 2016*	21 Dec 2017/	21 Dec 2017/
	iv. Tariff Order	9 Apr 2013*	13 1 60 2010/	20 Apr 2010	4 Jul 2018*	4 Jul 2018*
		* tw	o dates indicate i	nitial and revised	d Petition filing d	ates.
3	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Ord is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, plear provide the reasons thereof					
	i. APR	Not Applicable	Not Applicable	21 days delay	Not Applicable	No Delay
	ii. True Up	21 days delay	21 days delay	No Delay	No Delay	Not Applicable

# <u>Discom – Brihanmumbai Electric Supply and Transport Undertaking.</u>

S. No.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
	iii. ARR		30 days delay.	No Suo-motu		
			action taken.			
	in Theiff Outer	No Delay	Petition, 1 <sup>st</sup> year	r delay does not	21 days delay	21 days delay
	iv. Tariff Order		have any impac	t on subsequent		
			yea	ars.		
	E	3. Tariff Order				
4	Whether Annual Performance Review, true up of pas					
	issues regularly within the time specified in accordar	nce with the Act (	please indicate th	ne date of tariff p	etition and date of	f tariff order)?
				RP - 28/6/18		RP - 7/1/20
		Not	Not	Order-	Not	Order-
	i. APR	Applicable	Applicable	12/9/18	Applicable	30/3/20
		rippiicusic	rippiicuoic	Time – 76	Пррпоцето	Time – 83
				days		days
		RP - 28/6/18	RP - 28/6/18	RP - 7/1/20	RP - 7/1/20	
		Order-	Order-	Order-	Order-	Not
	ii. True Up	12/9/18	12/9/18	30/3/20	30/3/20	Applicable
		Time – 76	Time – 76	Time – 83	Time – 83	rappirouero
		days	days	days	days	77 70/4/10
	iii. ARR	RP – 9/4/2013			RP - 28/6/18	RP - 28/6/18
		Order-	RP – 26 A		Order- 12/9/18	Order-
		28/8/13	Order – 28 C		Time – 76	12/9/18
	iv. Tariff Order	Time – 141	Time taken	– 185 days	days	Time – 76
	iv. Tariii Order	days		015 10016 1	.1 2010	days
		I nese dela			the year 2018 an	a 2020, the
	TATE OF THE CONTROL O		Commission iss	ued tariff Order	witiiin 120 days.	
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	Yes	Yes	Yes	Yes	Yes
					Note RP=1	Revised Petition

## <u>Discom – Brihanmumbai Electric Supply and Transport Undertaking.</u>

	rormat for compliation of data regarding the directions given by APTEL through its judgement dated 11.11.2011					
S. No.	Particulars Particulars Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
1	Annual Revenue Requirement (Rs. CR)	6847	4113	3940	3367	3405
2	Saleable Energy (MUs)	5735	4668	4764	4692	4869
3	Average Cost of Supply (Rs/kWh)	11.94	8.81	8.27	7.18	6.99
4	Average tariff (Rs/kWh)*	11.94	8.81	8.27	7.18	6.99
5	Revenue gap between ARR and ACS per unit of only the year in consideration (Rs/kWh)	Nil	Nil	Nil	Nil	Nil
6	Whether Regulatory Assets have been created?	No	No	No	No	No
7	If yes, whether the creation of Regulatory Asset is in line with the National Tariff Policy?	Not Applicable	Not Applicable	Not Applicable	Not Applicable	Not Applicable
8	Whether a road map (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	Not Applicable	Not Applicable	Not Applicable	Not Applicable	Not Applicable
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	Not Applicable	Not Applicable	Not Applicable	Not Applicable	Not Applicable
	III. Fuel & Power	er Purchase Cos	t Adjustment			
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes	Yes	Yes	Yes	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/bi-monthly/quarterly)?	Yes	Yes	Yes	Yes	Yes
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Yes	Yes	Yes	Yes	Yes

## <u>Discom – Adani Electricity Mumbai Ltd. (Distribution Business)</u>

S. No.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
	I. Timelines	of Tariff Determ	ination Process			
		A. Tariff Filin	g			
1	Whether timeline for filing petitions for Annual Performance (ARR) and tariff Order specified in Tariff Regulation					e Requirement
	i. APR	Not Applicable	Not Applicable	30 Nov 2017	Not Applicable	30 Nov 2019
	ii. True Up	30 Nov 2017	30 Nov 2017	30 Nov 2019	30 Nov 2019	30 Nov 2022
	iii. ARR iv. Tariff Order	30 Nov 2014	15 Jar	2016	30 Nov 2017	30 Nov 2017
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?				d Tariff Order	
	i. APR	Not Applicable	Not Applicable	21 Dec 2017	Not Applicable	30 Nov 2019
	ii. True Up	21 Dec 2017	21 Dec 2017	30 Nov 2019	30 Nov 2019	Not Applicable
	iii. ARR iv. Tariff Order	30 Dec 2014 / 13 Feb 2015*	16 Feb 2016/	19 Mar 2016*	21 Dec 2017/ 4 Jul 2018*	21 Dec 17/ 4 Jul 2018*
		* two dates indi	icate initial and r	evised Petition f	iling dates.	
3	If delay in filing of Annual Performance Review (A Order is beyond one month, whether the Regulatory please provide the reasons thereof					
	i. APR	Not Applicable	Not Applicable	21 days delay	Not Applicable	No Delay
	ii. True Up	21 days delay	21 days delay	No Delay	No Delay	Not Applicable

## <u>Discom – Adani Electricity Mumbai Ltd. (Distribution Business)</u>

S. No.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
	iii. ARR iv. Tariff Order	30 days delay	33 days delay. action taken. Petition, 1 <sup>st</sup> ye not have any subseque	Being MYT ear delay does y impact on	21 days delay	21 days delay
		B. Tariff (	Order	•		
4	Whether Annual Performance Review, true up of passissues regularly within the time specified in accordant order)?	•	-	•		-
	i. APR	Not Applicable	Not Applicable	RP – 26/6/18 Order- 12/9/18 Time – 78 days	Not Applicable	RP – 7/1/20 Order- 30/3/20 Time – 83 days
	ii. True Up	RP – 26/6/18 Order- 12/9/18 Time – 78 days	RP – 26/6/18 Order- 12/9/18 Time – 78 days	RP – 7/1/20 Order- 30/3/20 Time – 83 days	RP – 7/1/20 Order- 30/3/20 Time – 83 days	Not Applicable
	iii. ARR iv. Tariff Order	RP – 13/2/15 Order- 26/6/15 Time – 133 days	RP – 19 M Order – 21 C Time taken	Iarch 2016 October 2016	RP – 26/6/18 Order- 12/9/18 Time – 78 days	RP – 26/6/18 Order- 12/9/18 Time – 78 days
		•	ere in year 2015 a sued tariff Order		year 2018 and 20	020, the
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	Yes	Yes	Yes	Yes	Yes

## <u>Discom – Adani Electricity Mumbai Ltd. (Distribution Business)</u>

S. No.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
				•	Note RP=R	Revised Petition
1	Annual Revenue Requirement (Rs. CR)	5694	6539	6765	6908	7266
2	Saleable Energy (MUs)	7767	7737	7937	8579	8887
3	Average Cost of Supply (Rs/kWh)	7.33	8.45	8.52	8.05	8.18
4	Average tariff (Rs/kWh)*	8.23	9.40	9.33	8.60	8.68
5	Revenue gap between ARR and ACS per unit of only the year in consideration (Rs/kWh)	(0.90)#	(0.95)#	(0.81)#	(0.55)#	(0.50)#
6	Whether Regulatory Assets have been created?	Yes	Yes	Yes	Yes	Yes
7	If yes, whether the creation of Regulatory Asset is in line with the National Tariff Policy?	Yes	Yes	Yes	Yes	Yes
8	Whether a road map (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	Yes	Yes	Yes	Yes	Yes
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	Yes	Yes	Yes	Yes	Yes
	#Negative rate indicate recover	ry of Regulatory	Asset. No Regula	tory Asset is levi	ed in FY 2020-2.	l and onwards.
	III. Fuel & Powe	er Purchase Cos	t Adjustment			
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes	Yes	Yes	Yes	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/bi-monthly/quarterly)?	Yes	Yes	Yes	Yes	Yes
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Yes	Yes	Yes	Yes	Yes

## <u>Discom – Tata Power Company Ltd (Distribution Business)</u>

	mat for compilation of data regarding the direction					
S. No.	Particulars Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
	I. Timelines	of Tariff Determ	nination Process			
	A. Tariff Filing					
1	Whether timeline for filing petitions for Annual Peri (ARR) and tariff Order specified in Tariff Regulation					ue Requirement
	i. APR	Not Applicable	Not Applicable	30 Nov 2017	Not Applicable	30 Nov 2019
	ii. True Up	30 Nov 2017	30 Nov 2017	30 Nov 2019	30 Nov 2019	30 Nov 2022
	iii. ARR iv. Tariff Order	30 Nov 2014	15 Jan	2016	30 Nov 2017	30 Nov 2017
2	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Ord are being filed as per the requirements of regulation (please also provide the date of filing)?					nd Tariff Order
	i. APR	Not Applicable	Not Applicable	25 Jan 2018	Not Applicable	29 Nov 2019
	ii. True Up	25 Jan 2018	25 Jan 2018	29 Nov 2019	29 Nov 2019	Not Applicable
	iii. ARR iv. Tariff Order	12 Jan 2015 / 23 Feb 2015*	1Mar 2016/ 2	4 Apr 2016*	25 Jan 2018/ 30 Jun 2018*	25 Jan 2018/ 30 Jun 2018*
		* two dates ind	icate initial and re	evised Petition fi	ling dates.	
3	If delay in filing of Annual Performance Review (API is beyond one month, whether the Regulatory Comprovide the reasons thereof	R), true up of pas	t expenses, Avera	ge Revenue Req	uirement (ARR) a	
	i. APR	Not Applicable	Not Applicable	57 days delay	Not Applicable	No Delay
	ii. True Up	57 days delay	57 days delay	No Delay	No Delay	Not Applicable

# <u>Discom – Tata Power Company Ltd (Distribution Business)</u>

S. No.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
	iii. ARR iv. Tariff Order	42 Days delay	46 days delay. action taken. Petition, 1 <sup>st</sup> ye not have any subseque	Being MYT ear delay does y impact on	57 days delay	57 days delay
		B. Tariff	Order			
4	Whether Annual Performance Review, true up of pasissues regularly within the time specified in accordance			e date of tariff p		f tariff order)?
	i. APR	Not Applicable	Not Applicable	RP – 30/6/18 Order- 12/9/18 Time – 74 days	Not Applicable	RP – 7/1/20 Order- 30/3/20 Time – 83 days
	ii. True Up	RP – 30/6/18 Order- 12/9/18 Time – 74 days	RP – 30/6/18 Order- 12/9/18 Time – 74 days	RP – 7/1/20 Order- 30/3/20 Time – 83 days	RP – 7/1/20 Order- 30/3/20 Time – 83 days	Not Applicable
	iii. ARR	RP – 23/2/15 Order-	RP – 24 A	-	RP – 30/6/18 Order- 12/9/18	RP – 30/6/18 Order-
	iv. Tariff Order			- 181 days and 2016. In the	Time – 74 days year 2018 and 20	12/9/18 Time – 74 days 20, the
5	Whather the applicability of Toutes is 411 the second	Commission iss	ued tariff Order	within 120 days. 		
3	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	Yes	Yes	Yes	Yes	Yes
					Note RP=1	Revised Petition

# <u>Discom – Tata Power Company Ltd (Distribution Business)</u>

	ormat for compliation of data regarding the directions given by AFTEL through its judgement dated 11.11.2011					
S. No.	Particulars Particulars Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
1	Annual Revenue Requirement (Rs. CR)	4083	2946	3111	2976	3155
2	Saleable Energy (MUs)	6556	4950	5102	4480	4607
3	Average Cost of Supply (Rs/kWh)	6.23	5.95	6.10	6.64	6.85
4	Average tariff (Rs/kWh)*	7.71	6.79	6.95	7.39	7.51
5	Revenue gap between ARR and ACS per unit of only the year in consideration (Rs/kWh)	(1.48)#	(0.84)#	(0.85)#	(0.75)#	(0.66)#
6	Whether Regulatory Assets have been created?	Yes	Yes	Yes	Yes	Yes
7	If yes, whether the creation of Regulatory Asset is in line with the National Tariff Policy?	Yes	Yes	Yes	Yes	Yes
8	Whether a road map (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	Yes	Yes	Yes	Yes	Yes
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	Yes	Yes	Yes	Yes	Yes
	#Negative rate indicate rec	overy of Regulate	ory Asset. No Reg	gulatory Asset is	levied in FY 2020	0-21 & onwards
	III. Fuel & Powe			<u> </u>		
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes	Yes	Yes	Yes	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/bi-monthly/quarterly)?	Yes	Yes	Yes	Yes	Yes
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Yes	Yes	Yes	Yes	Yes

# NAGALAND ELECTRICITY REGULATORY COMMISSION (NERC) NAGALAND: KOHIMA

Upper Agri Colony, House No.341, Nagaland:Kohima-797001 Tel/Fax: 2241592(R) e-mail:nerc\_kohima@yahoo.com nerckohima@hotmail.com www.nerc.org.in

No. NERC/FOR /T-6/2019 (Pt-III)/594

Dated Kohima, the 14, th Aug., '20

To,

The Assistant Secretary,

Forum of Regulators (FOR), C/o. Central Electricity Regulatory Commission (CERC), 3<sup>rd</sup> & 4<sup>th</sup> Floors, Chanderlok building, 36, Janpath, New Delhi- 110001.

Sub:- Compliance of the directions of Appellate Tribunal for Electricity issued vide Order dated 3<sup>rd</sup> November 2014 & 23<sup>rd</sup> September 2019 in OP No.1 of 2011.

Ref:- Your letter No. 15/9(1)/2011/APTEL-TA/FOR/CERC(Vol-VI) dt: 05<sup>th</sup> Aug., 2020.

Sir,

I am directed to enclose herewith the prescribed format duly filled as desired for your necessary action please.

Encl:- As stated above.

Yours faithfully,

(W.Y.YANTHAN)

Secretary,

Nagaland Electricity Regulatory Commission (NERC), Kohima.

No. NERC/FOR /T-6/2019 (Pt-III)/

Dated Kohima, the

th Aug., '20

## Copy to:-

- 1. The Principal Secretary, Power Department, Nagaland, Kohima.
- 2. The Engineer-in-Chief, Department of Power, Nagaland, Kohima.
- 3. The Chief Engineer (D&R), Department of Power, Nagaland, Kohima.

(W. Y. YANTHAN)

Ofu

		galand Electricity Regulatory Commission (NERC) egarding the directions given by APTEL through its judgment dated 11.11.2011
SI. No.	Particulars	2019-20
1	Whether timeline for filing petitions for Ann	ual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and
		es/No and also please mention the timelines)?
	i. APR	Yes (by 30 <sup>th</sup> November of the CFY)
	ii. True Up	-do-
	iii. ARR	-do-
	iv. Tariff Order	-do-
2	If yes, whether Annual Performance Review	(APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed
	as per the requirements of regulation (pleas	e also provide the date of filing)?
	i. APR	
	ii. True Up	Yes (by 28 <sup>th</sup> November, 2018)
	iii. ARR	res (by 28 November, 2018)
3 1	iv. Tariff Order	
		ew (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond assion has taken any suo-motu action for determination of tariff? If not, please provide the reasons
1	ii. True Up	
	iii. ARR	NA
1	iv. Tariff Order	
4	Whether Annual Performance Review (APR),	true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued ance with the Act (please indicate the date of tariff petition and date of tariff order)?
	i. APR	The state of the s
Ì	ii. True Up	Petition: 28/11/2018
	iii. ARR	Order: 23/04/2019 (Delayed due to Model Code of Conduct)
	iv. Tariff Order	



5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	Yes
1	Annual Revenue Requirement (in Rs. Cr.)	618.40
2	Saleable Energy (in MUs)	713.19
3	Average Cost of Supply (Rs./kWh)	8.67
4	Average Tariff (Rs./kWh)*	5.75
5	Revenue gap between ARR and ACS per unit of onlythe year in consideration (in Rs./kWh)	2.92
6	Whether Regulatory Assets have been created?	No (Revenue gap of Rs.208.31 was met by the State Government)
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA .
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NA
	III. Fuel & P	ower Purchase Cost Adjustment
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	NA
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	NA
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	NA .



# PUNJAB STATE ELECTRICITY REGULATORY COMMISSION SITE NO. 3, MADHYA MARG, SECTOR 18-A, CHANDIGARH

To

Assistant Secretary, Forum of Regulators (FOR) Central Electricity Regulatory Commission, 3<sup>rd</sup> & 4<sup>th</sup> Floor, Chanderlok Building, 36, Janpath, New Delhi-110001

[e-mail: asecy.for@gmail.com] [e-mail: ankit.cerc@gmail.com]

No. PSERC/Tariff/T-45 Vol-XIV/ 4083

Dated:

18/9/20

Subject:

Compliance of the directions of the Appellate Tribunal for Electricity issued vide Order dated 03<sup>rd</sup> November 2014 and 23<sup>rd</sup> September 2019 in OP No. 1 of 2011 - reg.

Please refer to your email dated 10.09.2020 on the subject. The requisite information on the prescribed format is enclosed herewith as Annexure-I.

Secretary

# Punjab State Electricity Regulatory Commission (PSERC)

Sr. No.	Particulars	2019-20	
	I. Timeliness of Tariff Determination Process		
		. Tariff Filing	
1	Requirement (ARR	or filing petitions for Annual Performance up of past expenses, Average Revenu ) and Tariff Order specified in Tarificand also please mention the timelines)?	
	i. APR	Yes /	
	ii. True Up	30 <sup>th</sup> November of the year preceding the first year of control period.	
	iii. ARR	, sales solution policies	
	iv. Tariff Order		
	are being filed as per	evenue Requirement (ARR) and Tariff Order	
	provide the date of fi		
	i. APR	Yes	
	i. APR ii. True Up	ling)?	
	i. APR	Yes	
•	i. APR ii. True Up	Yes	
	i. APR ii. True Up iii. ARR iv. Tariff Order  If delay in filing of Arpast expenses, Avera Order is beyond one	Yes Date of filing was 30 <sup>th</sup> November 2018  nnual Performance Review (APR), true up of age Revenue Requirement (ARR) and Tariff month, whether the Regulatory Commission of action for determination of tariff? If not	
	i. APR ii. True Up iii. ARR iv. Tariff Order  If delay in filing of Arpast expenses, Avera Order is beyond one has taken any suo-m	Yes Date of filing was 30 <sup>th</sup> November 2018  nnual Performance Review (APR), true up of age Revenue Requirement (ARR) and Tariffmonth, whether the Regulatory Commission of action for determination of tariff? If not, asons thereof	
	i. APR ii. True Up iii. ARR iv. Tariff Order  If delay in filing of Arpast expenses, Avera Order is beyond one has taken any suo-mplease provide the rea	Yes Date of filing was 30 <sup>th</sup> November 2018  nnual Performance Review (APR), true up of age Revenue Requirement (ARR) and Tariff month, whether the Regulatory Commission of action for determination of tariff? If not	
	i. APR ii. True Up iii. ARR iv. Tariff Order  If delay in filing of Arpast expenses, Avera Order is beyond one has taken any suo-mplease provide the real i. APR	Yes Date of filing was 30 <sup>th</sup> November 2018  nnual Performance Review (APR), true up of age Revenue Requirement (ARR) and Tariffmonth, whether the Regulatory Commission of action for determination of tariff? If not, asons thereof	

Sr. No.	Particulars	2019-20	
	B. Tariff (	Order	
4	expenses, Average Revenue	e Review (APR), true up of past Requirement (ARR) and Tariff ularly within the time specified in ease indicate the date of tariff petition	
	i. APR	The Commission endeavours to issue Tariff Order as per timelines specified in	
	ii. True Up	the Regulations. The Tariff Order for FY 2019-20 was issued on 27 <sup>th</sup> May 2019,	
	iii. ARR	immediately after the Lok Sabha polls (Model Code of conduct was in force	
	iv. Tariff Order	with effect from 10.03.2019, till 23.05.2019).	
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	Yes	
what garden was the condition of the con		22240.70	
1	Annual Revenue	33249.70	
	Requirement (in Rs. Crore)	50152	
2	Saleable Energy (in MUs)	6.63	
3	Average Cost of Supply	0.00	
1	(Rs./kWh) Average Tariff (Rs./kWh)*	6.63	
5	Revenue gap between ARR and ACS per unit of only the year in consideration.	And the second s	
6	Whether Regulatory Assets have been created?	No .	
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy.		
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	Not Applicable	
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?		

Sr. No.	Particulars	2019-20
	III. Fuel & Power Purchase Cos	t Adjustment
1	Whether Fuel Surcharge Adjustment formula/ mechanism provided in regulation (Yes/No)?	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Yes

# RAJASTHAN ELECTRICITY REGULATORY COMMISSION

'Vidyut Viniyamak Bhawan', Near State Motor Garage, Sahakar Marg, Jaipur Tel.: 0141-2741299, 2740067 Fax: 0141-2741018 E-mail: rercjpr@yahoo.co.in Website: www.rerc.rajasthan.gov.in

RERC/Secy. /Dir (Tech.-I)./F / D

731

D1. 31-08-2020

The Assistant Secretary,
Forum of Regulators,
Secretariat: C/o.,
Central Electricity Regulatory Commission (CERC),
3rd& 4th Floors, Chandralok Building,
36-Janpath, New Delhi-110001

Sub:- Compliance of the directions of Hon'ble Appellate Tribunal for Electricity issued vide order dated 3<sup>rd</sup> November, 2014 and 23<sup>rd</sup> September, 2019 in OP No. 1 of 2011-reg.

Ref:- Letter No. 15/9(1)/2011/ APTEL-TA/FOR/CERC(Vol-VI) dated 18th August. 2020 from Assistant Secretary-FOR

Sir,

With reference to the above, kindly find enclosed herewith the requisite information in the format prescribed by the FOR.

Encl:- As above

(8.K.Dosi) Secretary

1	RAJASTHAN ELECTRICITY	REGULATO	ORY COMMISSION (RERC)
Forma	for compilation of data regarding the	directions	given by APTEL through its judgement dated
s. No.	Particulars		2019-20
	I. Timeliness of	Tariff Deterr	nination Process
		A. Tariff Filin	g
1			Review (APR), true up of past expenses, Average Revenuations (Yes/No and also please mention the timelines) ?
	i. APR ii. True Up	All Discoms	Yes, latest by 30th November of each year for petition for Truing up of ARR of previous year
	iii. ARR iv. Tariff Order	All Discoms	Yes, latest by 30th November of each year for petition for approval of ARR and determination of Tariff for ensuing year
2	IF yes, whether Annual Performance Review (A Tariff Order are being filed as per the requirent i. APR  ii True up	APR), true up onent of regulat JVVNL AVVNL JdVVNL	of past expenses, Average Revenue Requirement (ARR) an
	iii. ARR iv Tariff Order	JVVNL AVVNL JdVVNL	No, 06.08.2019 No, 06.08.2019 No, 06.08.2019
3	and Tariff Order is beyond one month, who determination of tariff ? If not, please provide i. APR ii. True Up	ether the Reg	up of past expenses, Average Revenue Requirement (ARR gulatory Commission has taken any suo-motu action for nereof  Generally, Discoms seek permission for extension of time for filing of petition. As such Commission need not take suo-motu action
	iv. Tariff Order	B. Tariff Orde	
4	iv. Tariff Order	rue up of past	expenses, Average Revenue Requirement (ARR) and Tarif accrodance with the Act (please indicate the date of tarif
4	Whether Annual Performance Review (APR), to Order are being issued regularly within the timpetition and date of tariff order)  i. APR  ii. True up  iii. ARR  iv. Tariff Order  whether the applicability of Tariff is till the	rue up of past ne specified in All Discoms	expenses, Average Revenue Requirement (ARR) and Tarif accrodance with the Act (please indicate the date of tarif Petition is yet to be filed as due date of filing in 30.11.2020
	iv. Tariff Order  Whether Annual Performance Review (APR), to Order are being issued regularly within the time petition and date of tariff order)  i. APR  ii. True up  iii. ARR  iv. Tariff Order	rue up of past ne specified in All Discoms	expenses, Average Revenue Requirement (ARR) and Tarifi accrodance with the Act (please indicate the date of tarifi and tarification is yet to be filed as due date of filing in 30.11.2020  06.02.2020  Applicability of Tariff is till the issue of next Tariff Order
	Whether Annual Performance Review (APR), to Order are being issued regularly within the timpetition and date of tariff order)  i. APR  ii. True up  iii. ARR  iv. Tariff Order  whether the applicability of Tariff is till the	rue up of past ne specified in All Discoms	expenses, Average Revenue Requirement (ARR) and Tarif accrodance with the Act (please indicate the date of tarif  Petition is yet to be filed as due date of filing is 30.11.2020  06.02.2020  Applicability of Tariff is till the issue of next Tariff Order
	Whether Annual Performance Review (APR), to Order are being issued regularly within the time petition and date of tariff order)  i. APR  ii. True up  iii. ARR  iv. Tariff Order  whether the applicability of Tariff is till the end of the financial year (Yes/No)?	All Discoms  JVVNL  AVVNL	expenses, Average Revenue Requirement (ARR) and Tarifi accrodance with the Act (please indicate the date of tarifi accrodance with the Act (please indicate the date of filing is 30.11.2020  06.02.2020  Applicability of Tariff is till the issue of next Tariff Order  19837  14280
5	Whether Annual Performance Review (APR), to Order are being issued regularly within the timpetition and date of tariff order)  i. APR  ii. True up  iii. ARR  iv. Tariff Order  whether the applicability of Tariff is till the	All Discoms	expenses, Average Revenue Requirement (ARR) and Taria accrodance with the Act (please indicate the date of tariance Petition is yet to be filed as due date of filing 30.11.2020  06.02.2020  Applicability of Tariff is till the issue of next Tariff Order

# RAJASTHAN ELECTRICITY REGULATORY COMMISSION (RERC)

No.	Particulars		2019-20
		JVVNL	25044
		AVVNL	17666
2	Saleable Energy (in Mus)	JdVVNL	20330
		RAJASTHAN	63040
		JVVNL	7.92
-	A CONTRACTOR (OF MONTH)	AVVNL	8.08
3	Average Cost of Supplies (RS. / KWII)	JdVVNL	8.14
	20	RAJASTHAN	8.04
		77 7 7 7 7 7	
4			
	(Charge)	AVVNL JdVVNL RAJASTHAN  Supplies (Rs. /KWh)  Supplies (Rs. /KWh)  RS./KWh  Ing full year revenue including AVVNL ainst ED and Compounding JdVVNL RAJASTHAN  Setween ARR and ACS per unit rin consideration (in Rs./KWh)  AVVNL JdVVNL RAJASTHAN  Setween ARR and ACS per unit rin consideration (in Rs./KWh)  In consi	7.63
5	그 그게 많은 아니지 않는데 그 하는 이렇게 이 사람이 되었습니다. 나는 나는 얼마나는 말입니다. 이번에 되었습니다.		
	of only the year in consideration (in Rs./KWh)		
		JVVNL 25044 AVVNL 17666  JdVVNL 20330  RAJASTHAN 63040  JVVNL 7.92 AVVNL 8.08 JdVVNL 8.14 RAJASTHAN 8.04  JVVNL 8.16 AVVNL 8.11 JVVNL 8.16 JVVNL 7.39 RAJASTHAN 7.63  Per unit JVVNL 7.63  Per unit JVVNL 9.11 JV	0.41
6	Whether Regulatory Assets have been created ?		Yes, Commission has recognised unfunded gap in ARR of Discoms.
7	AVVNL 17666  AVVNL 20330  RAJASTHAN 63040  AVVNL 7.92  AVVNL 8.08  JVVNL 8.14  RAJASTHAN 8.04  AVVNL 8.16  AVVNL 8.16  AVVNL 8.16  AVVNL 8.16  AVVNL 8.11  AVVNL 8.16  AVVNL 8.11  AVVNL 8.11  AVVNL 8.11  AVVNL 8.16  AVVNL 8.11  AVVNL 8.11  AVVNL 9.39  Charge 1 and Compounding JdVVNL 7.39  Charge 1 and Compounding JdVVNL 7.39  Revenue gap between ARR and ACS per unit JVVNL 7.63  Revenue gap between ARR and ACS per unit JVVNL 9.06  AVVNL	Recovery of such unfunded gap is contemplated throug surplus in ARR in future years	
8	exceeding 3 years) for the recovery of such Regulatory Assets been specified?		Provided that as and when created, the Regulatory Assesshall be amortised in such a manner that it is co-terminu with the MYT Control Period as far as possible and carrying cost shall be allowed to be added to the revenue requirement of each year till such time the Regulatory
	allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?		The second secon
U.S.	ill. Fuel and f	ower Purcha	ase Cost Adjustment
	formula/machanism provided in regulation (Yes/No)?		Yes
L	per the regulation		quarterly

	RAJASTHAN ELECTRICITY REGULATORY	COMMISSION (RERC)
Format	for compilation of data regarding the directions gives 11.11.2011	en by APTEL through its judgement dated
S. No.	Particulars	2019-20
3	Fuel Surcharge Adjustment being done as per the regulations ? If not, please provide the reasons thereof.	Yes

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		Sikkim State Elec	tricity Regulatory Commission								
	Format for	compilation of data regarding direct	tives given by APTEL through its judgment dated 11.11.2011								
SI. No.		Particulars	2019-20								
		I. Timelines	s for Tariff Determination Process								
	A. Tariff Filing  Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue										
		<u> </u>	Performance Review (APR), true up of past expenses, Average Revenue f Regulations (Yes/No and also please mention the timelines)								
	i.	APR	Yes , 30 <sup>th</sup> November of each year								
1	ii.	True Up	Yes , 30 <sup>th</sup> November of each year								
	iii.	ARR	Yes , 30 <sup>th</sup> November of each year								
	iv.	Tariff Order	120 days from date of filing of petition								
	If yes, whether Annual Performance Review (APR), to Order are being filed as per the requirements of regular		ue up of past expenses, Average Revenue Requirement (ARR) and Tariff lation (please also provide the date of filing)?								
2	i. ii.	APR	Yes. Date of Petition Filing: 29.11.2018								
	iii.	True Up  ARR									
	iv.	Tariff Order	29.05.2019								
	Order is beyo	•	), true up of past expenses, Average Revenue Requirement (ARR) and Tariff y Commission has taken any suo-motu action for determination of tariff? If								
3	i.	APR									
	ii.	True Up	Not Applicable. There has been no delay.								
	iii.	ARR									
	iv.	Tariff Order	29.05.2019. The delay in issuing Order is due to the Parliamentary and State Assembly Elections in the State and Model Code of Conduct.								
			B.Tariff Order								

## Annexure-I

		Annexure- o of past expenses, Average Revenue Requirement (ARR) and Tariff Orders in accordance with the Act (please indicate the date of tariff petition and		
4	i. APR			
	ii. True Up	Date of Tariff Petition: 29.11.2018		
	iii. ARR	Date of tariff Order: 29.5.2019		
	iv. Tariff Order			
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	Yes		
	Particulars			
1	Annual Revenue Requirement (in Rs. Cr.)	445.84 (Net ARR)		
2	Saleable Energy (in MUs)*	405.08		
3	Average Cost of Supply (Rs./kWh)	6.50		
4	Average Tariff (Rs./kWh)*	5.55		
5	Revenue gap between ARR and ACS per unit	0.95		
6	Whether Regulatory Assets have been created?	No		
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	NA		
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	NA		
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	NA		
	Fuel & Power	Purchase Cost Adjustment		
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation	Yes		

İ		(Yes/No)?	Annexure-I
	2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quaterly)?	Provision for Monthly adjustment provided in the regulations
	3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	The need for adjustment has not arisen so far as there are no thermal power stations owned by the DisCom. Thermal Power is procured by the DisCom from NTPC, as such Fuel Surcharge regulations of the CERC applicable.

<sup>\*:</sup> Average Tariff and Saleable energy for the State and also **separately for category-wise in Annexure** 



# TAMIL NADU ELECTRICITY REGULATORY COMMISSION

19-A, Rukmini Lakshmipathy Salai (Marshalls Road), Egmore, Chennai - 600 008. Phone: ++91-044-2841 1376 / 28411378 / 2841 1379 Fax: ++044 2841 1377 E-mail: tnerc@nic.in Website: www.tnerc.gov.in

The Asst. Secretary (FOR),

Central Electricity Regulatory Commission,

3<sup>rd</sup> & 4<sup>th</sup> Floor, Chanderlok Building,

36, Janpath, New Delhi 110 001.

# Letter No. TNERC/D(T)/DD(T2)/AD(F&EA)/F. Compliance of APTEL Order No.1 of 2011/D.No. 629 /2020 dated 10-08-2020

Sir,

Sub: Compliance of the directions of APTEL – Order passed in O.P.No.1 of 2011.

Ref: FOR Lr.No.15/9(1)/2011/APTEL-TA/FOR/CERC(Vol-VI) email dated 05-08-2020.

With reference to the above, I am directed to forward herewith the reports for the Financial Year 2019-20, as per the directions specified in para 65 and 66 of the judgment dated  $11^{th}$  November 2011 in the format approved by the Forum of Regulators.

Secretary/TNERC (a/c)

Encl: Format

# TAMIL NADU ELECTRICITY REGULATORY COMMISSION

l. no.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20	2020-21
. no.		I. Timelines of Tariff [	Determination Proces	SS			-
12795		MEET TO SERVICE THE SERVICE OF THE S	A. Tariff Filing				
1	Whether timeline for filing pe and Tariff Order specified in	titions for Annual Perfo Tariff Regulations (Yes	ormance Review (APR) /No and also please m	icitatori arte amreme		evenue Require	ement (ARF
	i. APR		Yes.	30th November of			
	ii. True up		То	be filed at the end of		4-	
	iii. ARR		Yes.	30th November of			
	iv. Tariff Order		Yes.				
2	If yes, whether Annual Per Order are being filed as pe	er the requirements o	regulation (please	expenses, Averag also provide the o	e Revenue Require date of filing)?  Details submitted		nd Tariff
	i. APR	No	No		(31.3.2020)	NA	NA
	ii. True up	27.1.2017	Details submitted (31.3.2020)	Details submitted (31.3.2020)	No	NA	NA
	iii. ARR	No	27.1.2017	27.1.2017	27.1.2017	Details submitt	ed (31.3.202
				27.1.2017	NA (T.O. dt.11.8.17	Details submitted	Details

SI. no.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20	2020-21
3	If delay in filing of Annual Per beyond one month, whether t the reasons thereof						
	i. APR	TANGEDCO filed Petition	No	Yes	Details submitted	NA	NA
	ii. True up	vide MP.no.6 of 2015 praying time extension for filing ARR for the year 2015- 16 till 31.1.2015 and	No	Details submitted	No	NA	NA
	iii. ARR	subsequently amended the prayer in the main petition seeking extension of time				Details submitted	Details submitted
	iv. Tariff Order	till 31st March 2015 and sought extension till Sep'2015. As such no suo motu order was passed by the Commission.	Petition submitted on 27.1.2017. MYT Order issued on 11.8.2017.  Details fu				
1000			B. Tariff Order			The state of the s	
4	Whether Annual Performar are being issued regularly date of tariff order)?  i. APR				se indicate the dat		
	ii. True up	Approved in T.O. dt.11.8.2017	Details submitted (Under scrutiny)	Details submitted (Under scrutiny)	(Under scrutiny) No	NA	NA
	iii. ARR	No	Filed on 27.1.17. it was approved on	Filed on 27.1.2017	Filed on 27.1.2017	Details furni	IERC could no
	iv. Tariff Order	No	Filed on 27.1.17. it was approved on	Tariff order issu	red on 11.08.2017	initiate the Suo-motu proceedings due to out b of COVID-19 situation	
	Whether the applicability of				ordered for 2017-18.	NA	

SI. no.	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20	2020-21
1	Annual Revenue Requirement (in Rs. Cr.)	42298.72	48376.02	53082.94	62067.91	63059.66	62371.58
2	Saleable Energy (in MUs)	67863	68055	69214	76126.66	77502.03	79058.04
3	Average Cost of Supply (Rs./kWh)	6.23	7.11	7.67	8.15	8.14	7.89
4	Average Tariff (Rs./kWh)*	5.90	6.25	5.75	5.65	5.66	5.69
5	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs./KWh)	-0.34	-0.85	-1.92	-2.51	-2.48	-2.19
6	Whether Regulatory Assets have been created ?	Yes (Rs.30,884 Cr.)	Yes (Rs.10,432.7 Cr.)	Under scrutiny	Under scrutiny	Under scrutiny	Under scrutiny
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	Yes	Yes	Yes	NA	NA	NA
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	Not Applicable	Yes	Yes	NA	NA	NA
9	Whether carrying cost of the Regulaotry Asset allowed to the utilities in the ARR of the year in which the Regualtory Assets are created.	Yes	Yes	NA	NA	NA	NA

H

		III. Fuel &	Power purchase co	ost adjustment			
1	Whether Fuel surcharge Adjustment formula / mechanism provided in Regulation (Yes/No)?	Yes (Formula provided in T.O.dt.20.6.13)	Yes	Yes	Yes	Yes	Yes
2	Frequency of adjustment of Fuel Surcharge as per the Regulations (Monthly / bi-monthly / quarterly)?	Monthly	Monthly	Monthly	Monthly	Monthly	Monthly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	TANGEDCO filed the	TANGEDCO filed the petition earlier for Fuel surcharge adjustment, but withdrawn subsequently.		No	No details filed by the Licensee.	

Jacopt for Cong.

# **Telangana State Electricity Regulatory Commission (TSERC)**

Annexure-V

	Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011										
S.No.	Particulars		015-16		16-17	201	7-18	201	8-19		
		I.		Tariff Determin	nation Process						
			Α.	Tariff Filing							
1		or filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff									
	Order specified in Tariff Regulations (Yes/No and	d also please me	ention the timeli	nes)?		-		-1			
	i. APR				EndofC	antual Dania d					
	ii. True Up	End of Control Period  120 days before commencement of Control Period , 90 days for 1st Control Period									
	iii. ARR iv. Tariff Order					ion (within 90 da					
	If yes, whether Annual Performance Review (AP)	D) +		•		•	•		avinamenta of		
2	regulation (please also provide the date of filing)?	?	•		•	,		•	•		
	i. APR	07.02	2.2015	08.03	3.2016	13.04	4.2017	15.1	2.2017		
	ii. True Up										
	iii. ARR										
	iv. Tariff Order										
3	If delay in filing of Annual Performance Review the Regulatory Commission has taken any suo-more than the commission of the Regulatory Commission of the Regulatory Commission has taken any suo-more than the commission of the Regulatory Commission of the Regulatory Commission has taken any suo-more than the commission of the Regulatory Commission of the Regulatory Commission has taken any suo-more than the Regulatory Commission has taken any sub-more than the Regulatory Commission has taken any sub-more than the Regulatory Commission has taken and the Regulatory Commission has taken and the Regulatory Commission has the Regulatory Commission						riff Order is bey	ond one month, v	vhether		
	i. APR		No. Since the	ne delay is neith	er willful nor wa	anton, delay was	s condoned by tl	he Commission			
	ii. True Up										
	iii. ARR										
	iv. Tariff Order					No					
			В.	Tariff Order							
4	Whether Annual Performance Review (APR), within the time specified in accordance with							being issued re	gularly		
	i. APR	Petition:	07.02.2015	Petition:	08.03.2016	Petition:	13.04.2017	Petition:	15.12.2017		
	ii. True Up	-									
	iii. ARR	Order: 2	7.03.2015	Order : 2	23.06.2016	Order: 2	26.08.2017	Order : 2	27.03.2018		
		_									
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?					Yes					
		TSSPDCL	TSNPDCL	TSSPDCL	TSNPDCL	TSSPDCL	TSNPDCL	TSSPDCL	TSNPDCL		
1	Annual Revenue Requirement (in Rs. Cr.)	16309.67	7170.48	19144.23	7653.84	18863.32	8433.16	20161.75	9859.82		
2	Saleable Energy (in MUs)	30019.45	11582.61	32759.00	12358.00	31757.65	13367.40	34100.59	15620.20		

3	Average Cost of Supply (Rs./kWh)	5.43	6.19	5.84	6.19	5.94	6.31	5.91	6.31
4	Average Tariff (Rs./kWh)*	5.19	3.14	5.51	3.38	5.53	3.37	5.37	3.57
5	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs./kWh)	0.24	3.05	0.33	2.81	0.41	2.94	0.54	2.74
6	Whether Regulatory Assets have been created?				]	No			
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?		-						
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?					-			
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?					-			
		III.	Fuel & Pov	wer Purchase (	Cost Adjustmer	nt			
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?				]	No			
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?					-			
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.					-			

#### Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011 For State owned Distribution Utility - DVVNL, Agra Note: ARR for FY 2019-20, APR for 2018-19 and True-Up for FY 2017-18 2015-16 (Trued-Up) Tariff 2016-17 (Trued-Up) Tariff Order 2017-18 (Trued Up) Tariff Order 2018-19 (ARR) Tariff Order dated 2019-20 (ARR) Tariff Order SI. No. **Particulars** Order dated January 22, 2019 dated January 22, 2019 dated September 03, 2019 January 22, 2019 dated September 03, 2019 I. Timeliness of Tariff Determination Process A. Tariff Filing Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)? APR No\* Yes (November 1 of each year) Yes (November 1 of each year ) Yes (November 1 of each year ) No\* Yes (November 30 of each ii. True Un Yes (November 30 of each year) Yes (November 1 of each year) Yes (November 1 of each year) Yes (November 1 of each year ) year) 1 Yes (November 30 of each iii. ARR Yes (November 30 of each year) Yes (November 1 of each year) Yes (November 1 of each year) Yes (November 1 of each year) year) Yes (120 days from the date of Tariff Order Admittance Order) Admittance Order) Admittance Order) Admittance Order) Admittance Order) if yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)? APR No\* Yes (19 November, 2018) Yes (March 11, 2019) Yes (June 30, 2020) Yes (19 November, 2018) Yes (19 November, 2018) Yes (June 30, 2020) ii. True Up Yes (March 11, 2019) No iii. ARR Yes (21 June, 2017) Yes (March 11, 2019) Yes (8 December, 2014) Yes (7 December, 2015) Yes, (19 November, 2018) 2 i. Multi Year Tariff Order Issued on i. Multi Year Tariff Order Issued or i. Tariff Order Issued on June i. Tariff Order Issued on August November 30, 2017. Multi Year Tariff Order Issued November 30, 2017. 01. 2016. ii. APR Order Issued on January 22, ii. ARR Order Issued on January 22, on November 30, 2017. Tariff Order (Issued by the 18, 2015, Commission) ii. True-Up Order Issued on ii. True-Up Order Issued on 2019. 2019. ii. ARR Order Issued on January 22, 2019. January 22, 2019. iii. Trued-Up Order issued on Sep. iii. APR Order issued on Sep. 03, September 03, 2019. 03, 2019 2019

## Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011

## For State owned Distribution Utility – DVVNL, Agra

	r <b>State owned Distribution Utility – DVVNL, Agra</b> R for FY 2019-20, APR for 2018-19 and True-Up for	FY 2017-18				
SI. No.	Particulars	2015-16 (Trued-Up) Tariff Order dated January 22, 2019	2016-17 (Trued-Up) Tariff Order dated January 22, 2019	2017-18 (Trued Up) Tariff Order dated September 03, 2019	2018-19 (ARR) Tariff Order dated January 22, 2019	2019-20 (ARR) Tariff Order dated September 03, 2019
	If delay in filing of Annual Performance Review (Al action for determination of tariff? If not, please pr		erage Revenue Requirement (ARR	) and Tariff Order is beyond one mor	nth, whether the Regulatory Commis	sion has taken any suo-motu
	i. APR	No	No	Yes, the proceedings for FY 2017- 18 (APR) for the determination of ARR for the State owned Distribution Companies and Transmission Licensee were initiated Suo-Motu as there was delay in the filings. (APR of FY 2017- 18 done in FY 2018-19 vide T.O. dt. January 22, 2019)	No	Yes, the proceedings for FY 2019 20 (APR) for the determination of ARR for the State owned Distribution Companies were initiated Suo-Motu as there was delay in the filings. (APR of FY 2019-20 is under process in FY 2020-21)
3	ii. True Up	Yes, the proceedings for FY 2015-16 (True-Up) for the determination of ARR for the State owned Distribution Companies and the Transmission Company were initiated Suo-Motu as there was delay in the filings.	Yes, the proceedings for FY 2016- 17 (True-Up) for the determination of ARR for the State owned Distribution Companies and the Transmission Company were initiated Suo-Motu as there was delay in the filings.	No	Yes, the proceedings for FY 2018- 19 (True-Up) for the determination of ARR for the State owned Distribution Companies were initiated Suo-Motu as there was delay in the filings.	NA
	iii. ARR iv. Tariff Order	No	No	No	Yes, the proceedings for FY 2018- 19 for the determination of ARR & Tariff for the State owned Distribution Companies and the Transmission Company were initiated Suo-Motu as there was delay in the filings.	No
			B. Tariff Order			
	Whether Annual Performance Review (APR), true the date of tariff petition and date of tariff order)			ff Orders are being issued regularly	within the time specified in accordan	ce with the Act (please indicate
4	i. APR  ii. True Up  iii. ARR  iv. Tariff Order	Answer same as in row Nos. 1 & 2	Answer same as in row Nos. 1 & 2	Answer same as in row Nos. 1 & 2	Answer same as in row Nos. 1 & 2	Answer same as in row Nos. 1 & 2
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	TO issued on 18th June, 2015, tariffs will continue to be in force till issuance of next Tariff Order.	TO issued on 1st August, 2016, tariffs will continue to be in force till issuance of next Tariff Order.	TO issued on November 30, 2017, tariffs will continue to be in force till issuance of next Tariff Order.	TO issued on January 22, 2019, tariffs will continue to be in force till issuance of next Tariff Order.	TO issued on September 03, 2019, tariffs will continue to be in force till issuance of next Tariff Order.

## Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011

For State owned Distribution Utility – DVVNL, Agra
 Note: ARR for FY 2019-20, APR for 2018-19 and True-Up for FY 2017-18

SI. No.	Particulars	2015-16 (Trued-Up) Tariff Order dated January 22, 2019	2016-17 (Trued-Up) Tariff Order dated January 22, 2019	2017-18 (Trued Up) Tariff Order dated September 03, 2019	2018-19 (ARR) Tariff Order dated January 22, 2019	2019-20 (ARR) Tariff Order dated September 03, 2019
			II. Adequacy of	Tariff		
1	Annual Revenue Requirement (in Rs. Cr.)	10335.70	10,899.74	11,648.37	13,949.73	14,385.35
	GoUP Subsidy (in Rs. Cr.)	1,748.76	1,951.99	1,864.69	1,838.13	2,007.64
2	Saleable Energy (in MUs)	15,435.73	16,811.27	18,735.57	21,494.67	19,861.35
	Revenue (in Rs. Cr.)	7,567.86	7,757.72	8,602.15	12,217.93	11,098.21
3	Average Cost of Supply (Rs./kWh)	6.70	6.48	6.22	6.49	7.24
4	Average Tariff (Including Subsidy) (Rs./kWh)	6.04	5.78	5.59	6.54	6.60
5	Revenue gap between ARR and ACS per unit of only the year in consideration Revenue Gap (in Rs./kWh.)		0.71	0.63	(0.05)	0.64
6	Whether Regulatory Assets have been created?**	Yes	Yes	Yes	Yes	No
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?***	Yes	Yes	Yes	Yes	No
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?		No (As the same would have caused tariff shock)	No (As the same would have caused tariff shock)	No (As the same would have caused tariff shock)	NA
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?		Yes	Yes	Yes	No

### Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011

#### For State owned Distribution Utility - DVVNL, Agra

Note: ARR for FY 2019-20, APR for 2018-19 and True-Up for FY 2017-18

SI. No.	Particulars	2015-16 (Trued-Up) Tariff Order dated January 22, 2019	2016-17 (Trued-Up) Tariff Order dated January 22, 2019	2017-18 (Trued Up) Tariff Order dated September 03, 2019	2018-19 (ARR) Tariff Order dated January 22, 2019	2019-20 (ARR) Tariff Order dated September 03, 2019
		III.	Fuel & Power Purchase	Cost Adjustment		
	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?		Yes	Yes	Yes	Yes
	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	· ·	Quarterly	Quarterly	Quarterly	Quarterly
	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.		Yes, as per the Regulations	Yes, as per the Regulations	No, the Licensee was facing some difficulties in implementing the same. (Provision in MYT Regulations, 2014). Appropriate Orders passed by the Commission.	Yes, as per the Regulations

### Important Note:

\*FY 2015-16 & FY 2016-17 covered by UPERC Distribution Tariff Regulations, 2006 in which there was no provision for APR and FY 2017-18 to FY 2019-20 are covered by UPERC (Multi Year Distribution Tariff) Regulations, 2014, where there was provision for APR.

\*\*In FY 2018-19, DVVNL, PVVNL and KESCO are having surplus of Rs. (-) 261.49 Crore, Rs (-) 1759.09 Crore and (-) 381.66 Crore respectively. MVVNL and PuVVNL have revenue gap of Rs. 266.65 Crore and Rs. 168.92 Crore respectively. However, as per Commission's computation of regulatory assets (including carrying cost) up to FY 2018-19 (not considering FY 2017-18) comes out to be Rs. 40,541.06 Crore (DVVNL is Rs. 13,513.27 Crore, MVVNL is Rs. 12,762.93 Crore, PVVNL is (-) 1086.14 Crore, PuVVNL is Rs. 15,050.91 Crore and KESCO is Rs. 300.09 Crore). These are tentative figures and need to be vetted upon. As it can be seen that, PVVNL is already in surplus, the Licensee would be required to pay carrying cost on the above amount which would be deducted from future ARR or dealt with as per further orders of the Commission. For rest of the four Discoms there is already a positive regulatory asset, hence they will continue to recover regulatory surcharge as approved in its MYT Order dated November 30, 2017. Further, in Petition No. 1295 / 2018, filed by the Licensees the matter of review against the tariff order dated November 30, 2017, on amortization of Regulatory Surcharge separately and distinctly in the electricity bills of the consumers. The Commission directs the Licensees to correctly report the amounts collected towards Regulatory surcharge and capture the amounts billed and collected as Regulatory Surcharge in both of its financial and commercial statements. This would enable the Licensees to correctly report the amounts collected towards Regulatory Surcharge.

\*\*\*The Regulatory Asset has been created and treated as per the prevalent Regulations.Further, in Tariff Order of FY 2019-20 dated September 03, 2019 the Commisison has issued the Order by considering the impact of UDAY on Balance Sheets & Regulatory Accounts of the Discoms, which gives the Net Regulatory Assets Surplus of Rs. 13,337.17 Crore till FY 2017-18 (Trued-Up years). In the view of the same the Regulatory Surcharge to recover the Regulatory Asset is being abolished with effect from the implementation of the Tariffs for FY 2019-20 i.e. September 12, 2019. The Commission will use this surplus, of Rs. 13,337.17 Crore as existing at the end of FY 2017-18, to be adjusted in future for the purposes of meeting the gaps of FY 2017-18 & onwards and also if required, for meeting uncertainties. The Commission approves a surplus for FY 2019-20 in its Tariff Order for FY 2019-20 dated September 03, 2019.

## Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011

For State owned Distribution Utility – MVVNL, Lucknow
 Note: ARR for FY 2019-20, APR for 2018-19 and True-Up for FY 2017-18

		2015-16 (Trued-Up)	2016-17 (Trued-Up)	2017-18 (Trued Up) Tariff	2010 10 (ADD) Toviff Ordon	2019-20 (ARR) Tariff Order
		Tariff Order dated	Tariff Order dated	Order dated September 03,	• •	dated September 03, 2019
SI. No.	Particulars	January 22, 2019	January 22, 2019	2019	dated January 22, 2019	dated September 05, 2019
	·					

**Timeliness of Tariff Determination Process** 

### A. Tariff Filing

Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations

L	(Tes/NO al	nd also please mention the timelines)					
	i.	APR	No*	No*	Yes (November 1 of each year)	Yes (November 1 of each year)	Yes (November 1 of each year )
	ii.	True Up	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 1 of each year)	Yes (November 1 of each year)	Yes (November 1 of each year )
	iii.	ARR	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 1 of each year)	Yes (November 1 of each year)	Yes (November 1 of each year )
	iv.	Tariff Order	Yes (120 days from the date of Admittance Order)	Yes (120 days from the date of Admittance Order)	Yes (120 days from the date of Admittance Order)	Yes (120 days from the date of Admittance Order)	Yes (120 days from the date of Admittance Order)

2 If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation

i.	APR	No*	No*			
				Yes (19 November, 2018)	Yes (March 11, 2019)	Yes (June 30, 2020)
ii.	True Up	Yes (19 November, 2018)	Yes (19 November, 2018)	Yes (March 11, 2019)	Yes (June 30, 2020)	No
iii.	ARR	Yes (8 December, 2014)	Yes (7 December, 2015)	Yes (21 June, 2017)	Yes (19 November, 2018)	Yes (March 11, 2019)
iv. Commiss	Tariff Order (Issued by the sion)	i. Tariff Order Issued on June 18, 2015. ii. True-Up Order Issued on January 22, 2019.	i. Tariff Order Issued on August 01, 2016. ii. True-Up Order Issued on January 22, 2019.	i. Multi Year Tariff Order Issued on November 30, 2017. ii. APR Order Issued on January 22, 2019. iii. Trued-Up Order issued on Sep. 03, 2019.	i. Multi Year Tariff Order Issued on November 30, 2017. ii. ARR Order Issued on January 22, 2019. iii. APR Order issued on Sep. 03, 2019.	i. Multi Year Tariff Order Issued on November 30, 2017. ii. ARR Order Issued on September 03, 2019.

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### For State owned Distribution Utility – MVVNL, Lucknow

Note: AR	R for FY 2019-20, APR for 2018-19 and True-Up f	or FY 2017-18				
SI. No.	Particulars	2015-16 (Trued-Up) Tariff Order dated January 22, 2019	2016-17 (Trued-Up) Tariff Order dated January 22, 2019	2017-18 (Trued Up) Tariff Order dated September 03, 2019	2018-19 (ARR) Tariff Order dated January 22, 2019	2019-20 (ARR) Tariff Order dated September 03, 2019
3	If delay in filing of Annual Performance Review				der is beyond one month, who	ether the Regulatory
	Commission has taken any suo-motu action for	determination of tariff? If n	ot, please provide the reas	ons thereof		
	i. APR	No	No	Yes, the proceedings for FY 2017-18 (APR) for the determination of ARR for the State owned Distribution Companies were initiated Suo-Motu as there was delay in the filings. (APR of FY 2017-18 done in FY 2018-19 vide T.O. dt. January 22, 2019)	No	Yes, the proceedings for FY 2019-20 (APR) for the determination of ARR for the State owned Distribution Companies were initiated Suo-Motu as there was delay in the filings. (APR of FY 2019-20 is under process in FY 2020-
	ii. True Up	Yes, the proceedings for FY 2015-16 (True-Up) for the determination of ARR for the State owned Distribution Companies and the Transmission Company were initiated Suo-Motu as there was delay in the filings.	Yes, the proceedings for FY 2016-17 (True-Up) for the determination of ARR for the State owned Distribution Companies and the Transmission Company were initiated Suo-Motu as there was delay in the filings.	No	Yes, the proceedings for FY 2018-19 (True-Up) for the determination of ARR for the State owned Distribution Companies were initiated Suo-Motu as there was delay in the filings.	NA
	iii. ARR iv. Tariff Order	No	No	No	Yes, the proceedings for FY 2018-19 for the determination of ARR & Tariff for the State owned Distribution Companies and the Transmission Company were initiated Suo-Motu as there was delay in the fillings.	No

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which the Regulatory Assets are created?

2. <b>Fo</b>	r State owned Distribution Utility – MVVNL, Luc	know				
Note: AF	RR for FY 2019-20, APR for 2018-19 and True-Up f	or FY 2017-18				
		2015-16 (Trued-Up) Tariff Order dated	2016-17 (Trued-Up) Tariff Order dated	2017-18 (Trued Up) Tariff Order dated September 03,		2019-20 (ARR) Tariff Order
SI. No.	Particulars	January 22, 2019	January 22, 2019	2019	dated January 22, 2019	dated September 03, 2019
			B. Tariff Order			
4	Whether Annual Performance Review (APR), tru accordance with the Act (please indicate the date			t (ARR) and Tariff Orders are b	eing issued regularly within t	he time specified in
	i. APR	A	A	A	A N.	A
	ii. True Up	Answer same as in row	Answer same as in row	Answer same as in row Nos. 1 & 2		Answer same as in row
	iii. ARR	Nos. 1 & 2	Nos. 1 & 2	1 & 2	1 & 2	Nos. 1 & 2
	iv. Tariff Order					
5	Whether the applicability of Tariff is till the end	,	TO issued on 1st August,	,	TO issued on January 22,	TO issued on September
	of the financial year (Yes/No)?	2015, tariffs will continue	2016, tariffs will continue	,	2019, tariffs will continue	03, 2019, tariffs will
		to be in force till issuance	to be in force till issuance	be in force till issuance of	to be in force till issuance of	
		of next Tariff Order.	of next Tariff Order.	next Tariff Order.	next Tariff Order.	issuance of next Tariff
						Order.
		ı	II. Adequacy of Tariff		ı	ı
1	Annual Revenue Requirement (in Rs. Cr.)	7,519.87	9,275.99	10,005.70	15,126.01	14,589.50
	GoUP Subsidy (in Rs. Cr.)	1,011.91	670.75	1342.50	1350.73	2,414.14
2	Saleable Energy (in MUs)	12,722.47	14,759.01	17,007.33	21,038.64	18,893.24
	Revenue (in Rs. Cr.)*	6,636.92	7,977.77	8,997.21	12,970.32	12,685.82
3	Average Cost of Supply (Rs./kWh)	5.91	6.28	5.88	7.19	7.72
4	Average Tariff(Including Subsidy) (Rs./kWh)	6.01	5.86	6.08	6.81	7.99
5	Revenue gap between ARR and ACS per unit of only the year in consideration Revenue Gap (in Rs./ kWh.)	(0.10)	0.43	(0.20)	0.38	(0.27)
6	Whether Regulatory Assets have been created?**	Yes	Yes	Yes	Yes	No
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?***		Yes	Yes	Yes	No
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	,	No ( As the same would have caused tariff shock)	No ( As the same would have caused tariff shock)	No ( As the same would have caused tariff shock)	NA
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in		Yes	Yes	Yes	No

Yes

No

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#### . For State owned Distribution Utility - MVVNL, Lucknow

Note: ARR for FY 2019-20, APR for 2018-19 and True-Up for FY 2017-18

Sl. No.	Particulars	2015-16 (Trued-Up) Tariff Order dated January 22, 2019	2016-17 (Trued-Up) Tariff Order dated January 22, 2019	2017-18 (Trued Up) Tariff Order dated September 03, 2019	2018-19 (ARR) Tariff Order dated January 22, 2019	2019-20 (ARR) Tariff Order dated September 03, 2019
		III. Fuel & Power	Purchase Cost Adjustment			
	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?		Yes	Yes	Yes	Yes
	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bimonthly/quarterly)?	Quarterly	Quarterly	Quarterly	Quarterly	Quarterly
	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	,	Yes, as per the Regulations	Yes, as per the Regulations	No, the Licensee was facing some difficulties in implementing the same. (Provision in MYT Regulations, 2014). Appropriate Orders passed by the Commission.	Yes, as per the Regulations

#### Important Note:

\*FY 2015-16 & FY 2016-17 covered by UPERC DistributionTariff Regulations, 2006 in which there was no provision for APR and FY 2017-18 & FY 2018-19 covered by UPERC Multi Year Distribution Tariff Regulations, 2014, where there was no provision for APR.

\*\*In FY 2018-19, DVVNL, PVVNL and KESCO are having surplus of Rs (-) 261.49 Crore, Rs (-) 1759.09 Crore and (-) 381.66 Crore respectively. MVVNL and PuVVNL have revenue gap of Rs. 266.65 Crore and Rs. 168.92 Crore respectively. However, as per Commission's computation of regulatory assets (including carrying cost) up to FY 2018-19 (not considering FY 2017-18) comes out to be Rs. 40,541.06 Crore (DVVNL is Rs. 13,513.27 Crore, MVVNL is Rs. 12,762.93 Crore, PVVNL is (-) 1086.14 Crore, PuVVNL is Rs. 15,050.91 Crore and KESCO is Rs. 300.09 Crore). These are tentative figures and need to be vetted upon. As it can be seen that, PVVNL is already in surplus, the Licensee would be required to pay carrying cost on the above amount which would be deducted from future ARR or dealt with as per further orders of the Commission. For rest of the four Discoms there is already a positive regulatory asset, hence they will continue to recover regulatory surcharge as approved in its MYT Order dated November 30, 2017. Further, in Petition No. 1295 / 2018, filed by the Licensees the matter of review against the tariff order dated November 30, 2017, on amortization of Regulatory assets is being heard by the Commission whose outcome may alter the regulatory surcharge. The Licensees are directed to depict the Regulatory Surcharge separately and distinctly in the electricity bills of the consumers. The Commission directs the Licensees to maintain separate accounting fields for the regulatory surcharge and capture the amounts billed and collected as Regulatory Surcharge in both of its financial and commercial statements. This would enable the Licensees to correctly report the amounts collected towards Regulatory Surcharge.

\*\*\*The Regulatory Asset has been created and treated as per the prevalent Regulations.Further, in Tariff Order of FY 2019-20 dated September 03, 2019 the Commission has issued the Order by considering the impact of UDAY on Balance Sheets & Regulatory Accounts of the Discoms, which gives the Net Regulatory Assets Surplus of Rs. 13,337.17 Crore till FY 2017-18 (Trued-Up years).In the view of the same the Regulatory Surcharge to recover the Regulatory Asset is being abolished with effect from the implementation of the Tariffs for FY 2019-20 i.e. September 12, 2019. The Commission will use this surplus, of Rs. 13,337.17 Crore as existing at the end of FY 2017-18, to be adjusted in future for the purposes of meeting the gaps of FY 2017-18 & onwards and also if required, for meeting uncertainties. The Commission approves a surplus for FY 2019-20 in its Tariff Order for FY 2019-20 dated September 03, 2019.

#### Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011

	District the Parket Advantage										
	State owned Distribution Utility – PVVNL, Meerut for FY 2019-20, APR for FY 2018-19 and True-Up for FY	2017-18									
SI. No.	Particulars		2016-17 (Trued-Up) Tariff Order dated January 22, 2019	2017-18 (Trued Up) Tariff Order dated September 03, 2019	2018-19 (ARR) Tariff Order dated January 22, 2019	2019-20 (ARR) Tariff Order dated September 03, 2019					
	I. Timeliness of Tariff Determination Process										
	A. Tariff Filing										
	Whether timeline for filing petitions for Annual Perfor and also please mention the timelines)?	mance Review (APR), true up	of past expenses, Average I	Revenue Requirement (ARR	) and Tariff Order specified i	n Tariff Regulations (Yes/No					
	i. APR	No*	No*	Yes (November 1 of each year)	Yes (November 1 of each year)	Yes (November 1 of each year )					
1	ii. True Up	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 1 of each year)	Yes (November 1 of each year)	Yes (November 1 of each year					
	iii. ARR	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 1 of each year)	Yes (November 1 of each year)	Yes (November 1 of each year					
	iv. Tariff Order	Yes (120 days from the date of Admittance Order)	Yes (120 days from the date of Admittance Order)	Yes (120 days from the date of Admittance Order)	Yes (120 days from the date of Admittance Order)	Yes (120 days from the date of Admittance Order)					
	If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)?										
	i. APR	No*	No*	Yes (19 November, 2018)	Yes (March 11, 2019)	Yes (July 01, 2020)					
	ii. True Up	Yes (19 November, 2018)	Yes (19 November, 2018)	Yes (March 11, 2019)	Yes (July 01, 2020)	No					
2	iii. ARR	Yes (8 December, 2014)	Yes (7 December, 2015)	Yes (21 June, 2017)	Yes (19 November, 2018)	Yes (March 11, 2019)					
2	iv. Tariff Order (Issued by the Commission)	i. Tariff Order Issued on June 18, 2015. ii. True-Up Order Issued on January 22, 2019.	i. Tariff Order Issued on August 01, 2016. ii. True-Up Order Issued on January 22, 2019.	i. Multi Year Tariff Order Issued on November 30, 2017. ii. APR Order Issued on January 22, 2019. iii. Trued-Up Order issued on Sep. 03, 2019.	i. Multi Year Tariff Order Issued on November 30, 2017. ii. ARR Order Issued on January 22, 2019. iii. APR Order issued on Sep. 03, 2019.	i. Multi Year Tariff Order Issued on November 30, 2017. ii. ARR Order Issued on September 03, 2019.					

#### Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011

3. For State owned Distribution Utility – PVVNL, Meerut
Note: ARR for FY 2019-20, APR for FY 2018-19 and True-Up for FY 2017-18

SI. No.	Particulars	2015-16 (Trued-Up) Tariff Order dated January 22, 2019	2016-17 (Trued-Up) Tariff Order dated January 22, 2019	2017-18 (Trued Up) Tariff Order dated September 03, 2019	2018-19 (ARR) Tariff Order dated January 22, 2019	2019-20 (ARR) Tariff Order dated September 03, 2019				
	If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof									
3	i. APR	No	No	Yes, the proceedings for FY 2017-18 (APR) for the determination of ARR for the State owned Distribution Companies were initiated Suo-Motu as there was delay in the fillings. (APR of FY 2017-18 done in FY 2018-19 vide T.O. dt. January 22, 2019)	No	Yes, the proceedings for FY 2019-20 (APR) for the determination of ARR for the State owned Distribution Companies were initiated Suo-Motu as there was delay in the filings. (APR of FY 2019-20 is under process in FY 2020-				
	ii. True Up	Yes, the proceedings for FY 2015-16 (True-Up) for the determination of ARR for the State owned Distribution Companies and the Transmission Company were initiated Suo-Motu as there was delay in the filings.	Yes, the proceedings for FY 2016-17 (True-Up) for the determination of ARR for the State owned Distribution Companies and the Transmission Company were initiated Suo-Motu as there was delay in the filings.	No	Yes, the proceedings for FY 2018-19 (True-Up) for the determination of ARR for the State owned Distribution Companies were initiated Suo-Motu as there was delay in the filings.	NA				
	iii. ARR iv. Tariff Order	No	No	No	Yes, the proceedings for FY 2018-19 for the determination of ARR & Tariff for the State owned Distribution Companies and the Transmission Company were initiated Suo-Motu as there was delay in the filings.	No				

#### Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011

3. For State owned Distribution Utility – PVVNL, Meerut
Note: ARR for FY 2019-20, APR for FY 2018-19 and True-Up for FY 2017-18

SI. No.	Particulars	2015-16 (Trued-Up) Tariff Order dated January 22, 2019	2016-17 (Trued-Up) Tariff Order dated January 22, 2019	2017-18 (Trued Up) Tariff Order dated September 03, 2019	2018-19 (ARR) Tariff Order dated January 22, 2019	2019-20 (ARR) Tariff Order dated September 03, 2019
			B. Tariff Order			
	Whether Annual Performance Review (APR), true up of the Act (please indicate the date of tariff petition and date		venue Requirement (ARR) ar	nd Tariff Orders are being iss	sued regularly within the tin	ne specified in accordance with
4	i. APR ii. True Up	Answer same as in row Nos. 1 & 2				
4	iii. ARR		Answer same as in row Nos. 1 & 2	Answer same as in row Nos. 1 & 2	Answer same as in row Nos. 1 & 2	Answer same as in row Nos. 1 & 2
	iv. Tariff Order					
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	TO issued on 18th June, 2015, tariffs will continue to be in force till issuance of next Tariff Order.	TO issued on 1st August, 2016, tariffs will continue to be in force till issuance of next Tariff Order.	TO issued on November 30, 2017, tariffs will continue to be in force till issuance of next Tariff Order.	TO issued on January 22, 2019, tariffs will continue to be in force till issuance of next Tariff Order.	TO issued on September 03, 2019, tariffs will continue to be in force till issuance of next Tariff Order.
			Adequacy of Tariff			
1	Annual Revenue Requirement (in Rs. Cr.)	13,504.68	15,636.70	16,903.64	21,371.16	20,849.61
	GoUP Subsidy (in Rs. Cr.)	775.17	1,414.19	911.84	3,043.84	1,723.81
2	Saleable Energy (in MUs)	21,905.13	25,334.79	28,437.30	33,508.59	29,825.58
	Revenue (in Rs. Cr.)*	12,019.12	13,177.38	15,040.57	18,822.97	19,992.10
3	Average Cost of Supply (Rs./kWh)	6.17	6.17	5.94	6.38	6.99
4	Average Tariff (Including Subsidy) (Rs./kWh)	5.84	5.76	5.61	6.53	7.28
5	Revenue gap between ARR and ACS per unit of only the year in consideration Revenue Gap (in Rs./ kWh.)	0.32	0.41	0.33	(0.15)	(0.29
6	Whether Regulatory Assets have been created?**	Yes	Yes	Yes	Yes	No
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?***	Yes	Yes	Yes	Yes	No
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	No ( As the same would have caused tariff shock)	No ( As the same would have caused tariff shock)	No ( As the same would have caused tariff shock)	No ( As the same would have caused tariff shock)	NA
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	Yes	Yes	Yes	Yes	No

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#### For State owned Distribution Utility – PVVNL, Meerut

Note: ARR for EY 2019-20. APR for EY 2018-19 and True-Lin for EY 2017-18.

SI. No.	Particulars	2015-16 (Trued-Up) Tariff Order dated January 22, 2019	2016-17 (Trued-Up) Tariff Order dated January 22, 2019	2017-18 (Trued Up) Tariff Order dated September 03, 2019	2018-19 (ARR) Tariff Order dated January 22, 2019	2019-20 (ARR) Tariff Order dated September 03, 2019
		III. Fuel & P	ower Purchase Cost Adjustr	nent		
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes	Yes	Yes	Yes	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly	Quarterly	Quarterly	Quarterly	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	, ,	Yes, as per the	Yes, as per the Regulations	No, the Licensee was facing some difficulties in implementing the same. (Provision in MYT Regulations, 2014). Appropriate Orders passed by the Commission.	Yes, as per the Regulations

#### Important Note:

\*FY 2015-16 & FY 2016-17 covered by UPERC DistributionTariff Regulations, 2006 in which there was no provision for APR and FY 2017-18 & FY 2018-19 covered by UPERC Multi Year Distribution Tariff Regulations, 2014, where there was no provision for APR.

\*\*In FY 2018-19, DVVNL, PVVNL and KESCO are having surplus of Rs (-) 261.49 Crore, Rs (-) 1759.09 Crore and (-) 381.66 Crore respectively. MVVNL and PuVVNL have revenue gap of Rs. 266.65 Crore and Rs. 168.92 Crore respectively. However, as per Commission's computation of regulatory assets (including carrying cost) up to FY 2018-19 (not considering FY 2017-18) comes out to be Rs. 40,541.06 Crore (DVVNL is Rs. 13,513.27 Crore, MVVNL is Rs. 12,762.93 Crore, PVVNL is (-) 1086.14 Crore, PuVVNL is Rs. 15,050.91 Crore and KESCO is Rs. 300.09 Crore). These are tentative figures and need to be vetted upon. As it can be seen that, PVVNL is already in surplus, the Licensee would be required to pay carrying cost on the above amount which would be deducted from future ARR or dealt with as per further orders of the Commission. For rest of the four Discoms there is already a positive regulatory asset, hence they will continue to recover regulatory surcharge as approved in its MYT Order dated November 30, 2017. Further, in Petition No. 1295 / 2018, filed by the Licensees the matter of review against the tariff order dated November 30, 2017, on amortization of Regulatory surcharge. The Licensees are directed to depict the Regulatory Surcharge separately and distinctly in the electricity bills of the consumers. The Commission directs the Licensees to maintain separate accounting fields for the regulatory surcharge and capture the amounts billed and collected as Regulatory Surcharge in both of its financial and commercial statements. This would enable the Licensees to correctly report the amounts collected towards Regulatory Surcharge.

\*\*\*The Regulatory Asset has been created and treated as per the prevalent Regulations. Further, in Tariff Order of FY 2019-20 dated September 03, 2019 the Commission has issued the Order by considering the impact of UDAY on Balance sheets & Regulatory Accounts of the Discoms, which gives the Net Regulatory Assets Surplus of Rs. 13,337.17 Crore till FY 2017-18 (Trued-Up years). In the view of the same the Regulatory Surcharge to recover the Regulatory Asset is being abolished with effect from the implementation of the Tariffs for FY 2019-20 i.e. September 12, 2019. The Commission will use this surplus, of Rs. 13,337.17 Crore as existing at the end of FY 2017-18, to be adjusted in future for the purposes of meeting the gaps of FY 2017-18 & onwards and also if required, for meeting uncertainties. The Commission approves a surplus for FY 2019-20 in its Tariff Order for FY 2019-20 dated September 03, 2019.

#### Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011 For State owned Distribution Utility - PuVVNL, Varanasi Note: ARR for FY 2019-20, APR for 2018-19 and True-Up for FY 2017-18 2015-16 (Trued-Up) Tariff | 2016-17 (Trued-Up) Tariff | 2017-18 (Trued Up) Tariff 2018-19 (ARR) Tariff 2019-20 (ARR) Tariff SI. No. Order dated January 22, Order dated January 22, **Order dated September** Order dated January 22, **Order dated September Particulars** 2019 2019 03, 2019 2019 03, 2019 I. Timeliness of Tariff Determination Process A. Tariff Filing Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)? Yes (November 1 of each Yes (November 1 of each Yes (November 1 of each APR No\* No\* year) year) year) Yes (November 30 of each Yes (November 30 of each Yes (November 1 of each Yes (November 1 of each Yes (November 1 of each True Up year) year) year) year) year) Yes (November 30 of each Yes (November 30 of each Yes (November 1 of each Yes (November 1 of each Yes (November 1 of each iii. ARR year) year) year) year) year) Yes (120 days from the Tariff Order date of Admittance Order) date of Admittance Order) date of Admittance Order) date of Admittance Order) date of Admittance Order If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)? APR No\* No\* Yes (19 November, 2018) Yes (March 11, 2019) Yes (June 30, 2020) ii. True Up Yes (19 November, 2018) Yes (19 November, 2018) Yes (March 11, 2019) Yes (June 30, 2020) No iii. ARR Yes (8 December, 2014) Yes (7 December, 2015) Yes (21 June, 2017) Yes (19 November, 2018) Yes (March 11, 2019) i. Multi Year Tariff Order i. Multi Year Tariff Order Issued on November 30, Issued on November 30, i. Multi Year Tariff Order i. Tariff Order Issued on i. Tariff Order Issued on 2017. 2017. Issued on November 30, June 18, 2015. August 01, 2016. ii. APR Order Issued on Tariff Order (Issued by the Commission) ii. ARR Order Issued on 2017. ii. True-Up Order Issued ii. True-Up Order Issued January 22, 2019. January 22, 2019. ii. ARR Order Issued on on January 22, 2019. on January 22, 2019. iii. Trued-Up Order issued iii. APR Order issued on September 03, 2019. on Sep. 03, 2019. Sep. 03, 2019.

## Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011

SI. No.	Particulars	2015-16 (Trued-Up) Tariff Order dated January 22, 2019	2016-17 (Trued-Up) Tariff Order dated January 22, 2019	2017-18 (Trued Up) Tariff Order dated September 03, 2019	2018-19 (ARR) Tariff Order dated January 22, 2019	2019-20 (ARR) Tariff Order dated September 03, 2019
3	If delay in filing of Annual Performance Review (APR), t has taken any suo-motu action for determination of tar		- '	(ARR) and Tariff Order is be	yond one month, whether t	he Regulatory Commission
	i. APR	No	No	Yes, the proceedings for FY 2017-18 (APR) for the determination of ARR for the State owned Distribution Companies were initiated Suo-Motu as there was delay in the filings. (APR of FY 2017-18 doo. in FY 2018-19 vide T.O. dt. January 22, 2019)	No	Yes, the proceedings fo FY 2019-20 (APR) for the determination of ARR for the State owned Distribution Companies were initiated Suo-Mote as there was delay in the filings. (APR of FY 2019-2 is under process in FY 2020-21)
	ii. True Up	Yes, the proceedings for FY 2015-16 (True-Up) for the determination of ARR for the State owned Distribution Companies and the Transmission Company were initiated Suo-Motu as there was delay in the filings.	Yes, the proceedings for FY 2016-17 (True-Up) for the determination of ARR for the State owned Distribution Companies and the Transmission Company were initiated Suo-Motu as there was delay in the filings.	No	Yes, the proceedings for FY 2018-19 (True-Up) for the determination of ARR for the State owned Distribution Companies were initiated Suo-Motu as there was delay in the filings.	NA
	iii. ARR iv. Tariff Order	No	No	No	Yes, the proceedings for FY 2018-19 for the determination of ARR & Tariff for the State owned Distribution Companies and the Transmission Company were initiated Suo-Motu as there was delay in the filings.	No

#### Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011 For State owned Distribution Utility - PuVVNL, Varanasi Note: ARR for FY 2019-20, APR for 2018-19 and True-Up for FY 2017-18 2015-16 (Trued-Up) Tariff | 2016-17 (Trued-Up) Tariff | 2017-18 (Trued Up) Tariff 2018-19 (ARR) Tariff 2019-20 (ARR) Tariff SI. No. Order dated January 22, Order dated January 22, **Particulars** Order dated September Order dated January 22, **Order dated September** 2019 2019 03, 2019 2019 03, 2019 B. Tariff Order Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)? APR Answer same as in row True Up Nos. 1 & 2 ARR iii. Tariff Order Whether the applicability of Tariff is till the end of the TO issued on November TO issued on September financial year (Yes/No)? TO issued on 18th June, TO issued on 1st August, TO issued on January 22, 30, 2017, tariffs will 03, 2019, tariffs will 2015, tariffs will continue 2016, tariffs will continue 2019, tariffs will continue continue to be in force till continue to be in force till to be in force till issuance to be in force till issuance to be in force till issuance issuance of next Tariff issuance of next Tariff of next Tariff Order. of next Tariff Order. of next Tariff Order. Order. Order. Adequacy of Tariff II. 12,284.48 10,176.96 13,367.30 17.499.38 17,337.11 Annual Revenue Requirement (in Rs. Cr.) 1,904.16 1,944.94 GoUP Subsidy (in Rs. Cr.) 1,680.79 2,667.29 2,958.41 2 Saleable Energy (in MUs) 15,887.86 18,291.43 20,758.60 24,942.39 22,647.91 Revenue (in Rs. Cr.)\* 7,755.12 9,334.32 10,141.16 13,355.14 14,201.20 Average Cost of Supply (Rs./kWh) 6.41 6.72 6.44 7.02 7.66 Average Tariff (Including Subsidy) (Rs./kWh) 6.08 6.17 5.69 6.42 7.58 Revenue gap between ARR and ACS per unit of only the 0.55 0.74 0.08 year in consideration Revenue Gap (in Rs./ kWh.) 0.33 0.59 Whether Regulatory Assets have been created?\*\* Yes Yes Yes Yes No If yes, whether the creation of Regulatory Assets is in No ine with the National Tariff Policy?\*\*\* Whether a roadmap (in terms of timeline not No ( As the same would exceeding 3 years) for the recovery of such Regulatory have caused tariff shock) have caused tariff shock) have caused tariff shock) have caused tariff shock) NA Assets been specified? Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Yes Yes Regulatory Assets are created?

#### Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011

#### For State owned Distribution Utility - PuVVNL, Varanasi

Note: ARR for FY 2019-20, APR for 2018-19 and True-Up for FY 2017-18

SI. No.	Particulars	2015-16 (Trued-Up) Tariff Order dated January 22, 2019	2016-17 (Trued-Up) Tariff Order dated January 22, 2019	2017-18 (Trued Up) Tariff Order dated September 03, 2019	2018-19 (ARR) Tariff Order dated January 22, 2019	2019-20 (ARR) Tariff Order dated September 03, 2019
		III. Fuel & Pe	ower Purchase Cost Adjustn	nent		
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes	Yes	Yes	Yes	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly	Quarterly	Quarterly	Quarterly	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	No, the Licensee was facing some difficulties in implementing the same. (Provision in Regulations, 2006). Appropriate Orders passed by the Commission.	Yes, as per the Regulations	Yes, as per the Regulations	No, the Licensee was facing some difficulties in implementing the same. (Provision in MYT Regulations, 2014). Appropriate Orders passed by the Commission.	Yes, as per the Regulations

#### Important Note:

\*FY 2015-16 & FY 2016-17 covered by UPERC DistributionTariff Regulations, 2006 in which there was no provision for APR and FY 2017-18 & FY 2018-19 covered by UPERC Multi Year Distribution Tariff Regulations. 2014. where there was no provision for APR.

\*\*In FY 2018-19, DVVNL, PVVNL and KESCO are having surplus of Rs (-) 261.49 Crore, Rs (-) 1759.09 Crore and (-) 381.66 Crore respectively. MVVNL and PuVVNL have revenue gap of Rs. 266.65 Crore and Rs. 168.92 Crore respectively. However, as per Commission's computation of regulatory assets (including carrying cost) up to FY 2018-19 (not considering FY 2017-18) comes out to be Rs. 40,541.06 Crore (DVVNL is Rs. 13,513.27 Crore, MVVNL is Rs. 12,762.93 Crore, PVVNL is (-) 1086.14 Crore, PuVVNL is Rs. 15,050.91 Crore and KESCO is Rs. 300.09 Crore). These are tentative figures and need to be vetted upon. As it can be seen that, PVVNL is already in surplus, the Licensee would be required to pay carrying cost on the above amount which would be deducted from future ARR or dealt with as per further orders of the Commission. For rest of the four Discoms there is already a positive regulatory asset, hence they will continue to recover regulatory surcharge as approved in its MYT Order dated November 30, 2017. Further, in Petition No. 1295 / 2018, filed by the Licensees the matter of review against the tariff order dated November 30, 2017, on amortization of Regulatory assets is being heard by the Commission whose outcome may alter the regulatory surcharge. The Licensees are directed to depict the Regulatory Surcharge separately and distinctly in the electricity bills of the consumers. The Commission directs the Licensees to maintain separate accounting fields for the regulatory surcharge and capture the amounts billed and collected as Regulatory Surcharge in both of its financial and commercial statements. This would enable the Licensees to correctly report the amounts collected towards Regulatory Surcharge.

\*\*\*The Regulatory Asset has been created and treated as per the prevalent Regulations. Further, in Tariff Order of FY 2019-20 dated September 03, 2019 the Commission has issued the Order by considering the impact of UDAY on Balance Sheets & Regulatory Accounts of the Discoms, which gives the Net Regulatory Assets Surplus of Rs. 13,337.17 Crore till FY 2017-18 (Trued-Up years). In the view of the same the Regulatory Surcharge to recover the Regulatory Asset is being abolished with effect from the implementation of the Tariffs for FY 2019-20 i.e. September 12, 2019. The Commission will use this surplus, of Rs. 13,337.17 Crore as existing at the end of FY 2017-18, to be adjusted in future for the purposes of meeting the gaps of FY 2017-18 & onwards and also if required, for meeting uncertainties. The Commission approves a surplus for FY 2019-20 in its Tariff Order for FY 2019-20 dated September 03, 2019.

#### Uttar Pradesh Electricity Regulatory Commission (UPERC), Lucknow Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011 For State owned Distribution Utility - KESCO, Kanpur Note: ARR for FY 2019-20, APR for 2018-19 and True-Up for FY 2017-18 2015-16 (Trued-Up) Tariff 2016-17 (Trued-Up) Tariff 2017-18 (Trued Up) Tariff 2018-19 (ARR) Tariff 2019-20 (ARR) Tariff SI. No. **Particulars** Order dated January 22, Order dated January 22, Order dated September Order dated January 22, Order dated Septembe 2019 2019 03, 2019 2019 03, 2019 I. Timeliness of Tariff Determination Process A. Tariff Filing Whether timeline for filing petitions for Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order specified in Tariff Regulations (Yes/No and also please mention the timelines)? Yes (November 1 of each Yes (November 1 of each Yes (November 1 of each year) Yes (November 30 of each Yes (November 30 of each Yes (November 1 of each Yes (November 1 of each Yes (November 1 of each True Up year) year) year) Yes (November 30 of each Yes (November 30 of each Yes (November 1 of each Yes (November 1 of each Yes (November 1 of each ARR year) year) year) year) year) Yes (120 days from the Yes (November 1 of each Tariff Order date of Admittance Order) date of Admittance Order date of Admittance Order) date of Admittance Order year) If yes, whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order are being filed as per the requirements of regulation (please also provide the date of filing)? Yes (19 November, 2018) Yes (March 11, 2019) Yes (June 29, 2020) APR No\* No\* ii. Yes (19 November, 2018) Yes (19 November, 2018) Yes (March 11, 2019) Yes (June 29, 2020) True Up No

Yes (15 December, 2015)

i. Tariff Order Issued on

August 01, 2016.

ii. True-Up Order Issued

on January 22, 2019.

Yes (23 June, 2017)

. Multi Year Tariff Order

Issued on November 30.

2017.

ii. APR Order Issued on

January 22, 2019.

on Sep. 03, 2019.

iii. Trued-Up Order issued

Yes (19 November, 2018)

i. Multi Year Tariff Order

Issued on November 30.

2017.

ii. ARR Order Issued on

January 22, 2019.

iii. APR Order issued on

Sep. 03, 2019.

Yes (March 11, 2019)

i. Multi Year Tariff Order

Issued on November 30,

2017.

ii. ARR Order Issued on

September 03, 2019.

Yes (8 December, 2014)

i. Tariff Order Issued on

June 18 2015

ii. True-Up Order Issued

on January 22, 2019.

iii. ARR

Tariff Order (Issued by the Commission)

Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011

5. For State owned Distribution Utility – KESCO, Kanpur Note: ARR for FY 2019-20, APR for 2018-19 and True-Up for FY 2017-18

SI. No.	Particulars	2015-16 (Trued-Up) Tariff Order dated January 22, 2019	Order dated January 22, 2019	Order dated September 03, 2019	2018-19 (ARR) Tariff Order dated January 22, 2019	2019-20 (ARR) Tariff Order dated September 03, 2019
3	If delay in filing of Annual Performance Review (APR), to has taken any suo-motu action for determination of tari			(ARR) and Tariff Order is be	yond one month, whether t	he Regulatory Commission
	i. APR	No	No	Yes, the proceedings for FY 2017-18 (APR) for the determination of ARR for the State owned Distribution Companies were initiated Suo-Motu as there was delay in the filings. (APR of FY 2017-18 done in FY 2018-19 vide T.O. dt. January 22, 2019)	No	Yes, the proceedings for FY 2019-20 (APR) for the determination of ARR for the State owned Distribution Companies were initiated Suo-Motu as there was delay in the filings. (APR of FY 2019-20 is under process in FY 2020-21)
	ii. True Up	Yes, the proceedings for FY 2015-16 (True-Up) for the determination of ARR for the State owned Distribution Companies and the Transmission Company were initiated Suo-Motu as there was delay in the filings.	Yes, the proceedings for FY 2016-17 (True-Up) for the determination of ARR for the State owned Distribution Companies and the Transmission Company were initiated Suo-Motu as there was delay in the filings.	No	Yes, the proceedings for FY 2018-19 (True-Up) for the determination of ARR for the State owned Distribution Companies were initiated Suo-Motu as there was delay in the fillings.	NA
	iii. ARR iv. Tariff Order	No	No	No	Yes, the proceedings for FY 2018-19 for the determination of ARR & Tariff for the State owned Distribution Companies and the Transmission Company were initiated Suo-Motu as there was delay in the filings.	No

Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011

5. For State owned Distribution Utility – KESCO, Kanpur Note: ARR for FY 2019-20, APR for 2018-19 and True-Up for FY 2017-18

SI. No.	TOT FY 2019-20, APK for 2018-19 and True-Up for FY 201  Particulars	2015-16 (Trued-Up) Tariff Order dated January 22, 2019	2016-17 (Trued-Up) Tariff Order dated January 22, 2019	2017-18 (Trued Up) Tariff Order dated September 03, 2019	2018-19 (ARR) Tariff Order dated January 22, 2019	2019-20 (ARR) Tariff Order dated September 03, 2019
			B. Tariff Order			
4	Whether Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Orders are being issued regularly within the time specified in accordance with the Act (please indicate the date of tariff petition and date of tariff order)?  i. APR ii. True Up  iii. ARR iv. Tariff Order		Answer same as in row Nos. 1 & 2	Answer same as in row Nos. 1 & 2	Answer same as in row Nos. 1 & 2	Answer same as in row Nos. 1 & 2
	iv. Tariff Order	TO issued on 18th June.	TO issued on 1st August,	TO issued on November	TO issued on January 22,	TO issued on September
5	Whether the applicability of Tariff is till the end of the financial year (Yes/No)?	2015, tariffs will continue	2016, tariffs will continue to be in force till issuance of next Tariff Order.	30, 2017, tariffs will continue to be in force till issuance of next Tariff Order.	2019, tariffs will continue to be in force till issuance of next Tariff Order.	03, 2019, tariffs will continue to be in force till issuance of next Tariff Order.
		II.	Adequacy of Tariff			
1	Annual Revenue Requirement (in Rs. Cr.)	1,911.32	1,996.41	1,879.60	2,257.04	2,327.21
	GoUP Subsidy (in Rs. Cr.)		-	-	-	
2	Saleable Energy (in MUs)	2,935.25	3,089.16	3,199.74	3,395.53	3,289.58
	Revenue (in Rs. Cr.)	2,104.17	2,324.47	2,537.30	2,638.70	2,686.05
3	Average Cost of Supply (Rs./kWh)	6.51	6.46	5.87	6.65	7.07
4	Average Tariff (Rs./kWh)	7.17	7.52	7.93	7.77	8.17
5	Revenue gap between ARR and ACS per unit of only the year in consideration Revenue Gap (in Rs./ kWh.)	(0.66)	(1.06)	(2.06)	(1.12)	(1.09)
6	Whether Regulatory Assets have been created?**	Yes	Yes	Yes	Yes	No
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?***	Yes	Yes	Yes	Yes	No
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	No ( As the same would have caused tariff shock)	No ( As the same would have caused tariff shock)	No (As the same would have caused tariff shock)	No ( As the same would have caused tariff shock)	NA
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	Yes	Yes	Yes	Yes	No

Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011

#### For State owned Distribution Utility - KESCO, Kanpur

Note: ARR for FY 2019-20, APR for 2018-19 and True-Up for FY 2017-18

SI. No.	Particulars	2015-16 (Trued-Up) Tariff Order dated January 22, 2019	2016-17 (Trued-Up) Tariff Order dated January 22, 2019	2017-18 (Trued Up) Tariff Order dated September 03, 2019	2018-19 (ARR) Tariff Order dated January 22, 2019	2019-20 (ARR) Tariff Order dated September 03, 2019
		III. Fuel & Po	wer Purchase Cost Adjustm	ent		
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes	Yes	Yes	Yes	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/quarterly)?	Quarterly	Quarterly	Quarterly	Quarterly	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	No, the Licensee was facing some difficulties in implementing the same. (Provision in Regulations, 2006). Appropriate Orders passed by the Commission.	Yes, as per the Regulations	Yes, as per the Regulations	No, the Licensee was facing some difficulties in implementing the same. (Provision in MYT Regulations, 2014). Appropriate Orders passed by the Commission.	Yes, as per the Regulations

#### mportant Note:

\*FY 2015-16 & FY 2016-17 covered by UPERC DistributionTariff Regulations, 2006 in which there was no provision for APR and FY 2017-18 & FY 2018-19 covered by UPERC Multi Year Distribution Tariff Regulations, 2014, where there was no provision for APR.

\*\*In FY 2018-19, DVVNIL, PVNIL and KESCO are having surplus of Rs. (-) 266.65 Crore, Rs. (-) 1759.09 Crore and (-) 381.66 Crore respectively. MVVNIL and PuVNIL have revenue gap of Rs. 266.65 Crore and Rs. 168.92 Crore respectively. However, as per Commission's computation of regulatory assets (including carrying cost) up to FY 2018-19 (not considering FY 2017-18) comes out to be Rs. 40,541.06 Crore (DVVNL is Rs. 13,513.27 Crore, MVVNL is Rs. 12,762.93 Crore, PVVNIL is (-) 1086.14 Crore, PuVVNL is Rs. 15,050.91 Crore and KESCO is Rs. 300.09 Crore). These are tentative figures and need to be vetted upon. As it can be seen that, PVVNIL is already in surplus, the Licensee would be required to pay carrying cost on the above amount which would be deducted from future ARR or dealt with as per further orders of the Commission. For rest of the four Discoms there is already a positive regulatory asset, hence they will continue to recover regulatory surcharge as approved in its MYT Order dated November 30, 2017. Further, in Petition No. 1295 / 2018, filed by the Licensees the matter of review against the tariff order dated November 30, 2017, on amortization of Regulatory suscharge. The Licensees are directed to depict the Regulatory Surcharge separately and distinctly in the electricity bills of the consumers. The Commission directs the Licensees to maintain separate accounting fields for the regulatory surcharge and capture the amounts billed and collected as Regulatory Surcharge in both of its financial and commercial statements. This would enable the Licensees to correctly report the amounts collected towards Regulatory Surcharge.

\*\*\*The Regulatory Asset has been created and treated as per the prevalent Regulations. Further, in Tariff Order of FY 2019-20 dated September 03, 2019 the Commission has issued the Order by considering the impact of UDAY on Balance sheets & Regulatory Accounts of the Discoms, which gives the Net Regulatory Assets Surplus of Rs. 13,337.17 Crore till FY 2017-18 (Trued-Up years). In the view of the same the Regulatory Surcharge to recover the Regulatory Asset is being abolished with effect from the implementation of the Tariffs for FY 2019-20 i.e. September 12, 2019. The Commission will use this surplus, of Rs. 13,337.17 Crore as existing at the end of FY 2017-18, to be adjusted in future for the purposes of meeting the gaps of FY 2017-18 & onwards and also if required, for meeting uncertainties. The Commission approves a surplus for FY 2019-20 in its Tariff Order for FY 2019-20 dated September 03, 2019.

			Littar Pradoch i	Electricity Regulatory Commi	ssion (LIDERC) Lucknow		1
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		Form	nat for compilation of data rega	rding the directions given by Al	PTEL through its judgement dat	ed 11.11.2011	
6 1	or Private o	owned Distribution Utility - NPCL,	Greater Noida				
		019-20, APR for 2018-19 and True-					
SI. No.		Particulars	2015-16 (Trued-Up) Tariff Order dated November 30, 2019	2016-17 (Trued-Up) Tariff Order dated January 22, 2019	2017-18 (Trued Up) Tariff Order dated September 03, 2019	2018-19 (ARR) Tariff Order dated January 22, 2019	2019-20 (ARR) Tariff Order dated September 03, 2019
			l.	Timeliness of Tariff Deter	mination Process		
	h.u .ı .:	1. 6 611 6 4	10 ( 0 1 (400) :	A. Tariff Filing	2	17 WO 1 W 17 T W	(D. 1.1. (V. /N. 1.1.
1	please men	meline for filing petitions for Annuation the timelines)?	. ,				
	i.	APR	No*	No*	Yes (November 1 of each year)	Yes (November 1 of each year )	Yes (November 1 of each year )
	ii.	True Up	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 1 of each year)	Yes (November 1 of each year)	Yes (November 1 of each year)
	iii.	ARR	Yes (November 30 of each year)	Yes (November 30 of each year)	Yes (November 1 of each year)	Yes (November 1 of each year)	Yes (November 1 of each year)
	iv.	Tariff Order	Yes (120 days from the date of Admittance Order)	Yes (120 days from the date of Admittance Order)	Yes (120 days from the date of Admittance Order)	Yes (120 days from the date of Admittance Order)	Yes (120 days from the date of Admittance Order)
2	If yes, whet	ther Annual Performance Review (A	APR), true up of past expenses, A	Average Revenue Requirement (	ARR) and Tariff Order are being	filed as per the requirements of	regulation (please also
	i.	APR	No*	No*	Yes (20th July, 2018)	Yes (29th October, 2018)	Yes (December 27, 2019)
	ii.	True Up	Yes (27 October, 2016)	Yes (20th July, 2018)	Yes (29th October, 2018)	Yes (December 27, 2019)	No
	iii.	ARR	Yes (28th November, 2014)	Yes (26th November, 2015)	Yes (27 October, 2016)	Yes (20th July, 2018)	Yes (29th October, 2018)
	iv.	Tariff Order	i. Tariff Order Issued on June 18, 2015. ii. True-Up Order Issued on November 30, 2017.	i. Tariff Order Issued on August 01, 2016. ii.True-Up Order Issued on January 22, 2019.	i. Multi Year Tariff Order Issued on 30th November 2017. ii. APR order issued on January 22, 2019. iii. Trued-Up Order Issued on Sep. 03, 2019.	ii. ARR Order Issued on January 22, 2019. iii. APR Order Issued on 03 September, 2019.	i. Multi Year Tariff Order Issued on November 30, 2017. ii. ARR Order Issued on September 03, 2019.
3		iling of Annual Performance Reviev uo-motu action for determination			nt (ARR) and Tariff Order is beyo	nd one month, whether the Re	gulatory Commission has
	i.	APR	., ,				
	ii.	True Up	No	No	No	No	No
	III.	ARR					
	iv.	Tariff Order					

#### Format for compilation of data regarding the directions given by APTEL through its judgement dated 11.11.2011

# 6. For Private owned Distribution Utility – NPCL, Greater Noida Note: ARR for FY 2019-20, APR for 2018-19 and True-Up for FY 2017-18

SI. No.	Particulars			2017-18 (Trued Up) Tariff Order dated September 03, 2019	2018-19 (ARR) Tariff Order dated January 22, 2019	2019-20 (ARR) Tariff Order dated September 03, 2019
			B. Tariff Order			
4	Whether Annual Performance Review (APR), t	rue up of past expenses, Averag	e Revenue Requirement (ARR) a	and Tariff Orders are being issue	d regularly within the time spec	ified in accordance with the
	Act (please indicate the date of tariff petition	and date of tariff order)?				
	i. APR					
	ii. True Up	Answer same as in row Nos. 1	Answer same as in row Nos. 1	Answer same as in row Nos. 1	Answer same as in row Nos. 1	Answer same as in row Nos. 1
	iii. ARR	& 2	& 2	& 2	& 2	& 2
	iv. Tariff Order	1				
5	Whether the applicability of Tariff is till the					
	end of the financial year (Yes/No)?	TO issued on 18th June, 2015 tariffs will be continue to be in force till issuance of next Tariff Order.	TO issued on 1st August, 2016 tariffs will be continue to be in force till issuance of next Tariff Order.	TO issued on November 30, 2017, tariffs will continue to be in force till issuance of next Tariff Order.	TO issued on January 22, 2019, tariffs will continue to be in force till issuance of next Tariff Order.	TO issued on September 03, 2019, tariffs will continue to be in force till issuance of next Tariff Order.
			II. Adequacy of	Tariff		
1	Annual Revenue Requirement (in Rs. Cr.)	903.66	926.57	1,235.31	1,356.35	1419.49
	GoUP Subsidy (in Rs. Cr.)	-		-,	_,	
2	Saleable Energy (in MUs)	1,377.16	1,500.40	1,667.62	1,853.81	2108.87
	Revenue (in Rs. Cr.)	1,039.22	1,171.67	1,334.36	1,462.89	1692.83
3	Average Cost of Supply (Rs./kWh)	6.56	6.18	7.41	7.32	6.73
4	Average Tariff (Rs./kWh)	7.55	7.81	8.00	7.89	8.03
5	Revenue gap between ARR and ACS per unit of only the year in consideration Revenue Gap (in Rs./ kWh.)	(0.98)	(1.63)	(0.59)	(0.57)	(1.30)
6	Whether Regulatory Assets have been created?	Yes	Yes	Yes	Yes	No
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?**	Yes	Yes	Yes	Yes	No
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	No (As the same would have caused tariff shock)	No (As the same would have caused tariff shock)	No (As the same would have caused tariff shock)	No (As the same would have caused tariff shock)	NA
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	Yes	Yes	Yes	Yes	No
		III.	Fuel & Power Purchase (	Cost Adjustment		
1	Whether Fuel Surcharge Adjustment formula/mechanism provided in regulation (Yes/No)?	Yes	Yes	Yes	Yes	Yes
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi- monthly/quarterly)?	Quarterly	Quarterly	Quarterly	Quarterly	Quarterly
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	Yes, as per the Regulations	Yes, as per the Regulations	Yes, as per the Regulations	Yes, as per the Regulations	Yes, as per the Regulations



# Uttarakhand Electricity Regulatory Commission

# Vidyut Niyamak Bhawan',

## Near ISBT, PO-Majra, Dehradun-248171

PH. 0135-2641115 FAX- 2641314 Website www.uerc.gov.in E-mail- secy.uerc@gov.in

No. UERC/6/TF-124/2020-21/2020/460

Date: 11\_Aug, 2020

To,

Sh. Arun Kumar,
Assistant Secretary,
Forum of Regulators (FOR)
C/o Central Electricity Regulatory Commission,
3<sup>rd</sup> & 4<sup>th</sup> Floors, Chanderlok Building,
36, Janpath, New Delhi-110001

Sub.: Compliance of the directions given by the Hon'ble Appellate Tribunal for Electricity issued vide Order dated 3<sup>rd</sup> November, 2014 and 23<sup>rd</sup> September, 2019 in OP No. 1 of 2011.

Sir,

This has reference to your e-mail dated 05.08.2020 on the above-mentioned subject. In this regard, please find enclosed the requisite information as **Annexure-A** in the prescribed format. Further, the formats for FY 2019-20 have already been forwarded to you vide Commission's letter no. UERC/6/TF-3(F)/2018-19/2019/1700 dated 28.02.2019, a copy of the same is enclosed herewith for your reference at **Annexure-B**.

Yours sincerely,

Encl. as above

(Neeraj Sati) Secretary

Annexue - B



# Uttarakhand Electricity Regulatory Commission

## 'Vidyut Niyamak Bhawan', Near ISBT, PO- Majra, Dehradun-248171

PH. 0135-2641115 FAX- 2641314 Website www.uerc.gov.in E-mail-secy.uerc@gov.in

No. UERC/6/TF-3(F)/2018-19/2019/1700

Date: 28-February, 2019

To,

Secretary,
Forum of Regulators (FOR)
C/o Central Electricity Regulatory Commission,
3<sup>rd</sup> & 4<sup>th</sup> Floors, Chanderlok Building,
36, Janpath, New Delhi –110001

Sub.: Compliance of the directions given by the Hon'ble Appellate Tribunal for Electricity in OP No. 1 of 2011.

Sir,

This has reference to the Order of Hon'ble ATE dated 11.11.2011 in OP No. 1 of 2011. In this regard, please find enclosed the requisite information as Annexure-A in the prescribed format.

Yours sincerely,

Encl. as above

(Neeraj Sati) Secretary

9.

Annexure-A

# Format for submission of data for the year 2019-20 in compliance of directions given by APTEL in OP No. 1 of 2011

SI. No.	Particulars			20	019-20
		I. T	imeliness of Ta	riff Determination	on Process
	Whether timeline for filing			A. 7	Tariff Filing
	Whether timeline for filing petitions for Annual Performance Review (APR), True up of past expenses and		Timeline specified in regulations	Date of filing petition as per regulations	Remarks
a	Average Revenue Requirement (ARR)/Tariff specified in Tariff	(i) ARR/Tariff Petition	Yes	30.11.2018	MYT Regulation, 2018 specify that the DISCOM would submit each year latest by 30th November, their ARR and
feman	Regulations?	(ii) APR	Yes	30.11.2018	Tariff Petitions for the ensuing financial year alongwith Petition for Annual Performance Review and Tariff determination.
	. TAIL	(iii) True Up	Yes	30.11.2018	MYT Regulations, 2018 specify that truing up exercise for a financial year shall normally be carried out alongwith Annual Performance Review & Tariff determination exercise.
	Whether petitions for APR, True up of past expenses and ARR/Tariff are being filed as		Petition Filing as per regulations	Actual date of filing petition	
2	per the requirements of regulation?	(i) ARR/Tariff for FY 2019- 20	Yes	30.11.2018	Uttarakhand Power Corporation Ltd. (UPCL), the distribution licensee filed the consolidated Petitions seeking APR of FY 2018-19, MYT Petition for the third
		(ii) APR for FY 2018-19	Yes	30.11.2018	Control Period from FY 2019-20 to FY 2021-22, alongwith truing up for FY 2017-18 on 30.11.2018.
		(iii) True Up for FY 2017-18	Yes	30.11.2018	2017-10 OII 30.11.2018.
3	If delay in filing of petition of APR, True up of past expenses		Whether Suo moto action has been	Date of Suo- moto action	Reason for not initiating suo-motu actions



SI. No.	Particulars			20	019-20
	and ARR is beyond one month, whether the Regulatory Commission has taken any suo-		<b>initiated</b> No	N.A.	The consolidated Petitions were filed within the time
	moto action for determination of tariff? If not, please provide the reasons thereof	(ii) APR for FY 2018-19	No	N.A.	specified in the Regulations.
	reasons thereor	(iii) True Up for FY 2017-18	No	N.A.	-
	TAZIL ALL		B. Tar	iff Order	
	Whether orders for APR, True up of past expenses and Tariff are being issued regularly within the time specified in the regulations?		Orders issued in time?	Actual date of order	Reason for delay, if any
4		(i) ARR/Tariff for FY 2019- 20	Yes		Since, the Petition was admitted on 1712 2018 and the
		(ii) APR for FY 2018-19	Yes	Order issued on 27.02.2019	Commission issued the Tariff Order on 27.02.2019, the same is within the period of 120 days.
		(iii) True Up for FY 2017-18	Yes		
5	Whether the applicability of Tariff is till the end of the financial year?	Yes, Tariff Order sh	nall be applicable	till the end of the f	inancial year.

## II. Adequacy of Tariff for FY 2019-20

SI. No.	Items		Remarks
1.	Annual Revenue Requirement (Rs. Crore)	Rs. 6549.39 Crore	
2.	Saleable Energy (MU) *	12397.83 MUs	
3.	Average Cost of Supply (Rs./Unit)	Rs. 5.28/Unit	
4.	Average Tariff (Rs./Unit) *	Rs. 5.32/Unit	
5.	Revenue gap between ARR and ACS per unit (Rs./Unit)	The second services for the body services at	
6.	Whether Regulatory Assets have been created in 2019-20?	No	
7.	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	N. A.	
8.	Whether a roadmap (in terms of timeline not exceeding 3 years) for the recovery of such Regulatory Assets been specified?	N.A.	
9.	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Assets are created?	No Regulatory Asset has been created so far by the Commission.	

<sup>\*</sup> Category wise Average Tariff and Saleable energy given in Annexure-B enclosed.



# III. Fuel & Power Purchase Cost Adjustment for FY 2019-20

S1. No.	Items		Remarks
1,	Whether Fuel Surcharge Adjustment formula/ mechanism provided in regulation?		
2.	Frequency of adjustment of Fuel Surcharge as per the regulations?	Quarterly	
3.	Whether Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof.	is being done as per the	Surcharge is being issued

## <u>Annexure-B</u>

# Category-wise Saleable Energy & Average Tariff for FY 2019-20

S. No.	Category	Sales	Average Billing Rate (ABR)		
	DMC 1 -		Rs./Unit		
1.	RTS-1: Domestic	3137.38	4.23		
2.	RTS-2: Non Domestic	1325.79	6.10		
3.	RTS-3: Govt. Public Utilities	639.13	5.45		
4.	RTS-4: Private Tube Wells	282.91	1.95		
5.	RTS-5: Industry				
	LT Industry	315.03	5.76		
	HT Industry	6445.84	5,79		
6.	RTS-6: Mixed Load	185.46	5.35		
7	RTS-7: Railway Traction	30.08	5.65		

## WEST BENGAL ELECTRICITY REGULATORY COMMISSION



Ref No: WBERC/A-14/6/34/ 6045

Dated, Kolkata, the 23rd September, 2020

Shri Tarun Kumar Mukherjee, Secretary, WBERC

To Shri Arun Kumar, Assistant Secretary (FOR) C/o Central Electricity Regulatory Commission (CERC), 3rd & 4th Floor, Chanderlok Building, 36, Janpath, New Delhi - 110 001 Fax No: 011-23753920/23752958

E-mail: asecy.for@gmail.com/cerc.ra@gmail.com

Sub: Compliance of the directions of Appellate Tribunal for Electricity issued vide Order dated 3rd November 2014 and 23rd September 2019 in OP No.1 of 2011 - reg.

Ref: FOR Letter No.15/9(1)/2011/APTEL-TA/FOR-CERC(Vol-VI) dated 18.08.2020

Sir,

In inviting a reference to the above, I am directed to send herewith the Compliance Reports for the FY 2019-20 "Format for Compilation of data regarding the directions given by APTEL through its judgment dated 11.11.2011" as per the format given for further necessary action at your end.

This is for your kind information.

Yours, faithfully,

Encl: As above

Email: cp-wberc@gov.in Website: www.wberc.gov.in

Tel: (033) 2962 3756 Fax: (033) 2962 3757

Plot No.AH/5(2nd and 4th Floor), Premises No. MAR 16-1111 Action Area -1A New Town, Rajarhat, Kolkata - 700 163

		F			ont dated 11 11 2011				
L		Format for compil	ation of data regarding the direction	s given by APTEL through its judgem	ent dated 11.11.2011	17			
0	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20			
			I. Timelines of Tariff	<b>Determination Process</b>					
	Whether timeline for filing po	etitions for Annual Performance Review (			Tariff Order specified in Tariff Regulati	ons (Yes/ No and also please mention the			
In the composite application with the projection of ARR and ERC and proposal of tariff including principles of tariff structure for each excontrol period separately on the basis of ARR projected. The composite application with the projection of ARR and ERC and proposal of tariff including principles of tariff structure for each excontrol period separately on the basis of ARR projected. The composite application with the projection of ARR and ERC for each ensuing year of the control period separately on the basis of ARR projected. The composite application with the projection of ARR and ERC and proposal of tariff including principles of tariff structure for each excontrol period separately on the basis of ARR projected. The composite application with the projection of ARR and ERC and proposal of tariff including principles of tariff structure for each excontrol period separately on the basis of ARR projected. The composite application with the projection of ARR and ERC and proposal of tariff including principles of tariff structure for each excontrol period separately on the basis of ARR projected. The composite application with the projection of ARR and ERC and proposal of tariff including principles of tariff structure for each excontrol period separately on the basis of ARR projected. The composite application with the projection of ARR and ERC and proposal of tariff including principles of tariff structure for each excontrol period separately on the basis of ARR projected. The composite application for the entire control period shall be submitted 120 days in advance of the effective date of the start of control period separately on the basis of ARR projected. The composite application with the projection of ARR and ERC and proposal of tariff including principles of tariff structure for each excontrol period separately to the entire control period separately on the effective date of the start of control period separately on the service of the control period separately to the entire tariff including principl					YES				
	ii. True Up	Y				echanism)			
iii. ARR YES (Composite application with projection of ARR and ERC for each ensuing year of the control period)									
	iv. Tariff Petition			YES (120 days before start of control	period)				
2	If yes, whether Annual Perfo	ormance Review (APR), true up of past ex	penses, Average Revenue Requireme		g filed as per requirements of regulation	on (please also provide the actual date o			
	i. APR	WBSEDCL: 25.11.2016 WBPDCL: 29.11.2016 WBSETCL: 30.11.2016 CESC: 30.11.2016 HEL: 30.04.2019 IPCL: 01.02.2018	WBSEDCL: 30.11.2017 WBPDCL: 29.11.2017 WBSETCL: 30.11.2017 CESC: 30.11.2017 HEL: 30.04.2019 IPCL: Not yet submitted	WBSEDCL: 07.12.2018 WBPDCL: 05.02.2019 WBSETCL: 11.12.2018 CESC: 28.02.2019 HEL: 30.04.2019	licensees witihin 3months from the date of respective tariff order for	generating companies/ licensees within			
		DVC: *	DVC: *	DVC: Not yet submitted					
	ii. True Up	Along with APR Petitions	Along with APR Petitions	DVC: Not yet submitted  Along with APR Petitions	Along with APR Petitions	Along with APR Petitions			



0	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20					
	iv. Tariff Order	Tariff Petitions have been filed under	Eiling of Tariff Petitions: Tariff Petitions have been filed under MYT Framework for 4th Control Period i.e. for 2014-15 to 2016-17 WBSEDCL: 24.02.2014 WBPDCL: 28.01.2014 WBSETCL: 30.01.2014 CESC: 31.12.2013 HEL: 21.08.2014 IPCL: 16.01.2014 DVC: 15.01.2014 DPL: 30.12.2013	Filing of Tariff Petitions: Tariff Petitions have been filed under MYT Framework for 5th Control Period i.e. for 2017-18 WBSEDCL: 22.12.2016 WBPDCL: 30.12.2016 WBSETCL: 26.12.2016 CESC: 30.12.2016 HEL: 28.12.2016 IPCL: 23.03.2017 DVC: ** DPL: 30.12.2016	Filing of Tariff Petitions: Tariff Petitions have been filed under MYT Framework for 6th Control Period i.e. for 2018-19 to 2019-20 WBSEDCL: 31.07.2019 WBPDCL: 31.07.2019 WBSETCL: 31.07.2019 CESC: 29.06.2018 HEL: 18.06.2018 IPCL: 31.08.2018 DVC: 04.01.2019 HMEL: 01.07.2019 DPL: 31.07.2019	Filing of Tariff Petitions: Tariff Petitions have been filed under MY Framework for 6th Control Period i.e. for 2018-19 to 2019-20 WBSEDCL: 31.07.2019 WBPDCL: 31.07.2019 WBSETCL: 31.07.2019 CESC: 29.06.2018 HEL: 18.06.2018 IPCL: 31.08.2018 DVC: 04.01.2019 HMEL: 01.07.2019 DPL: 31.07.2019					
	*APR Petition of DVC for 2015-16 and 2016-17: Revised petition to be submitted by DVC based on CERC's Final Order on composite Transmission Tariff for the year 2014-19  ** Tariff Petition of DVC for 2017-18: DVC is yet to submit the revised tariff petition in compliance of order of the Hon'ble High Court at Calcutta.  If delay in filing of Annual Performance Review (APR), true up of past expenses, Average Revenue Requirement (ARR) and Tariff Order is beyond one month, whether the Regulatory Commission has taken any suo-motu action for determination of tariff? If not, please provide the reasons thereof										
7	If delay in filing of Annual		t expenses, Average Revenue Require	ement (ARR) and Tariff Order is beyon	d one month, whether the Regulator						
		Performance Review (APR), true up of pas	t expenses, Average Revenue Require action for determination of tariff?	ement (ARR) and Tariff Order is beyon If not, please provide the reasons the	d one month, whether the Regulator	ry Commission has taken any suo-mot					
	i. APR	Performance Review (APR), true up of pass	t expenses, Average Revenue Require action for determination of tariff?	ement (ARR) and Tariff Order is beyon If not, please provide the reasons the N.A	d one month, whether the Regulator reof N.A	ry Commission has taken any suo-mot					
3	i. APR ii. True Up	Performance Review (APR), true up of pass  N.A  N.A	t expenses, Average Revenue Require action for determination of tariff? N.A N.A	ement (ARR) and Tariff Order is beyon If not, please provide the reasons the N.A N.A	d one month, whether the Regulator reof N.A N.A	ry Commission has taken any suo-mot N.A N.A					
3	i. APR ii. True Up iii. ARR	Performance Review (APR), true up of pass  N.A  N.A  N.A	t expenses, Average Revenue Require action for determination of tariff?  N.A  N.A  N.A	ement (ARR) and Tariff Order is beyon If not, please provide the reasons the N.A N.A N.A	d one month, whether the Regulator reof  N.A  N.A  N.A	ry Commission has taken any suo-mot N.A N.A N.A					
3	i. APR ii. True Up iii. ARR iv. Tariff Order	Performance Review (APR), true up of pass  N.A  N.A	t expenses, Average Revenue Require action for determination of tariff? N.A N.A	ement (ARR) and Tariff Order is beyon If not, please provide the reasons the N.A N.A	d one month, whether the Regulator reof N.A N.A	ry Commission has taken any suo-mot N.A N.A					
3	i. APR ii. True Up iii. ARR	Performance Review (APR), true up of pass  N.A  N.A  N.A	t expenses, Average Revenue Require action for determination of tariff?  N.A  N.A  N.A  N.A  N.A	ement (ARR) and Tariff Order is beyon If not, please provide the reasons the  N.A  N.A  N.A  N.A  N.A	d one month, whether the Regulator reof  N.A  N.A  N.A	ry Commission has taken any suo-mot  N.A  N.A  N.A					
	i. APR ii. True Up iii. ARR iv. Tariff Order Remarks	Performance Review (APR), true up of pas  N.A  N.A  N.A  N.A  N.A  N.A	expenses, Average Revenue Require action for determination of tariff?  N.A  N.A  N.A  N.A  N.A  N.A  N.A  N.	ement (ARR) and Tariff Order is beyon If not, please provide the reasons the  N.A  N.A  N.A  N.A  N.A	one month, whether the Regulator reof  N.A  N.A  N.A  N.A  N.A	N.A N.A N.A N.A N.A N.A					
3	i. APR ii. True Up iii. ARR iv. Tariff Order Remarks	Performance Review (APR), true up of pass  N.A  N.A  N.A	t expenses, Average Revenue Require action for determination of tariff?  N.A  N.A  N.A  N.A  N.A  A.A  N.A  A.A  A.A  A.A  A.A  B. Tariff.	ement (ARR) and Tariff Order is beyon If not, please provide the reasons the  N.A  N.A  N.A  N.A  N.A	one month, whether the Regulator reof  N.A  N.A  N.A  N.A  N.A	N.A N.A N.A N.A N.A N.A N.A					
	i. APR ii. True Up iii. ARR iv. Tariff Order Remarks	Performance Review (APR), true up of pass  N.A  N.A  N.A  N.A  N.A  Date of Filing APR Petitions given in S No. 2 above.  APR order for all the generating	t expenses, Average Revenue Require action for determination of tariff?  N.A  N.A  N.A  N.A  N.A  Average Revenue Requirement (ARF the date of tariff peti	ement (ARR) and Tariff Order is beyon  If not, please provide the reasons the  N.A  N.A  N.A  N.A  N.A  If Order  R) and Tariff Order are being issued retion and date of tariff order)  Date of Filing APR Petitions given in SI No. 2 above.  APR order for all the generating	d one month, whether the Regulator reof  N.A  N.A  N.A  N.A  N.A  One will be a specified in a s	ny Commission has taken any suo-mo N.A N.A N.A N.A					

St'	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20
	iii. ARR	No. 2 above. ARR has been determined by the Commission in MYT Order for the 4th	Control Period i.e. for 2014-15 to 2016-	Date of Filing Tariff Petitions given in SI	Tariff Order is under process.	Tariff Order is under process.
	iv. Tariff Order	Date of Filing Tariff Petitions given in SI	Date of Filing Tariff Petitions given in Si No. 2 above. Date of issuance of Tariff Order is given below: WBSEDCL: 28.10.2016 WBPDCL: 28.10.2016 WBSETCL: 28.10.2016 CESC: 28.10.2016 HEL: 29.01.2016 IPCL: 21.07.2016 DVC: 03.03.2017 DPL: 28.10.2016	Date of Filing Tariff Petitions given in SI No. 2 above. Date of issuance of Tariff Order is given below: WBSEDCL: 04.07.2018 WBPDCL: 13.09.2018 WBSETCL: 04.07.2018 CESC: 04.07.2018 HEL: 27.11.2017 DPL: 03.08.2020 IPCL: Under Process	Tariff Order is under process.	Tariff Order is under process.
	Remarks	expeditious disposal of APR and FPPCA petitions and all such petitions are	The Commission has undertaken expeditious disposal of APR and FPPCA petitions and all such petitions are expected to be disposed off within next three months.	expeditious disposal of APR and FPPCA petitions and all such petitions are	Tariff Order is under process. Utilities will submit their APR petion within 3 months from the date of respective tariff orders	Tariff Order is under process. Utilities will submit their APR petion within 3 months from the date of respective tariff orders
5	Whether the applicability of Tariff is till the end of the financial year (Yes/ No)?		The tariff is to be continued till further order of the Commission	The tariff is to be continued till further order of the Commission	The tariff is to be continued till further order of the Commission	The tariff is to be continued till further order of the Commission
	Annual Revenue Requirement (in					
1	Rs. Cr)					
3	Saleable Energy (in MUs)  Average Cost of Supply (Rs./kWh)	Licensee wise ARR, Saleable E	nergy, ACoS and Average Tariff for e	ach year is given in Appendix-A.	Tariff Order is under process.	Tariff Order is under process.
4	Average Tariff (Rs./kWh)					
5	Revenue gap between ARR and ACS per unit of only the year in consideration (in Rs./kWh)	INO revenue gan netween AKK and	No revenue gap between ARR and ACS per unit has been created.	No revenue gap between ARR and ACS per unit has been created.	Tariff Order is under process.	Tariff Order is under process.

SE NO	Particulars	2015-16	2016-17	2017-18	2018-19	2019-20			
6	Whether Regulatory Assets have been created?	No	No	No	N.A	N.A			
7	If yes, whether the creation of Regulatory Assets is in line with the National Tariff Policy?	N.A	N.A	N.A	N.A	N.A			
8	Whether a roadmap (in terms of timeline not exceeding 3 years) for recovery of such Regulatory Assets been specified?	N.A	N.A	N.A	N.A	N.A			
9	Whether carrying cost of the Regulatory Asset allowed to the utilities in the ARR of the year in which the Regulatory Asset are created?	N.A	N.A	N.A	N.A	N.A			
			III. Fuel and Power Purc	hase Cost Adjustment					
1	Whether Fuel Surcharge Adjustment formula/ mechanism provided in regulation (Yes/ No)?					t and power purchase cost or variable cost insee on the basis of fuel surcharge formula.			
2	Frequency of adjustment of Fuel Surcharge as per the regulations (monthly/ bi-monthly/ quarterly)?		Monthly						
3	Fuel Surcharge Adjustment being done as per the regulations? If not, please provide the reasons thereof	Ye	s, Fuel Surcharge is being done as per	Formula Specified in Schedule 7B of	WBERC Tariff Regulations, 2011, as ar	mended			

Appendix -A

GL NI-	Dankinslass		WBSEDCL			CESC		IP	CL	DV	/C		DPL		
SI. No	Particulars	2015-16	2016-17	2017-18	2015-16	2016-17	2017-18	2015-16	2016-17	2015-16	2016-17	2015-16	2016-17	2017-18	
1	Revenue Recoverable from sale to Consumer (in Rs Cr)	17840.46	16888.44	17450.77	6577.51	6593.80	6799.87	476.11	519.71	4326.16	4823.40	1320.93	1335.13	1075.75	
2	Saleable Energy (in MUs)	27232.10	24500.00	25324.00	9424.00	9387.00	9680.00	810.00	820.00	9127.11	9845.47	2940.11	2958.41	2048.85	
3	Average Cost of Supply (Rs./kWh)	655.13	689.32	689.10	697.95	702.44	702.47	587.78	633.79	473.99	489.91	449.28	451.30	525.05	
4	Average Tariff (Rs./kWh)	655.13	689.32	689.10	697.95	702.44	702.47	587.78	633.79	473.99	489.91	449.28	451.30	525.05	